



## **LGMSD 2021/22**

Mukono District

(Vote Code: 542)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	79%
Education Minimum Conditions	70%
Health Minimum Conditions	70%
Water & Environment Minimum Conditions	55%
Micro-scale Irrigation Minimum Conditions	30%
Crosscutting Performance Measures	64%
Educational Performance Measures	58%
Health Performance Measures	83%
Water & Environment Performance Measures	73%
Micro-scale Irrigation Performance Measures	67%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>• If so: Score 4 or else 0</li> </ul>	<p>As per the annual report for the District discretionary Equalization Grant for the FY 2020/2021 dated 19/07/2021. There was evidence that infrastructure projects implemented using DDEG funding were functional and utilized as per the purpose of the project design as per the following:</p> <ol style="list-style-type: none"> <li>1. Completion of the 1st floor phase 1 of the administration block: Budgeted UGX 173,574,0000, 100% page 95;</li> <li>2. Completion of the 1st floor phase 2 of the administration block: Budgeted UGX 97,170,000 100% page 95; and</li> <li>3. Construction of 2 classroom block at Kayanja Primary School UGX 22,680,000 100% page 95</li> </ol>	4
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> <li>o by more than 10%: Score 3</li> <li>o 5-10% increase: Score 2</li> <li>o Below 5 % Score 0</li> </ul>	Not applicable	0

## Service Delivery Performance

Maximum 6 points on this performance measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

As per annual budget performance report (quarter 4), the DDEG Project were;

1. Construction of administration phase 1 UGX 173,574,000-page 113 AWP quarter 4 page 95;
2. Construction of Administration block phase 2 UGX 97,017,000-page 113 AWP page 95;
3. Payment of retention for 2 classroom blocks at Kayini Kamwokya Primary School and VIP latrine at Maziba Primary school UGX 7,727,000-page 114 AWP page 95;
4. Final payment for the construction of 2 classroom block with office and furniture at Kayanja Primary in Nagonje UGX 22,680,000-page 114 AWP page 95;
5. Procurement office furniture page 95 of Quarter 4, on 113 AWP UGX 8,000,000;
6. Installation of CCTV camera on administration block and procurement of 2 laptops, one computer desktop, one Ipad UGX 29,700,000-page quarter 4 page 95, in AWP page 113
7. Investment service cost and monitoring UGX 40,000,000-page 92 APR, page 108
8. Performance improvement UGX 30,000,000-page Annual performance report 34 and page 4 AWP

Total UGX 408,698,000

The LG completed  $8/8 \times 100 = 100\%$

Investment  
Performance

Maximum 4 points on  
this performance  
measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

There was evidence that the LG budgeted and spent all the DDEG on eligible projects/activities as per the guidelines for the FY 2020/2021 as follows:

1. Construction of administration phase 1 UGX 173,574,000-page 113 AWP quarter 4 page 95;
2. Construction of Administration block phase 2 UGX 97,017,000-page 113 AWP page 95;
3. Payment of retention for 2 classroom blocks at Kayini Kamwokya Primary School and VIP latrine at Maziba Primary school UGX 7,727,000-page 114 AWP page 95;
4. Final payment for the construction of 2 classroom block with office and furniture at Kyanja Primary in Nagonje UGX 22,680,000-page 114 AWP page 95;
5. Procurement office furniture page 95 of Quarter 4, on 113 AWP UGX 8,000,000;
6. Installation of CCTV camera on administration block and procurement of 2 laptops, one computer desktop, one ipad UGX 29,700,000 page quarter 4 page 95 , in AWP page 113;
7. Investment service cost and monitoring UGX 40,000,000-page 92 APR, page 108; and
8. Performance improvement UGX 30,000,000 -page Annual performance report 34 and page 4 AWP

Total UGX 408,698,000.

Investment  
Performance

Maximum 4 points on  
this performance  
measure

b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates, score 2 or else score 0

Most of the DDEG funded infrastructure projects were implemented at the sub-county level except for the Phased Construction of the Ground Floor of the District Block and the reserve prices (Engineer's Estimates) were a summation of DDEG funds and Local Revenue. Therefore; the assessor sampled the projects below implemented in the previous FY 2020/2021 since they had a component of DDEG funds and analysis indicate that variations in the contract price and Engineers estimate were within the range of +/-20% as detailed below:

1. Phased Completion of the Ground Floor of the District Block Annex A (MUKO542/WRKS/20-21/00013) was at a contract price of UGX 252,386,286 and Engineer's estimate of UGX 260,000,000 (LR & DDEG funds). The variation in the two prices was -2.92%.

2. Construction of a 2-classroom block office and store including furniture at Kivuvu P/S (MUKO542/WRKS/20-21/00004) was at a contract price of UGX 165,449,611 and Engineer's estimate of UGX 169,616,622 (Local Revenue and DDEG funds). The variation in the two prices was -2.46%.

3. Roofing of Nagojje S/C Headquarters Office Block (MUKO542/WRKS/20-21/00011) was at a contract price of UGX 19,800,000 and Engineer's estimate of UGX 19,812,200. The variation in the two prices was -0.06%.

Note 1: Project 1 and 2 sampled had a component of Local Revenue and DDEG funds summed up as a one reverse price and project 3 was purely DDEG funds. Also, project 2 and 3 were implemented at the Sub- County Level but the procurement process was done at the district.

Note 2: Formula used was Variation = (Contract Price - Engineers Estimate)/Engineers Estimate) \*100.

## Performance Reporting and Performance Improvement

Accuracy of reported  
information

Maximum 4 points on  
this Performance  
Measure

a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate, score 2 or else score 0

The LLGs sampled were Nama SC, Kyampisi SC and Nakifuma Nagalama Town council, however information provided could not be verified for Nama SC.

4	<p>Accuracy of reported information</p> <p>Maximum 4 points on this Performance Measure</p>	<p>b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:</p> <ul style="list-style-type: none"> <li>• If 100 % in place: Score 2, else score 0.</li> </ul> <p><b>Note: if there are no reports produced to review: Score 0</b></p>	<p>The LG prepared DDEG reports on infrastructure constructed using DDEG funds on 19/07/2021 for the FY 2020/2021.</p> <p>There projects reported on were;</p> <ol style="list-style-type: none"> <li>1. Completion of the 1st floor phase 1 of the administration block: Budgeted UGX 173,574,0000, Actual spent was 100% page 95;</li> <li>2. Completion of the 1st floor phase 2 of the administration block: Budgeted UGX 97,170,000, actual spent was 100% page 95; and</li> <li>3. Construction of 2 classroom block at Kayanja Primary School UGX 22,680,000, actual spent was 100% page 9</li> </ol>	2
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### Human Resource Management and Development

6	<p>Budgeting for and actual recruitment and deployment of staff</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th of the current FY, with copy to the respective MDAs and MoFPED.</p> <p>Score 2 or else score 0</p>	<p>The LG submitted its staffing requirements on 30/9/21,</p> <p>Dated September 29, 2021</p>	2
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was no evidence that the LG had conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p>	0

7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>Not all HODS had been appraised , but some had been appraised</p> <p>The LG had a Chief Finance Officer, Ms. Nabwire Robinah appointment dated 13th December 2018, Min no MD.202/2018.</p> <p>Appraised by Nkata. B. James on 20/08/2021</p> <p>The LG had a District planner, Mr. Collins Ssebaduka appointment date march 30,2020, Min no MD88/2020</p> <p>Appraised by Nkata B. JAMES on 10/11/21</p> <p>The LG had a Principal Human Resource Officer, Ms. Semambo Annet Bwanika, appointment date April 19, 2013, Min no MD 106/2013</p> <p>Appraised by Nkata B James. On 14 July 2021</p> <p>The LG had a senior land management officer Mr. Mbazira Robert appointed on September 14, 2012, min no MD.31/2012(ii)(b)</p> <p>Appraised on 16/8/2021, by Mujuni W</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to “a” above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>No sanctions and rewards had been recommended on appraisal for implementation</p>	1
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.</p> <p>Score 1 or else 0</p>	<p>The LG did not have a consultative committee in place</p>	0
8	<p>Payroll management</p> <p>Maximum 1 point on this Performance Measure or else score 0</p>	<p>a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:</p> <p>Score 1.</p>	<p>There was no evidence provided</p>	0

9	Pension Payroll management	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:	There was no evidence provided	0
	Maximum 1 point on this Performance Measure or else score 0	Score 1.		

### Management, Monitoring and Supervision of Services.

10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:	There was evidence that LG transferred a total of UGX 562,899,429 to the LLG as follows: Kome UGX 29,032,872; Kyampisi UGX 54,606,669; Mpatta UGX 27,540,135; Mpunge UGX 24,315,375; Nakisunga UGX 57,834,429; Nama UGX 63,627,846; Ntenjeru UGX 50,799,567; Kasawo UGX 45,751,071; Kimenyedde UGX 45,502,728; Nabaale UGX 46,578,728; Nangojje UGX 44,433,044; Ntunda UGX 25,556,820; and Setta Namunganga UGX 47,406,279.	2
	Maximum 6 points on this Performance Measure	Score 2 or else score 0		
10	Effective Planning, Budgeting and Transfer of Funds for Service Delivery	b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget: (within 5 working days from the date of receipt of expenditure limits from MoFPED):	There was evidence that the LG warranted the DDEG funds in a timely manner as follows:  Quarter 1: Release was on 05/08/2020 and warrant was on 07/08/2020 UGX 187,633,143;  Quarter 2: Release was on 08/10/2020 and warrant was on 13/10/2020 Amount UGX 187,633,143; and  Quarter 3: Release was 05/01/2021 and warrant was on Amount 11/01/2021 UGX187,633,143.	2
	Maximum 6 points on this Performance Measure	Score: 2 or else score 0		



10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of receipt of the funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that LG invoiced and communicated the DDEG transfers within 5 days as follows:</p> <p>Quarter 1: Release was on 08/08/2020 Letter dated 12/08/ 2020 ;</p> <p>Quarter 2: Release was on 08/10/2020 and invoicing and communication was on 13/10/2020; and</p> <p>Quarter 3: Release was on 05/01/2021 and invoicing and communication was on 11/01 /2021.</p>	2
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG supervised and mentored all LLGs in the Districts as follows:</p> <p>Quarter 1. Mukono LG as per report dated 31/08/2020; supervision on implementation of DDEG livelihood projects in the LLG in 4 sub-counties, Nagonjje, Ntunda, Seta Namuganda and Kasawo, Kimenyedde ;</p> <p>Quarter 2 11/01/2021: monitoring PAF action fund project in Nakifuma Nagalama , Nama subcounty&lt; Kyambisi, Nagonjje and Kimenyedde;</p> <p>Quarter 3, report dated 7/04/2021, monitoring of DDEG livelihood project in for LLG in Mukono sub-county; and</p> <p>Quarter 4; report dated, monitoring DDEG project in Nakifuma subcounty.</p>	2

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

The DTPC held a meeting on 27/05/2021 under Min 04/DTPC/20/21-04.3 and discussed all the quarters and made recommendations as follows:

The CDOs to make follow up to different projects to ensures that the projects benefits people not individuals, some of the members were benefiting from more than one projects, CDO to ensure thorough check so that people benefit from one project;

Veterinary officers to only give technical advice not to get involved in direct procurements;

Sub county technical team to enhance the capacity of others to ensure proper accountability;

The DHO, DEO were advised to make sure that health centre and schools plan for OM, procurement officers advised that BOQs are clearly indicate labelling by contractor; Schools to have proper sign; posts;

Department of Health, Water and education advised to plan adequately for commissioning of projects; and

Quarter 3. Sub counties were called up to plan for support supervision for farmers who are benefiting from government projects.

The accounting officers were called upon to ensure that all projects that are benefiting from DDEG projects should put sign post at the project sites including source of funds including the year.

## Investment Management

Planning and budgeting for investments is conducted effectively

Maximum 12 points on this Performance Measure

a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:

Score 2 or else score 0

**Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0**

There was evidence that the LG updates the asset register covering all details of the assets.

It was last updated on 30/06/2021.

The assets included the following;

Motor vehicles and plants UGX 8,054,417,579;

Furniture, Fittings and ICT equipment UGX292,990,602; and

Land and Building UGX 5,504,377,897.

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>There was evidence that the LG prepared board of survey report dated 06 /08 /2021.</p> <p>The following items were recommended for disposal.</p> <p>Assets to be boarded off to be off in planning unit, natural resources, water, education sector.</p> <p>There were unserviceable items recommended for disposal,</p> <p>The report ranges from page 1 to 437.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The LG submitted only 3 reports to the MoLHUD as follows:</p> <p>Quarter 1: Report was submitted on 23/10/2020;</p> <p>Quarter 2: Report was submitted on 05/01/ 2021; and</p> <p>Quarter 3: Report was submitted on 12/04/2021.</p> <p>The Committee members were appointed on 01/07/2017 as follows:</p> <ol style="list-style-type: none"> <li>1. District Physical Planner-Secretary;</li> <li>2. CAO as the chairperson;</li> <li>3. District Road Engineer;</li> <li>4. District Agricultural Officer;</li> <li>5. District Surveyor;</li> <li>6. District Water Engineer;</li> <li>7. District Environmental Officer;</li> <li>8. District Natural resources;</li> <li>9. District Health Officer;</li> <li>10. Clerk to all urban and town council;</li> <li>11. All Clerks of Urban council in the District;</li> <li>12. District Education Officer; and</li> <li>13. Private Physical Planner</li> </ol>	0

<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d. For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are:</p> <p>(i) derived from the third LG Development Plan (LGDP III);</p> <p>(ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG).</p> <p>If desk appraisal is conducted and if all projects are derived from the LGDP:</p>	<p>There was evidence that the LG conducted desk appraisals for all projects and the projects were derived from LG Development Plan III as follows:</p> <p>The desk appraisals for all projects were carried out on 30/10/2019, and these projects were also derived from the LGDP III as follows:</p> <p>Construction of 8 in 1 staff at Nakaso primary school UGX 180,000,000 page of LGDP III page 132;</p> <p>Construction of an in-patient ward at Katongo Health III UGX 65,000,000 page 131 LGDP III;</p> <p>Routine maintenance of District road covering 470Km and mechanized maintenance of 94.53km UGX 883,450,1000 page 134 of LGDP;</p> <p>Construction of 5 stance VIP latrine at Kimenyedde Health Center II UGX 35,000,000 page 131 LGDP III;</p> <p>Construction of 10 lined stances at Namanoba; Primary School and Sempape memorial primary school UGX 70,000,000 page 132 LGDP;</p> <p>Rehabilitation of 33 community borehole at 6 LLGs UGX 120,000,000 page 137 LGDP;</p> <p>Construction of gravity floor scheme at Kome Sub county UGX 370,000,000 page 137 of LGDP III</p> <p>Drilling of 16 boreholes in 6 LLGs UGX 250,000,000 page 137 of LGDP;</p> <p>Phased construction of administration block UGX 1,000,000,000 page 140 LGDP; and</p> <p>Renovation of water offices UGX 43,000,000 page 137 of LGDP.</p>
	<p>Score 2 or else score 0</p>	

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG carried out field appraisals for the 3 sampled projects as per the report dated 01/11/2019,</p> <p>Construction of 8 in 1 staff at Nakaso primary school UGX 180,000,000 pag of LDGP III page 132,</p> <p>Construction of an in-patient ward at Katongo Health III UGX 65,000,000 page 131 LDGP III</p> <p>Construction of 10 lined stances at Namanoga Primary School and Sempape memorial primary school UGX 70,000,000 page 132 LGDP</p> <p>There was evidence of technical, environmental and social impact analysis.</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>There was no evidence that the LG met and discussed the project profiles with costing</p>	0
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before projects funded by the DDEG are approved for construction was obtained from environment and climate change screening forms for FY 2021/2022, endorsed by the SEO and DCDO. For example:</p> <p>1. Construction of 5-stance lined VIP latrine at Bulele P/S, Buleebi parish, Mpunge subcounty dated 26/9/21;</p> <p>2. Construction of a classroom block at Kiyunga Islamic P/S, Kyampisi subcounty dated 1/7/2021; and</p> <p>3. Construction of classroom block at Bukasa Namuyadde P/S, Bukasa parish, Kimenyedde subcounty dated 28/6/2021.</p>	2
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan</p> <p>Score 1 or else score 0</p>	<p><b>Infrastructure DDEG funded projects to be implemented in the current FY 2021/2022 had been incorporated in the LG's procurement plan approved on 28th/07/2021 under minute MIN. 021.1.2. The approved procurement plan was submitted on 09th/08/2021 to PPDA and it was received on 12th/08/2021.</b></p>	1

**DDEG infrastructure projects in the plan were:**

1. Phased Construction of Mpatta RGC piped water supply system (item 13 - water department), DWDCG/DDEG funds;
2. Construction of a 2 Classroom Block, Office and store at Kiyunga Islamic School in Kyampisi S/C (item 5 -Education department);
3. Construction of a 2 Classroom Block, Office and store at Bukasa Namuyadde in Kimenyedde S/C (item 6 -Education department);
4. Light grading of community access roads (Mbaliga-Bulimu-Nkonge 2.6km, Naluwerete-Kalyambwa 4km, Kalagala-Kanjuki-Kabuga-Namulaba 5.1km, Kakoola-Kasala-Namulaba 5.1km, Kakoola-Kasala-Namanganga-Namengo-Kasenene 10km, Misombwa-Kalagala-Kasayi 4km and Kyampisi-Namanganga 2km (item 2 -Kyampisi S/C);
5. 42 CULVERTS OF 600MM (2 LINES ALONG Kakoola-Kasaala-Namanganga-Namengo-Kasenene, 3 lines along kyampisi-Kiwabutwa-Kyabakadde and 2 lines along Misombwa-Kalagala-Kasayi (item 4 -Kyampisi S/C);
6. Construction a 5 stance VIP latrine at Kyabakadde P/S (item 7 -Kyampisi S/C);
7. Phased construction of OPD Bukasa in Bukasa Parish (item 1 -Kimenyedde S/C);
8. Light Grading of 2.5km along Jumba-Bulungube road in Kiwafu Parish (item 7 - Kimenyedde S/C);
9. Light Grading of 2km along Gilinya-Kitagayika Road in Nanga Parish (item 8 - Kimenyedde S/C);
10. Light Grading of 3km along Kisamba-Kirinyabigo-Wabusanke in Kawongo Parish (item 9 -Kimenyedde S/C);
11. Roofing of the subcounty administration block (item 1 -Nagojje S/C);
12. Construction of a 5-stance lined VIP latrine at St. Andrew Bulerer P/S (item 1 - Mpunge S/C);
13. Renovation of main hall at Kikubo P/S (item 11 -Mpunge S/C);
14. Borehole Rehabilitation (item 3 - Kasawo S/C);
15. Culvert installation (item 7 - Kasawo S/C);
16. Construction of Administration Block (item 6 - Seeta Namaganga S/C);

17. Light grading of Ntunda-Erisa Katabadama 8km and Namugongo Wabusolo 4km (item 6 – Ntunda S/C);

18. Roofing of the sub-county administration block (item 9 – Ntunda S/C);

19. Construction of a slaughter slab (item 2 – Nakifuma-Naggalama TC); and

20. Renovation of Council Hall (item 7 – Nakifuma-Naggalama TC);

13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	<p>The LG has not yet awarded any DDEG funded projects for this FY 2021/2022 and the delay was because of the presidential directive that all constructions be handed over to UPDF in a letter dated 1st July, 2021 written to Hon. Minister of Health and Hon. Minister of Education and Sports and shared with all LGs. The LG has, however, started the procurement process and it availed the contracts committee minutes for approval of the Procurement Method, Bidding Document, Evaluation Committee Team for the DDEG funded projects below;</p> <p>1. Construction a 2 Classroom Block with an Office and Store and Furniture at Bukasa Namiyadde P/S in Kimenyedde S/C (MUKO542/WRKS/21-22/00006) was approved under MIN 055.4.2 on the 27th/09/2021; and</p> <p>2. Construction of a 2-classroom block, office store and furniture at Kiyunga UMEA P/S in Kyampisi S/C (MUKO542/WRKS/21-22/00005) was approved under MIN 055.3 on the 27th/09/2021.</p>	1
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13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:  Score 1 or else 0	<p><b>The LG did not establish all members of the Project Implementation Team for projects implemented in the previous FY 2020/2021 as stipulated in the manual. Below is what had been established in the different sectors;</b></p> <p><b>Education Projects; team was established on 06th/07/2021 by the District Education Officer (Mr. Kikomeko Rashid):</b></p> <p>1. Mr. Kikomeko Rashid (District Education Officer/Contract Manager);</p> <p>2. Mr. Mutyala Innocent (Environmental Officer);</p> <p>3. Ms. Ampaire Christine (Community Development Officer);</p>	0
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4. Ms. Namuli Mary (Inspector of Schools);  
and

5. Ms. Adyedyo Christine (ADHO  
Environment and Health).

**Health Projects; team was  
established on 08th/08/2020 by the  
District Health Officer (Mr. Mulindwa  
Stephen):**

1. Senior Engineer (Eng. Herbert  
Lutwama);

2. Senior Environmental Officer (Mr.  
Mutalya Innocent);

3. Community Development Officer (Mr.  
Baagalaliwo Sultan);

4. Senior Health Inspector (Mr. Balyejjusa  
Martin);

5. Mr. Kafeero Collins (Labour Officer);  
and

6. Procurement Officer (Ms. Nabiseke  
Caroline).

**Water Projects; team was  
established on 08th/08/2020 by the  
District Water Officer (Mr. Kalule  
James):**

1. Mr. Kalule James (District Water  
Officer/Contract Manager);

2. Eng. Musa John (District  
Engineer/Project Manager);

3. Mr. Musazi Patrick (Senior  
Environmental Officer);

4. Ms. Ampaire Christine (Community  
Development Officer); and

5. Ms. Adyedyo Christine (Sanitation  
Officer).

13

Procurement, contract  
management/execution

Maximum 8 points on  
this Performance  
Measure

d. Evidence that all  
infrastructure projects  
implemented using DDEG  
followed the standard  
technical designs provided  
by the LG Engineer:

Score 1 or else score 0

1

**The LG had only one infrastructure  
project (Phased Completion of the  
Ground Floor of the District Block  
Annex A (MUK0542/WRKS/20-  
21/00013) where some DDEG funds  
were used in addition to Local  
Revenue. This was sampled and it  
was found out that the technical  
designs provided by the LG Engineer  
were followed as detailed below;**

**Findings of the site visit**

The structure consisted of a medical  
store, 2 washrooms (one being for the  
disabled) and an Office.

**Sample Measurements:**

Measurements were made on various



windows and doors openings to check if sizes specified on drawings were used. Also, number of door/window openings were checked to see if they were placed as per the drawings. The findings from visual checks were that windows/door openings and their sizes were placed as per technical drawings. For example; the following were the sampled measurements.

- The floor size of a medical store was 15800\*10100mm whereas actual measurement was 15890\*10955mm and that of an Office was 3950\*3750mm whereas actual size was 3800\*3770mm as specified on the ground floor plan (Drawing nos. we're not indicated);
- The entry/front external door size (D1) was 2870\*3500mm whereas actual measurement was 2900\*3500mm and that the office (D4) was 900\*2360mm and actual size was 900\*2400mm as specified on door/window schedule;
- The rear window sizes denoted as W1 were 900\*1200mm same as actual measurement as specified on the **window/door schedule**

#### **Visual Checks:**

1. Floor finish was of cement screed as specified on the Ground Floor Plan and walls were of masonry plastered and painted as specified in the elevation's drawings;
2. Windows (W1) were purpose made steel casement windows, w20 z-angle frame with aluminium gauze fixed to back side of steel louvered pv had been used as specified on the window/door schedule;
3. The door D1 used was purpose made steel roller shutter and D04 was a timber panelled door as indicated on the window/door schedule;
4. The roof type used was PI astersized C.S mix water proof screed layer with a finishing modified water proofing membrane as indicated on the cross section.

13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0</p>	<p><b>There was no evidence to ascertain whether technical officers (Community Development Officer and Environmental Officer) supervised the sampled projects below and they never certified works since the E&amp;S Forms were not seen in addition they were not included on the certification of payment certificates.</b></p> <p><b>1. Construction of a 5-stance VIP Pit Latrine with a Urinal at Kimenyede HC II (MUKO542/WRKS/20-21/00009):</b></p> <ul style="list-style-type: none"> <li>• The Health Inspector Mr, Martin Balyejjusa supervised on behalf of Project Supervisor (Eng. Herbert Lutwama on 15th/04/2021 and 05th/08/2021 before payment certificates were issued 15th/04/2021 (payment 1) and 08th/09/2021 (payment 2).</li> <li>• The CDO and Environmental Officer's monitoring reports were not availed,</li> </ul> <p><b>2. Construction of an In-Patient Ward Block with a Pedriatic Wing and Bathroom at Katoogo HC III MUKO542/WRKS/20-21/00003):</b></p> <ul style="list-style-type: none"> <li>• The Project Supervisor Eng. Hebert Lutwama supervised on 30th/06/2021 and 29th/10/2021 before payment certificate was made on 09th/09/2021.</li> <li>• The CDO and Environmental Officer's monitoring reports were not availed.</li> </ul> <p><b>3. Renovation of Water Office Block and Toilet (MUKO542/WRKS/20-21/00012):</b></p> <ul style="list-style-type: none"> <li>• The Assistant Water Officer (Mr. Ssekitoleko Fred) prepared a supervision report dated 18th/06/2021 and 21st/04/2021 and no report of other officers was availed.</li> </ul>	0
13	<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):</p> <p>Score 1 or else score 0</p>	<p><b>Analysis of payment 2 of project 2 and 3 sampled below indicate that the Contractors were not paid within specified time frames as per contract;</b></p> <p><b>1. Construction of 8 in 1 Staff House with a Kitchen and 4 stance VIP latrine with a Bathroom Nakaswa R/C in Kasawo S/C (MUKO542/WRKS/20-21/00002):</b></p> <p><b># Payment 1 (90.5% completed works)</b></p> <ul style="list-style-type: none"> <li>• Contract Price: UGX 180,949,825;</li> </ul>	0

- The contractor (M/S Restoration 2010 Company Ltd) made a requisition of UGX: 180,949,925 on 03rd/05/2021;
- The District Engineer issued the payment certificate on 24th/05/2021 and forwarded the requisition to other responsible officers on the same date;
- The DEO signed on 31st/05/2021;
- The CAO signed on 02nd/06/2021 and;
- Payment of UGX: 140,027,854 was made on 28th/06/2021 on VR No. 37575494.

## **2. Renovation of Water Office Block and Toilet (MUKO542/WRKS/20-21/00012):**

### **# Payment 1 (33% works completed)**

- Contract Price: UGX: 23,865,000;
- The contractor (M/S Quality Eng. And Suppliers Ltd) made a requisition of UGX: 15,034,590 on 20th/04/2021;
- The Water Officer issued the payment certificate on 21st/04/2021 and forwarded the requisition to other responsible officers on the same date;
- The CAO signed on 21st/04/2021;
- The PDU signed on 21st/04/2021
- The CFO signed on 21st/04/2021 and
- Payment of UGX 13,849,238 was made on 20th/05/2021 on VR No. 36300534

### **# Interim Payment 2 (works completed);**

- The contractor (M/S Quality Eng. And Suppliers Ltd) made a requisition requesting for final payment on 25th/05/2021;
- The DWO issued the payment certificate on 27TH/05/2021 and forwarded the requisition to other responsible officers on the same date;
- The CAO signed on 27th/05/2021;
- The PDU signed on 27th/05/2021;
- The CFO signed on 03rd/08/2021; and
- The payment voucher was not availed (the reason given was that it was still on the system).

## **1. Construction of an In-Patient Ward Block with a Pedriatic Wing and Bathroom at Katoogo HC III MUKO542/WRKS/20-21/0000):**

### **# Payment 1 (33% works completed)**

- Contract Price: UGX: 535,155,519;
- The contractor (M/S Peera Investments Ltd) made a requisition of UGX: 140,436,000 on 12th/04/2021;
- The DE issued the payment certificate on 15TH/04/2021 and forwarded the requisition to other responsible officers on the same date;
- The DHO signed on 16th/04/2021
- The CAO signed on 20th/04/2021;
- The CFO signed on 20th/04/2021 and
- Payment of UGX 157,987804 was made on 07th/05/2021 on VR No. 3620204334

**Payment 2 (63% works completed)**

3. The contractor (M/S Peera Investments Ltd) made a requisition of UGX: 100,436,000 on 10th/06/2021;
4. The DE issued the payment certificate on 08th/09/2021 and forwarded the requisition to other responsible officers on the same date;
5. The DHO signed on 09th/09/2021;
6. The DE signed on 10th/09/2021;
7. The CAO signed on 10th/09/2021;
8. The CFO signed on 16th/09/2021 and
9. Payment of UGX 113,307,432 was made on 04th/11/2021 on VR No. 39752148

13

Procurement, contract management/execution

Maximum 8 points on this Performance Measure

g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:

Score 1 or else 0

**The LG had complete procurement files in place for all contracts with all records as required by the PPDA Law as indicated in the following sampled projects procured in FY 2020/2021:**

**1. Construction of 8 in 1 Staff House with a Kitchen and 4 stance VIP latrine with a Bathroom Nakaswa R/C in Kasawo S/C (MUKO542/WRKS/20-21/00002):**

- Letter of Bid Invitation was dated 11th/11/2020;
- The Evaluation Report was signed on 10th/12/2020 by the evaluation committee;
- Contracts committee approved the evaluation report on 11th/01/2021 under minute no. 087.1.2 recommending M/S Restoration 2010 Co. Ltd as the best bidder before a works contract of UGX: 180,949,825 was signed on

1

11th/01/2021;

- Letter of award was issued on 11th/01/2021 and the contractor responded on 11th/01/2021;
- Date of display of best evaluated bidder was on 23rd/12/2020 and date of removal was 07th/01/2021; and
- The Works Agreement was signed on 11th/01/2021 between M/S Restoration 2010 Co. Ltd and Mukono District Local Government.

**2. Construction of a 5-stance Lined VIP Latrine with Bathrooms at Sempape Memorial P/S in Nakisunga S/C (MUKO542/WRKS/20-21/00014):**

- Letter of Bid Invitation was dated 11th/05/2021;
- The Evaluation Report was signed on 17th/05/2021 by the evaluation committee;
- Contracts committee approved the evaluation report on 20th/05/2021 under minute no. 140.3.2 recommending M/S ALLIED SERVICES COMPANY LTD as the best bidder before a works contract of UGX: 29,909,910 was signed on 20th/05/2021;
- Letter of award was issued on 20th/05/2021 and the contractor responded on 20th/05/2021;
- Date of display of best evaluated bidder was (the bidder was not displayed because it was direct procurement; and
- The Works Agreement was signed on 20th/05/2021 between M/S ALLIED SERVICES COMPANY LTD and Mukono District Local Government.

**3. Construction of a 5-stance Lined VIP Latrine with Bathrooms at Namanoga Public P/S and Painting of Education Department Block (MUKO542/WRKS/20-21/00015):**

- Letter of Bid Invitation was dated 11th/05/2021;
- The Evaluation Report was signed on 17th/05/2021;
- Contracts committee approved the evaluation report on 20th/05/2021 under minute no. 140.2.2 recommending M/S Quality Engs & Suppliers Ltd as the best bidder at a works contract of UGX: 41,426,000;
- Letter of award/acceptance was issued on 20th/05/2021 and the Contractor responded on 20th/05/2021;

- Date of display of best evaluated bidder was (the bidder was not displayed because it was direct procurement;

- The Works Agreement was signed on 20th/05/2021 between M/S Quality Engs & Suppliers Ltd and Mukono District Local Government.

#### **4. Supply and Delivery of ICT Equipment, Science Kits and Chemical Reagents to Kimenyedde Seed School (MoES/SUPLS/20-21/Lot 1&2):**

- Letter of Bid Invitation was dated 08th/01/2021;

- The Evaluation Report was signed on 19th/02/2021 by the evaluation committee;

- Contracts committee approved the evaluation report on 22nd/03/2021 under minute no. 109.2.2 recommending M/S Advanta Uganda Ltd as the best bidder at a works contract of UGX: 203,194,942;

- Letter of award/acceptance was issued on 22nd/03/2021 and the Contractor responded on 22nd/03/2021;

- Date of display of best evaluated bidder was on 04th/03/2020 and date of removal was 18th/03/2020;

- The Works Agreement was signed on 26th/07/2021 between M/S Advanta Uganda Ltd and Mukono District Local Government.

### **Environment and Social Safeguards**

14

Grievance redress mechanism operational.

Maximum 5 points on this performance measure

a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.

Score: 2 or else score 0

i) Evidence that the LG has designated a person to coordinate response to feed-back (grievance /complaints) was obtained from a letter of appointment as complaints coordinator dated 2/11/2020, addressed to the District agricultural officer, endorsed by the CAO.

ii) Evidence that the LG established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant was obtained from a letter of appointment as a member of District Grievance Consultative Committee dated 21/7/2020, endorsed by the CAO.

2

14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.  If so: Score 2 or else 0	At the time of this assessment, there was no evidence that the district has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log.	0
14	Grievance redress mechanism operational.  Maximum 5 points on this performance measure	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.  If so: Score 1 or else 0	At the time of this assessment, there was no evidence that the district has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0	There was no sufficient evidence that the LG integrated into LG Development plans environmental, social and climate change interventions as per the 3 sampled projects below:  Construction of 10 lined stances at Namanoga Primary School and Sempape memorial primary school UGX 70,000,000 page 132 LGDP ,climate change interventions costs not included;  Construction of an in-patient ward at Katongo, climate change interventions costs not included and  Health III UGX 65,000,000 page 131 LDGP III, climate change interventions not included.	0
15	Safeguards for service delivery of investments effectively handled.  Maximum 11 points on this performance measure	b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management  score 1 or else 0	There was evidence that the LG disseminated the enhanced DDEG guidelines during the mentorship program dated 27/01/2021 and the following circulars were disseminated, LG Planning guideline, DDEG, to Sub-county chiefs and sector heads, town clerk  20/09/2021 the LGMSD manua	1

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>Evidence that the district LG incorporated costed Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects for FY 2019/2020 was obtained from the following:</p> <p>1. Construction of a 2 classroom block, store and office at Kivuvu P/S. The costed ESMPs were incorporated in the BOQs Element 10, Item 11.02; 25mm x 3m copper tape, budgeted at UGX 320,000; and</p> <p>2. Construction of a pit latrine at Sempape memorial Muslim school. The costed ESMPs were incorporated in the BOQs Element 7; Soak away pit of size 2500mm on tapering with 1500mm and depth of 1500mm complete with hardcore, budgeted at UGX 200,000.</p>	3
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>At the time of this assessment, there was no evidence of projects which had been costed for additional impact of climate change.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all DDEG projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>No evidence. Schools under the management of the Mukono district LG are mostly constructed and operate on land owned by the foundation bodies.</p>	0



15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>Evidence that the SEO and DCDO conduct support supervision and monitoring to ascertain compliance with ESMPs; and provide quarterly E &amp; S monitoring reports was obtained from quarterly reports on monitoring and implementation of S &amp; E mitigation measures for the following projects:</p> <p>1. Phased construction of 5-stance VIP latrine at Sempape memorial Muslim school dated 2/9/2021;</p> <p>2. Construction of a 2 classroom block at Kivuvu P/S dated 27/4/2021;</p> <p>3. Construction of in-patient ward at Katoogo H/C III dated 23/4/2021;</p> <p>4. Construction of a 5 stance VIP latrine at Kimenyedde H/C II dated 29/1/2021; and</p> <p>5. Borehole for friendship village, Takajunge parish, Nama subcounty dated 4/2/2021.</p>	1
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>g. Evidence that E&amp;S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:</p> <p>Score 1 or else score 0</p>	<p>Evidence that the E&amp;S compliance Certification forms are completed and signed by SEO and DCDO prior to payments of contractors' invoices/certificates at interim and final stages of projects were obtained from the Payment Certificate no. 1 dated 10/8/2020 and issued to M/S Savanah Engineering Works Ltd for the partial completion of Mukono district administration clock.</p>	1

## Financial management

LG makes monthly  
Bank reconciliations

Maximum 2 points on  
this Performance  
Measure

a. Evidence that the LG  
makes monthly bank  
reconciliations and are up to-  
date at the point of time of  
the assessment:

Score 2 or else score 0

There was evidence that the LG makes  
monthly bank reconciliations and were up  
to-date at the point of time of the  
assessment as follows:

Account Name: TSA

Account No: 005420528000000

Reconciliation for August 08/09/2021

Reconciliation for Sept 07/10/2021

Reconciliation for October 04/11/2021;

Account Name: Mukono District Revenue  
collection account

Account no: 005420168000000

Reconciliation for August 10/09/2021

Reconciliation for September 07/10/2021

Reconciliation October 03/11/2021; and

Name of Account: Mukono District  
General Fund

Account No: 01983501007306

August 08/09/2021

Sept 05/10/2021

October 04/11/2021

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that the LG produced all the 4 quarterly internal audit report as follows:</p> <p>Quarter1: submitted on 21/01/2021 and the issues raised were ; understand staffing in hospital , the hospital had no superintendent, the arrears of UGX 179,270,000 not paid to ministry of finance</p> <p>In appropriate records for revenue collected from LLG.</p> <p>Quarter 2: Submitted on 20/05/2021, some of the issues raised were ;failure to constitute LLG physical planning committee, allocation of public land to the chairperson district land board-conflict of interest, failure to recover UWEP fund from 27 groups UGX 140,461,000.</p> <p>Quarter 3: submitted on 22/10/2021, some of the issues raised were UGX 60,333,933 of local revenue at the LLG spent at source, collecting property rates without property rate account leading to expenditure of UGX 83,000,000; inappropriately</p> <p>Payment of constituency council allowance to councils of UGX 30,000,000 without approval by the minister of Local government, failure to confirm teachers who have been in teaching service for 20 years, Mpunge seed secondary school received 40,000,000 to supply desk and never did so, payment of 364,661,419 as excess release over and above primary teachers wage bill.</p> <p>Quarter 4: submitted on 22/10/2021, some of the issues raised were ;Un explained payment in excess of a contract of UGX 475,123,945 for the construction work for the OPD Mukono General hospita</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG provided the information to council/chairperson and the LG PAC on the status of the implementation of Internal audit findings for the previous FY as follows:</p> <p>Quarter 1: submitted on 25/01/2021;</p> <p>Quarter 2: submitted on 20/05/2021;</p> <p>Quarter 3: submitted on 14/07/2021; and</p> <p>Quarter 4: submitted on 21/10/2021.</p>	1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The LGPAC did not review the report</p> <p>0</p>
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## Local Revenues

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>As per the LG final account for the period ended 30th June 2021;</p> <p>Revenue budgeted UGX 2,500,000,000</p> <p>Revenue realized UGX 1,541,143,851.</p> <p>There realization was only 61%</p> <p>0</p>
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% - 10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>In the in FY 2019/2020 revenue realized was UGX 1,194,375,778</p> <p>And in the FY 2020/2021 actual revenue was UGX 1,541,143,851page 32 of the financial statement.</p> <p>Therefore, there was an increase of 29%</p> <p>2</p>
20	<p>Local revenue administration, allocation, and transparency</p> <p>Maximum 2 points on this performance measure.</p>	<p>a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0</p>	<p>As per the LG Financial Statement for the period ended 30th June 2021, the LG received UGX 1,541,143,851 and remitted UGX 829,135,321, trial balance page 76. This represented 54%</p> <p>0</p>

## Transparency and Accountability

LG shares information with citizens

Maximum 6 points on this Performance Measure

a. Evidence that the procurement plan and awarded contracts and all amounts are published:  
Score 2 or else score 0

There was evidence on file that the LG had published/displayed the procurement plan and awarded contracts for previous FY 2020/2021;

- M/S Tekya Metro Enterprises Ltd was displayed as the best evaluated bidder for Namataba TC -Procurement of 20 3-seater desks (MUKO542/SUPLS/20-21/00028) on 08th/12/2020 and removed on 18th/12/2020 and amount seen on the notice (UGX: 6,500,000), was the same as seen in the contract agreement;

- M/S Machechek Enterprises was displayed as the best evaluated bidder for Nagojje S/C -Roofing of Sub- County Headquarters Office (MUKO542/SUPLS/20-21/00028) on 12th/02/2021 and removed on 26th/02/2021 and amount seen on the notice (UGX: 19,780,000) was the same seen in the contract agreement;

- M/S Pera Investments Ltd was displayed as the best evaluated bidder for Design and Construction of an ipatient ward block with Pedriatic wing and bathrooms at Katoogo HC III on 23rd/12/2020 and removed on 07th/01/2021 and amount seen on the notice (UGX: 535,155,519) was the same seen in the contract agreement; and

- The draft procurement plan for FY 2020/2021 was displayed on 01st/06/2020 and that of FY2020/2021 was displayed on 30th/07/2021.

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>There was evidence that the LG publicized the information of LGPA results and its implication as seen on the noticeboard date 08/10/2021</p> <p>Crossing cutting Minimum condition 78%</p> <p>Education minimum condition 100%</p> <p>Health Minimum condition 90%</p> <p>Water and Environment Minimum condition 35%</p> <p>Micro scale irrigation minimum condition 100%</p> <p>Cross cutting performance measure 63%</p> <p>Education Performance measure 53%</p> <p>Health performance measure 54%</p> <p>Water and environment Performance measure 75%</p> <p>Micro Irrigation Performance measure 25%</p>	0
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>There was evidence that the LG during the previous FY conducted discussions as follows:</p> <p>Meeting was held on 22/10/2021 minute 6-Baraza-2021- Presentation by department, there was discussion the status of project implements in education, 8 in 1 staff house at Nakaso Primary school, construction of Kimenyendde Seed secondary school, construction of 5 stance vip latrine at public school;</p> <p>Status of construction of Katongo in patient ward at Katongo Health; and</p> <p>Household hygiene promotional activities in 17 villages in Mpatta and Ntunda sub-counties.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>There was evidence that Mukono LG made publicly available the tax rates, collection procedures and procedures for appeal, the documents were on the noticeboard dated 02/08/2021.</p> <p>The appeal tribunal is composed of the CAO, CFO, Senior revenue officer, Sub county chiefs, Chairperson of revenue enhancement team, District Commercial officer and DCDO</p>	1

22	Reporting to IGG	a. LG has prepared a report on the status of implementation of the IGG recommendations which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0	There was no information about IGG report	<b>1</b>
	Maximum 1 point on this Performance Measure			

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>The PLE Results of the Previous school year but one, of 2019 for MUKONO DLG were as follows: Division 1: 1,702, Division 2: 6,182 and Division 3: 2,228. Total number passed = 10,112. The Total number of students that registered and sat for the exams were 12,512. The number that passed compared to the number registered and sat in 2019 was <math>10,112 / 12,512 \times 100 = 81\%</math> pass rate.</p> <p>The Results for 2020 were as follows: Division 1: 2,117, Division 2: 6,452 and Division 3: 2,398. The Total number passed was 10,967. The Total Number of students registered and sat in 2020 was 13,861. The number of students that passed compared to those that registered and sat was <math>10,967 / 13,861 \times 100 = 79\%</math> pass rate.</p> <p>There was no improvement from 2019 to 2020. Performance reduced from 81% to 79% = (2%).</p>	<b>0</b>
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>The UCE Results of the Previous school year but one of 2019 for MUKONO DLG were as follows: Division 1: 297, Division 2: 254 and Division 3: 249. Total number passed = 800. The Total number of students that registered and sat was 1,722. The number that passed compared to the number registered in 2019 was <math>800 / 1,722 \times 100 = 46.4\%</math> pass rate.</p> <p>The Results for 2020 were as follows: Division 1: 309, Division 2: 267 and Division 3: 344. The Total number of Students passed is 920. The Total Number of students registered and sat in 2020 was 1,810. The number of students that passed compared to those that registered and sat was <math>920 / 1,810 = 51.3\%</math> pass rate.</p> <p>The percentage improvement from 2019 to 2020 was 46.4% to 51% = 4.6%. This is in the range of between 1 and 5%.</p>	<b>2</b>



2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 2</li> <li>• Between 1 and 5% score 1</li> <li>• No improvement score 0</li> </ul>	Not Applicable Now	0
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>The Budget performance report for 4th Quarter dated 9/9/2021 on page 36, plus 4th Quarter Sector Performance report dated 9/9/ 2021, shows the Sector Development Grant Budget of UGX.2, 204,616,591 and Actual of UGX. 2,204,616,591 was used on the following eligible expenditure.</p> <p>Namakwa RC PS-Construction of an 8 in 1staff house UGX. 189,949,925.</p> <p>Kimenyedde Seed SSS- Construction of school UGX.1, 951,651,741.</p> <p>Namanoga Public PS -Construction of 5 Stance Latrine and painting Education Offices UGX. 41,426,000.</p> <p>Sempape Memorial PS - 5 Stance Latrine UGX. 41,909,910</p> <p>Namulaba, Bunyiri, Seeta Nazigo and Kayini PS - Retention for Construction of Latrines UGX.29,413,090</p> <p>These expenses were incurred on eligible activities as per guidelines.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>There was evidence that DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractor as follows:</p> <p>Project Name: Construction of 5 stance VIP lined latrine with bathroom and urinal at Sempepe Memorial primary School in Nakisumba</p> <p>Project No: MUKO452/WRKS/20-21/00014</p> <p>Contract Sum: UGX29,909,910</p> <p>Start date 20/05/2021 contract period days</p> <p>Initiation of payment 11/05/2021</p> <p>Environmental Officer 114/05/2021</p> <p>CDO signed on 14/06/2021</p>	2

CAO 22/06/2021

CFO signed on 22/06/2021

Date of Payment 29/09/2021

Amount paid UGX 26,709,549

Certificate of payment 1.

Project Name: Construction of Kimenyedde  
Seed school

Project NO:MoH/UgFT/19-20/30/42

Contract Sum: 1,951,651,714

Initiation of payment 14/06/2021

District Engineer 14/06/2021

DEO signed on 21/06/2021

CAO signed on 21/06/2021

CFO signed on 21/06/2021

Payment was on 29/06/2021

Amount UGX 131,736,491

Payment certificate 3; and

Project Name: Construction of 8 in 1 staff house,  
kitchen and 4 stance VIP pit latrine with 2  
bathrooms at Nakaswa RC primary school

Project No: MUKO542/WRKS/20-21/00002

Contract Sum: UGX 180,949,893

Initiation of payment

District Engineer signed on 24/05/2021

DEO signed on 08/06/2021

CAO signed 2/06/2021

CDO signed 15/06/2021

Environment 08/06/2021

Payment was on 15/06/2021 UGX 140,027,854

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/-20% of the MoWT estimates score 2 or else score 0

**The variations in the contract price and Engineers estimate for the Education projects implemented the previous FY 2020/2021 sampled were within the range of +/-20% as detailed below:**

1. Construction of 8 in 1 Staff House with a Kitchen and 4 stance VIP latrine with a Bathroom at Nakaswa R/C in Kasawo S/C (MUKO542/WRKS/20-21/00002) was at a contact price of UGX 180,949,825 and Engineer's estimate of UGX 186,122,084. The variation in the two prices was -2.78%.
  2. Construction of a 5-stance Lined VIP Latrine with Bathrooms at Sempape Memorial P/S in Nakisunga S/C (MUKO542/WRKS/20-21/00014) was at a contact price of UGX 29,909,910 and Engineer's estimate of UGX 32,414,100. The variation in the two prices was -7.73%.
  3. Construction of a 5-stance Lined VIP Latrine with Bathrooms at Namanoga Public P/S and Painting of Education Department Block (MUKO542/WRKS/20-21/00015) was at a contact price of UGX 41,426,000 and Engineer's estimate of UGX 41,579,100. The variation in the two prices was -0.37%.
- Note: Formula used was Variation = (Contract Price – Engineers Estimate)/Engineers Estimate) \*100.

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects (Seed Secondary Schools) were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 – 99% score 1
- Below 80% score 0

Documentary evidence was availed indicating that Kimenyedde Seed Secondary School planned in the FY 2019/2020 to FY 2020/2021 was 97% at the end of intended completion date as per the Engineer's report dated 26th/10/2021. The subject of procurement was; Construction of Kimenyedde Seed Secondary School in Kimenyedde S/C (MoES/UgiFT/WRKS/19-20/00042). The contract worth UGX: 1,951,651,742 was awarded to M/S HASO Engineers Ltd on 12th/02/2020 and was expected to take 18 months after commencement (site commenced on 18th/04/2020) with a completion date of 18th/10/2021. The Contractor has almost finished works and just has to make the last bunch of supplies and the last payment will be settled. He has been payment three times and the last payment certificate issued was on 14th/06/2021 when works were at 90% hence from this analysis the LG deserves a score of between 80-99%.

4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines</p> <ul style="list-style-type: none"> <li>• If 100%: score 3</li> <li>• If 80 - 99%: score 2</li> <li>• If 70 - 79% score: 1</li> <li>• Below 70% score 0</li> </ul>	<p>As per the staffing levels of Mukono LG the ceiling is 1758, filled positions are 1728, the gap is 30. which is 98.3%</p>	2
4	<p>Achievement of standards: The LG has met prescribed school staffing and infrastructure standards</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,</p> <ul style="list-style-type: none"> <li>• If above 70% and above score: 3</li> <li>• If between 60 - 69%, score: 2</li> <li>• If between 50 - 59%, score: 1</li> <li>• Below 50 score: 0</li> </ul>	<p>At the time of assessment, the LG was unable to provide a list of registered UPE and USE schools, as well as the consolidated Schools Asset Register for both UPE and USE Schools from the previous two FYs i.e. 2019/20 and 2020/21. Format 1: Education Facility Asset Register at LG Level.</p> <p>It was therefore impossible to Calculate the percent of Schools that meet prescribed minimum standards.</p>	0

## Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>a) Evidence that the LG has accurately reported on teachers and where they are deployed.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>From the Sampled Schools the Teachers are in schools where they are deployed. The Staff list for Schools, deployment and Staff attendance registers were verified on 16/11/2021 and below are the findings:</p> <p><b>Kimenyedde UMEA PS (Semi Urban)</b></p> <p>Nine Teachers were deployed as per staff list, the Actual staff list and staff attendance register at School was also Nine.</p> <p><b>St Joseph Mixed PS Nagalama (Urban)</b></p> <p>Sixteen Teachers were deployed as per staff list, the Actual staff list and staff attendance register at School was also Sixteen.</p> <p><b>Namakwa CU PS (Rural)</b></p> <p>Eleven Teachers were deployed as per staff list, the Actual staff list and staff attendance register at School was also Eleven.</p> <p>The information given by the MLG 100% accurate.</p>	2
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Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.

- If the accuracy of information is 100% score 2

- Else score: 0

At the time of assessment the LG could not avail a Consolidated Schools Asset Register that was accurately reporting on the infrastructure in all registered primary schools.

Although the Asset Registers were in existence from the Sampled Schools as indicated below:

**St Josephs Mixed PS (Urban) dated 12/6/2021**

Number of Classrooms: 11, Number of Latrines: 10

Number of Desks: 180, Number of Teachers Accommodation: 10.

**Namakwa CU PS (Semi Urban) dated 15/10/2020**

Number of Classrooms :11, Number of Latrines: 2 , Number of Desks: 83, and Number of Teachers Houses : 4.

**Kimenyedde UMEA PS (Rural) dated 22/10/2020**

Number of Classrooms: 8, Number of Latrines: 3 each 5 Stance, Number of Desks: 120, Number of Teachers Houses: 2 Blocks.

In the absence of an LG Consolidated Asset Register for FY 2020/21, it was difficult to ascertain the accuracy of the information as there was nothing to verify with.

School compliance and performance improvement:

Maximum 12 points on this performance measure

a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:

- If 100% school submission to LG, score: 4
- Between 80 – 99% score: 2
- Below 80% score 0

The Sampled Schools submitted the reports on the following dates:

St Joseph Nagalama Mixed P S submitted on 18//12/2021

Namakwa CU P S submitted on 11/12/2020

Kimenyedde PS Submitted on 6/12/2020

However the LG didn't comply with the MOES Guidelines since two of the sampled registered primary schools did not submit complete files:

A complete file should include the following:

1: copies of Annual school reports (Signed by the head teacher and chair of the SMC) and budgets from the previous FY to the District Education Officer. (Start Period October 2020 to May 2021).

2: Highlights of School performance.

3: A reconciled cash flow statement.

4: An annual budget and expenditure report.

5: An Asset Register

St. Joseph Nagalama Mixed school was the only one that submitted a complete file. Hence  $1/3 = 33.3\%$  which is below 80%.

The LG did not comply.

School compliance and performance improvement:

Maximum 12 points on this performance measure

b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:

- If 50% score: 4
- Between 30– 49% score: 2
- Below 30% score 0

At the time of Assessment the LG was not able to justify whether they supported schools to develop SIPs.

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 – 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>From the list of schools from MUKONO DLG performance contract submitted on 5th June 2020 and from PBS, the schools were verified to be 187 UPE Primary schools with a total enrollment of 101,188 students. The LG has 19 USE Secondary Schools, with a total enrollment of 7,880 students.</p> <p>All the above named Schools submitted data at 100%.</p> <p>The LG was compliant.</p>	4
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## Human Resource Management and Development

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>MUKONO DLG Budgeted for a Head Teacher and a minimum of 7 Teachers per primary school. There are 187 Head teachers for the 187 Schools that have classes up to P7. There are 1,758 Primary Teachers and they were all budgeted for during FY2021/22 at UGX. 12,186,578,000 as per Approved Budget Estimates on Page31 dated 29th June 2021.</p>	4
7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,</p> <p>Score 3 else score: 0</p>	<p>From the Sampled Schools the Teachers have been deployed as per sector guidelines / staffing norms.</p> <p>Kimenyedde UMEA PS: Teachers Staff List deployed 9 and actual Teachers at School as per Staff attendance register is 9.</p> <p>St. Joseph Mixed PS Nagalama: Teachers Staff list deployed 16 and actual Teachers at School as per Staff attendance register is 16.</p> <p>Namakwa CU PS: Teachers Staff list deployed 11 and actual Teachers at School as per Staff Attendance register is 11.</p> <p>All the Teachers as indicated in the staff lists were deployed in those Schools as per verification carried out on 16/11/2021.</p>	3

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0</p>	<p>From the Sampled Schools, Kimenyedde UMEA PS in Kimenyedde Sub County, St Joseph Mixed PS Nagalama in Nagalama – Nakifuma Town Council and Namakwa CU PS in Nakisunga Sub County, the lists of the Teachers deployed were displayed on the School Notice Boards as verified on 16/11/2021.</p>	1
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence that all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copt to DEO/MEO</p> <p>Kayiira Tom appraised by Bwogi Moses/ Kisowera PS on 26/8/2021</p> <p>Kizza Rajab of Sempape MEM PS appraised by Ssenyonjo Jude Mark on 7/12/2020</p> <p>Lugoloobi Lawrence St Kizito Lutengo PS appraised by Bwogi Moses on 26/8/21</p> <p>Ssebaduka Dorothy of Kivuvu PS appraised by Bwogi Moses 30/3/21</p> <p>Lule Samuel / Katoogo PS appraised by Bwogi Moses on 31/3/2021</p> <p>Ddiro Joseph / Mwanyingiri Ps, appraised by Ssenyonjo Jude Mark on 25/1/2021</p> <p>Kasagga Vito / St. Kizito Banda appraised by Ssenyonjo Jude mark on 15/11/2020</p> <p>Nganda Vincent Vianney / Kitale R/C ps appraised by Bwogi Moses on</p>	2
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised by D/CAO (or Chair BoG) with evidence of appraisal reports submitted to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>No evidence was availed</p>	0



8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>The staff in the LG Education department have been appraised against their performance plans</p> <p>Mr. Sali Gerald Education officer special needs</p> <p>Appraised by Mr. Kikomeko Rashid on 12/80/21</p> <p>Ms Namayanja Anita, inspector of schools appointment date march 9 2020. Min no MD 75/2020.</p> <p>Appraised by Kikomeko Rashid on 6/8/21</p>	2
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8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>The LG DID NOT avail the Capacity Building plan to address identified staff Capacity gaps at School and LG level for FY 2020/2021, at the time of assessment.</p>	0
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### Management, Monitoring and Supervision of Services.

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score: 2 or else, score: 0</p>	<p>The list of schools from PBS VS School list submitted to MOES on 21/12/2020, was the same at 187 GOU schools, their enrolment of 101,188 Students and budget allocation of UGX. 12,186,578,000 Wage Primary (page 31 of Approved Budget FY2021/22).</p> <p>There were no correction to be made hence no need for the letter from CAO correcting the list.</p> <p>The DLG was 100% compliant.</p>	2
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Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.

If 100% compliance, score:2 else, score: 0

According to the Approved budget performance for FY 2020/21 in the DLG Budget of FY2021/22, dated 29th June 2021, page 42 & 43, Account Code 078401:227001: Travel Inland under Monitoring and Supervision of Primary and Secondary Education UGX. 85,871,000, plus Code: 078405:227001 Education Management Services, a provision of UGX.77, 700,000 was spent for the Inspection and monitoring functions and the activities conducted complied with the Sector Guidelines.

This was 100% Compliance.

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters

If 100% compliance, score: 2 else score: 0

The LG warranted the school capitation grants in a timely manner as follows:

Quarter 1 Released on 05/08/2020 warrant 07/08/2020;

Quarter 2 Released on 06/10/2020 warrant 08/10/2021; and

Quarter 3 Released on 08/01/2021 warrant 13/01/2021

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

The DEO had Communicated / Publicized on Notice Boards, capitation releases to Schools on the following dates;

Q1:12/8/2020 UGX.280, 000,000.

Q2:24/8/2020 UGX.122,000,000

Q2:24/8/2020 (Covid Additional funds) UGX.168,553,760 and

Q3: 10/2/2021 UGX.403, 797,032.

From the Sampled schools of Kimenyedde, St Joseph Nagalama and Namakwa Primary Schools, the Capitation grant releases were displayed on their Notice board as verified on 16/11/2021.

Warranting Dates:

Q1: Release Not given Communication 24/8/2020.

Q2: Release 7/8/2020 Communication 12/8/2020

Q3: Release 13/1/2021 Communication 10/2/2020

Q2 and 3 is beyond " within 3 working days".

The LG was not compliant.

Routine oversight and monitoring

Maximum 10 points on this performance measure

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

The LG prepared an Inspection Plan for FY2020/21 dated 6th July 2020. A meeting was also held on 14th July 2020 to prepare for the implementation of the plan.

The items discussed were the main Inspection Pillars as indicated below:

Learning Environment, School management and Head Teacher Performance, effective way of teaching and learning, Involvement of parents and community in school activities and adherence of SOPs during the Corona pandemic.

The LG was compliant.

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>The LG made the following inspections in the previous three school terms:</p> <p>1: 24/7/2020 UPE Schools 158/187=84%</p> <p>2: 26/03/2021 UPE Schools 155/187=83%</p> <p>3: 26/5/2021 UPE Schools 160/187=86%</p> <p>The LG average score, therefore <math>(84+83+86)/3=84\%</math> which is within the range of 80 -90%.</p>	1
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>From the Sampled Schools these were the findings which were discussed and used to recommend for corrective action.</p> <p>Kimenyedde UMEA PS. (Semi Urban- Kimenyedde Sub County)</p> <p>Inspected on 10/9/2020, 30/11/2020 and 03/3/2021.</p> <p>Issues: Teacher Supervision, Hand washing facilities, non-proper use of masks, Temperature Gun purchase and improved use of text books.</p> <p>St Joseph Mixed PS Nagalama.(Urban- Nagalama –Nakifuma Town Council)</p> <p>Inspected on 20/11/2020 and 12/2/2021.</p> <p>Need for more desks for Social distancing, need of teachers houses, curriculum coverage, temperature guns and text book usage.</p> <p>Namakwa CU PS. (Rural- Nakisunga Sub County)</p> <p>Inspections on 14/8/2020, 8/10/2020 and 15/10/2020.</p> <p>Planting a fence around the school, latrine facilities inadequate, class room renovation, time table, scheme of work.</p> <p>All these recommendations were implemented except fencing which was on going and infrastructure construction which was pending until funds are available, this was confirmed during the field visits on verification on 16/11/2021.</p>	2

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0</p>	<p>Copies of the Inspection Reports were left behind as evidenced from the three sampled Schools mentioned below:</p> <p>Kimenyedde UMEA PS. (Semi Urban- Kimenyedde Sub County)</p> <p>Inspected on 10/9/2020, 30/11/2020 and 03/3/2021 and report found at school on 16/11/2021.</p> <p>St Joseph Mixed PS Nagalama.(Urban- Nagalama -Nakifuma Town Council)</p> <p>Inspected on 20/11/2020 and 12/2/2021 and report found at School on 16/11/2021</p> <p>Namakwa CU PS. (Rural- Nakisunga Sub County)</p> <p>Inspections on 14/8/2020, 8/10/2020 and 15/10/2020 and report found at school on 16/11/2021.</p> <p>However, at the time of assessment, it could not be established if the Inspection Reports were also submitted to the Directorate of Education Standards (DES) in the MoES since no acknowledgement was provided.</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>There was evidence that the council committee responsible for education met and discussed services delivery issues as per the following reports:</p> <p>Quarter 1 meeting held on 18/08/2020 minute min5/18/08/2020/2021</p> <p>Projects implemented in 2019/2020, planned activities for quarter one 2020/2021. Challenges facing education department, insufficient reading materials that were supplied to learners, DEO was requested to produce special report on inspection of secondary schools;</p> <p>Quarter 2. 20/10/2020 min11/20/10/2020/2021: Budget performance for quarter on of 2020/2021 and planned activities for quarter two. The need to monitor schools, inspected schools which were ready to open-meet SOPs, registration of PLE candidates was discussed, request to reduce on the money; and</p> <p>Quarter 3 8/12/2020 Min16/MKN/Health-educ/2020/2021</p> <p>Construction of schools, seed schools, staff houses and latrine, submission of files to UNEB, monitoring of 623 to operate, assessed 40 schools in need of assistance, how to consider PWDS during exams.</p>	2

11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>The LG Education Department conducted activities to mobilize, attract and retain children at school as evidenced in the School Inspection Report to DEO dated 14/7/2020 and 22/3/2021.</p> <p>It was recommended that “involvement of parents and community in schools activities</p> <p>The other activities was to buy more desks for proper implementation of social distancing, building more classes and latrines and provision of Clean water for washing Hands.</p>	2
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## Investment Management

12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards,</p> <p><i>score: 2, else score: 0</i></p>	<p>The LG DID NOT provide a Consolidated Asset Register for UPE /USE Schools FY2020/21 and Format 1: Education Facility Asset Register at LG level.</p> <p>Although the Asset Registers were in existence from the Sampled Schools as indicated below:</p> <p>St Josephs Mixed PS (Urban) dated 12/6/2021</p> <p>Number of Classrooms: 11, Number of Latrines: 10</p> <p>Number of Desks: 180, Number of Teachers Accommodation: 10.</p> <p>Namakwa CU PS (Semi Urban) dated 15/10/2020</p> <p>Number of Classrooms :11, Number of Latrines: 2 , Number of Desks: 83, and Number of Teachers Houses : 4.</p> <p>Kimenyedde UMEA PS (Rural) dated 22/10/2020</p> <p>Number of Classrooms: 8, Number of Latrines: 3 each 5 Stance, Number of Desks: 120, Number of Teachers Houses: 2 Blocks.</p> <p>The LG did not provide a Consolidated Asset Register for FY 2020/21 as per Guidelines and therefore it was difficult to verify on 16/11/2021, whether school facilities and equipment were relative to basic standards.</p> <p>The LG not compliant.</p>	0
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12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP III; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, score: 1 or else, score: 0</p>	<p>There was evidence that the LG derived the projects for the LGDP as follows. Mukono LG carried desk appraisal on 30/10/2019 for the following projects:</p> <p>Construction of 8 in 1 staff at Nakaso primary school UGX 180,000,000 page of LDGP III page 132</p> <p>Construction of 10 lined stances at Namanoba Primary School and Sempape memorial primary school UGX 70,000,000 page 132 LGDP</p>	1
12	<p>Planning and budgeting for investments</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, score 1 else score: 0</p>	<p>There was evidence that the LG derived the projects for the LGDP as follows. The field appraisals were all done on 01/11/2019.</p> <p>Construction of 8 in 1 staff at Nakaso primary school UGX 180,000,000 page of LDGP III page 132</p> <p>Construction of 10 lined stances at Namanoba Primary School and Sempape memorial primary school UGX 70,000,000 page 132 LGDP</p> <p>The technical feasibility, environment and social acceptability , customized designs put in the BoQs</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, score: 1, else score: 0</p>	<p>The LG doesn't have a seed secondary school for this FY 2020/2021.</p>	1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>Documentary evidence was availed establishing the approval of the following sampled Education projects implemented in the previous FY 2020/2021 by the contracts committee:</p> <ol style="list-style-type: none"> <li>1. Construction of 8 in 1 Staff House with a Kitchen and 4 stance VIP latrine with a Bathroom at Nakaswa R/C in Kasawo S/C (MUKO542/WRKS/20-21/00002) was approved by the contracts committee on 11th/01/2021 under minute no. 087.1.2 recommending M/S Restoration 2010 Co. Ltd as the best bidder before a works contract of UGX: 180,949,825 was signed on 11th/01/2021;</li> <li>2. Construction of a 5-stance Lined VIP Latrine with Bathrooms at Sempape Memorial P/S in Nakisunga S/C (MUKO542/WRKS/20-21/00014) was approved by the contracts committee on 20th/05/2021 under minute no. 140.3.2 recommending M/S ALLIED SERVICES COMPANY LTD as the best bidder before a works contract of UGX: 29,909,910 was signed on 20th/05/2021; and</li> <li>3. Construction of a 5-stance Lined VIP Latrine with Bathrooms at Namanoga Public P/S and Painting of Education Department Block (MUKO542/WRKS/20-21/00015) was approved by the contracts committee on 20th/05/2021 under minute no. 140.2.2 recommending M/S Quality Engs &amp; Suppliers Ltd as the best bidder before a works contract of UGX: 41,426,000 was signed on 20th/05/2021.</li> </ol>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. <i>score: 1, else score: 0</i></p>	<p>The District Education Officer (Mr. Kikomeko Rashid) established the Project Implementation Team for Education projects implemented in FY 2020/2021 on 06th/07/2021 but some members were not inclusive as specified in the assessment manual on page 38, footnote 11.</p> <p>The team included;</p> <ol style="list-style-type: none"> <li>1. Mr. Kikomeko Rashid (District Education Officer/Contract Manager);</li> <li>2. Mr. Mutyala Innocent (Environmental Officer);</li> <li>3. Ms. Ampaire Christine (Community Development Officer);</li> <li>4. Ms. Namuli Mary (Inspector of Schools); and</li> <li>5. Ms. Adyedyo Christine (ADHO Environment and Health)</li> </ol>	0
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p><b>There was evidence that the standard technical designs provided by the MoES were followed in Construction of Kimenyedde Seed Secondary School.</b></p> <p><b>Findings of the site visit:</b></p> <p>Structures found on ground were; an</p>	1



administration block with a 2-stance pit latrine, 3 class room blocks with 2 classrooms on each block, multipurpose hall, Library and Computer Laboratory, 5-stance pit latrine for girls and 5-stance pit latrine for boys, Science Laboratory, 3 staff house blocks each with a Kitchen and a 2-stance pit latrine, in addition was a playground and water tanks.

### **Sample Measurements:**

Measurements were made on various windows and doors openings to check if sizes specified on drawings were used. Also, number of door/window openings were checked to see if they were placed as per the drawings. The findings from visual checks were that windows/door openings and their sizes were placed as per technical drawings. For example; the following were sampled measurements on a staff house and staff kitchen.

#### **1. Staff Kitchen room 1:**

- The floor size was 3100\*2600mm was whereas actual measurement on the floor plan (drawing no. KIT/FP/01) was 3090\*2485mm;
- The entry door size denoted as D01 was 1180mm whereas actual measurement on door/window schedule (drawing no. KIT/DS/07) was 900\*2400mm; and
- The window sizes denoted as W01 was 950\*1550mm whereas actual measurement on door/window schedule (drawing no. KIT/DS/07) was 900\*1500mm

### **Visual Checks:**

- Floor finish was of cement screed as specified on the Floor Plan (drawing no. KIT/FP/01 and walls were of masonry plastered and painted as specified in the elevations drawing
- Pre-cast Concrete louvered blocks for windows (W05) had been used as specified on the window/door schedule (drawing no. KIT/DS/07);
- The doors used were purpose made steel casement doors, w20 z-angle frame with aluminium gauze fixed to back side of steel louvered pv as indicated on the window/door schedule (drawing no. KIT/DS/07);
- The roof type used was 26 GI sheets fixed on 100\*50\*20mm timber purlins and 150\*50mm rafters Purlins as indicated on the cross section (drawing no. KIT/SEC/02).

#### **2. Staff Kitchen room 1:**

- The floor size of a dining room was 3200\*4300mm was whereas actual measurement was 3200\*4350mm and that of bedroom 1 was 3210\*3200mm whereas actual size was 3170\*3220mm as specified on the 2 Unit Staff House Block (drawing no. SH/FP/01);
- The entry door size denoted as D03 was 1180\*2360mm whereas actual measurement

was 1200\*2400mm and that of bedroom (DO5) was 900\*2380mm and actual size was 900\*2400mm as specified on door schedule (drawing no. SH/DS/08);

- The window sizes denoted as W01(at the lounge/dinning) was 950\*1550mm whereas actual measurement was 900\*1500mm as specified on the window schedule (drawing no. SH/SCH./07).

#### Visual Checks:

2. Floor finish was of cement screed as specified on the Floor Plan (drawing no. SH/FP/01 and walls were of masonry plastered and painted as specified in the elevations drawing (SH/ELE/04);

3. Window (W05) was steel casement window, w20 z-angle frame with aluminium gauze fixed to back side of steel louvered pv had been used as specified on the window/door schedule (drawing no. KIT/DS/07);

4. The doors D03 used were purpose made steel casement doors, w20 z-angle frame with aluminium gauze fixed to back side of steel louvered pv and D05 was a solid core timber door provided with aluminium wire gauze fixed to the back side of steel louvered pv as indicated on the window/door schedule (drawing no. SH/DS/08);

5. The roof type used was 26 GI pre-painted profiled steel galvanised sheets as indicated on the cross section (drawing no. SH/TD/02).

13

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY score: 1, else score: 0

The evidence availed was not sufficient enough to indicate that monthly site meetings were held during the Construction of Construction of Kimenyedde Seed Secondary School in Kimenyedde S/C (MoES/UgiFT/WRKS/19-20/00042) since the file availed had only 2 site meetings dated 23rd/12/2020 and 17th/02/2021.

0

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc .., has been conducted *score: 1, else score: 0*

The project of interest as guided by OPM's team is on page 15 of the Final Checklists is a Seed Secondary School and there was evidence availed indicating at least 1 monthly joint supervision of the DE, Environmental Office and CDO during critical stages of Construction of Kimenyedde Seed Secondary School in Kimenyedde S/C (MoES/UgiFT/WRKS/19-20/00042). Each office mostly supervised separately and it's only the Engineer who supervised monthly as indicated below;

a) Project Supervisor (Eng. Herbert Lutwama);

- The Engineer supervised monthly as per his reports and site visitors' book/instructions given. For example, this date showed his involvement; 27th/04/2020, 30th/04/2020, 05th/05/2020, 12th/05/2020, 25th/05/2020, 10th/06/2020, 15th/07/2020, 27th/07/2020, 07th/08/2020, 05th/09/2020, 21st/09/2020;

- In the site meetings minutes the he was seen only in that held on 17th/02/2021.

b) Environmental Officer (Mr. Mutalya Joseph Innocent) and Community Development Officer (Mr. Ntege James)

- The reports for these of officers were dated 25th/02/21, 05th/04/2021, 05th/05/2021 and all were duplicated from one with the same wording and statements.

- In the site visitors book the Environmental Officer was seen on 25th/05/2020, 09th/09/2020, 21st/09/2020 23rd/12/2020 and 20th/04/2021.

- The CDO was never seen anywhere throughout the entire visitor's book except for the Labour Officer.

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, *score: 1, else score: 0*

There was evidence that the LG initiated payment requests for sector infrastructures and executed as per contract as follows:

Project Name: Construction of 5 stance VIP lined latrine with bathroom and urinal at Sempepe Memorial primary School in Nakisumba

Project No: MUKO452/WRKS/20-21/00014

Contract Sum: UGX29,909,910

Start date 20/05/2021 contract period days

Initiation of payment 11/05/2021

Environmental Officer 14/05/2021

CDO signed on 14/06/2021

CAO signed on 22/06/2021

CFO signed on 22/06/2021

Date of Payment 29/09/2021  
 Amount paid UGX 26,709,549  
 Certificate of payment 001;  
 Project Name: Construction of Kimenyedde Seed school  
 Project NO:MoH/UgFT/19-20/30/42  
 Contract Sum: 1,951,651,714  
 Initiation of payment 14/06/2021  
 District Engineer 14/06/2021  
 DEO signed on 21/06/2021  
 CAO signed on 21/06/2021  
 CFO signed on 21/06/2021  
 Payment was on 29/06/2021  
 Amount UGX 131,736,491  
 Payment certificate 3; and  
 Project Name: Construction of 8 in 1 staff house, kitchen and 4 stance VIP pit latrine with 2 bathrooms at Nakaswa RC primary school  
 Project No: MUKO542/WRKS/20-21/00002  
 Contract Sum: UGX 180,949,893  
 Initiation of payment  
 District Engineer signed on 24/05/2021  
 DEO signed on 08/06/2021  
 CAO signed 2/06/2021  
 CDO signed 15/06/2021  
 Environment 08/06/2021  
 Payment was on 15/06/2021 UGX 140,027,854.

13

Procurement, contract management/execution  
*Maximum 9 points on this performance measure*

h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, *score: 1, else, score: 0*

A documentary Evidence was availed indicating that the Education department submitted its sector procurement plan for Previous FY 2020/2021 to PDU on 11th/03/2020 which is before the deadline of 30th of April.

1

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law <i>score 1 or else score 0</i></p>	<p><b>The LG had complete procurement files for the Construction of Kimenyede Seed Secondary School at Nazigo in Kimenyedde S/C (MoES/WRKS/19-20/00042) implemented in FY 2019/2020 - 2020/2021 in place with all records as required by the PPDA Law:</b></p> <ul style="list-style-type: none"> <li>• Letter of Bid Invitation was dated 27th/08/2019;</li> <li>• The Evaluation Report was signed 01st/11/2020 on by the evaluation committee;</li> <li>• Contracts committee approved the evaluation report on 27th/01/2020 under minute no. 081.1.2 recommending M/S HASO Engineers Company Ltd as the best bidder before a works contract of UGX: 1,951,651,741;</li> <li>• Date of display of best evaluated bidder was on 09th/01/2020 and date of removal was 22nd/01/2020</li> <li>• The Solicitor General (Ms. E. Namagabe) approved on 06th/02/2020;</li> <li>• Letter of acceptance was issued by Mukono DLG on 12th/02/2020 and the contractor responded on 12th/02/2020;</li> <li>• The Works Agreement was signed on 12th/02/2020 between M/S HASO Engineers Company Ltd and Mukono District Local Government.</li> </ul> <p>Note: The Project was started in FY 2019/2021 but was completed in the Previous FY 2020/2021.</p>	1
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## Environment and Social Safeguards

14	<p>Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0</p>	<p>N/A. There was no evidence that grievances have been recorded nor investigated in the education sector .</p>	0
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15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence at the time of assessment that the LG Disseminated Education guidelines incorporating E &amp; S Requirements.</p> <p>A field verification visit to the Sampled schools of Kimenyedde UMEA PS in Kimenyedde Sub County, St Joseph Mixed PS Nagalama in Nagalama – Nakifuma Town Council and Namakwa CU PS in Nakisunga Sub County, on 16/11/2021, Confirmed there were no guidelines received nor delivered by the LG to the above schools.</p> <p>The LG not Compliant</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>Evidence that the district has in place a costed ESMP and this is incorporated within the BoQs and contractual documents was obtained from the costed EMSP and the BOQ for education sector projects; For example::</p> <p>1.Construction of a 2 classroom block, store and office at Kivuvu P/S. The costed ESMPs were incorporated in the BOQs Element 10, Item 11.02; 25mm x 3m copper tape, budgeted at UGX 320,000; and</p> <p>2.Construction of a pit latrine at Sempape memorial Muslim school. The costed ESMPs were incorporated in the BOQs Element 7; Soak away pit of size 2500mm on tapering with 1500mm and depth of 1500mm complete with hardcore, budgeted at UGX 200,000.</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>Proof of land ownership, access of - school construction projects was obtained from an MoU between Kiyiribwa Muslim charitable and development organisations Ltd and Mukono district LG, dated 9/3/2020. The former offered land measuring 7.2 acres to the LG for the construction of seed secondary school.</p>	1
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>Evidence that the SEO and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions was obtained from the E &amp; S implementation progress forms for the following projects:</p> <p>1.Construction of a 2 classroom block at Kivuvu P/S was monitored on 18/3/2021 and 23/4/2021; and</p> <p>2.Construction of an in-patient ward at Katoogo H/C III was monitored on 31/3/2021 and 23/4/2021.</p>	2

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

*Score: 1, else score:0*

Evidence that E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments was obtained from Contractor payment Certification Forms for education projects. For example:

1. Construction of a 2 classroom block with an office and store including furniture at Kayanja P/S. Final Payment Certificate (100% retention release) dated 19/6/2020;
2. Construction of a 5-stance lined VIP latrine with bathroom and urinal at Sempape Memorial Muslim School , dated 14/6/2021; and
3. Construction of a 2 classroom block with an office and store including furniture, at Kayini-Kamwokya P/S, in Seeta-Namuganga subcounty. Final Payment Certificate (100% retention release) dated 14/6/2021.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>New_Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>There was evidence that LG registered increased utilization of Health Care Services based on total deliveries for the sampled health facilities at 28% from 1519 in 2019/2020 to 1890 in 2020/2021 as detailed below;</p> <p>1-Kyampisi HCIII, total deliveries increased by 50% from 272 in 2019/2020 to 542 in 2020/2021</p> <p>2-Kooma HCIII, total deliveries increased by 31% from 158 in 2019/2020 to 230 in 2020/2021</p> <p>3-Kojja HCIV, total deliveries increased by 3% from 1519 in 2019/2020 to 1890 in 2020/2021</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted for UGX 715,352,000 and spent all on the following:</p> <p>Construction of OPD at Mukono General Hospital UGX 500,000,000-page 64 annual budget performance report.</p> <p>Construction of in patient ward at Katongo Health II UGX 158,548,000 page 63</p> <p>Construction of VIP pit latrine at Kimenyedde Health Centre II UGX 32,248,000 page 63</p> <p>Monitoring and investment cost UGX 7,167,000</p> <p>Balance UGX 2,156,000</p> <p>Therefore LG did not spend all the Health development grant.</p>	0
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/suppliers score 2 or else score 0</p>	<p>There was evidence that payments for all health contracts were certified before payments were made as follows:</p> <p>Project Name: construction of an in-patient ward with a pediatric wing and bathroom at Katongo health centre III</p> <p>Project No; MUKO542/WRKS/20-21/00003</p> <p>Contract Sum: UGX 535,155,519</p> <p>Start date 10/02/2021 contract period</p> <p>Initiation of payment</p> <p>Certificate of payment 15/04/2021</p>	2



District Engineer 15/04/2021

DHO signed on 15/04/2021

Environmental Officer signed on 14/04/2021

DCDO signed on 14/04/2021

CAO signed on 25/04/2021

Payment was 7/05/2021

UGX 157,987,084;

Project Name: Partial completion of  
administration block

Project No; MUKO542/WRKS/19-20/00001

Contract Sum: UGX 735,817,665

Start date 10/02/2021 contract period

Initiation of payment

Certificate of payment 10/08/2020

District Engineer 10/00/2020

DHO signed on 15/04/2021

Environmental Officer signed on 12/08/2020

DCDO signed on 12/08/2020

CAO signed on 20/08/2020

Payment was 26/08/2020

UGX 92,110,174; and

Project Name: Payment of retention for  
construction of 2 classroom block with store  
including furniture

Project No; MUKO542/WRKS/19-20/00004

Contract Sum: UGX 167,045,550

Start date 10/02/2021 contract period

Initiation of payment

Certificate of payment 15/08/2021

District Engineer 15/06/2021

DHO signed on 15/06/2021

Environmental Officer signed on 15/05/2021

DCDO signed on 15/06/2021

CAO signed on 21/06/2021

Payment was 28/06/2021

UGX 13,590,178.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

c. If the variations in the contract price of sampled health infrastructure investments are within +/-20% of the MoWT Engineers estimates, score 2 or else score 0

**The variations in the contract price and Engineers estimate for the health projects implemented the previous FY 2020/2021 sampled were within the range of +/-20% as detailed below:**

1. Construction of a 5-stance VIP Pit Latrine with a Urinal at Kimenyede HC II (MUKO542/WRKS/20-21/00009) was at a contract price of UGX 32,248,800 and Engineer's estimate of UGX 32,141,100. The variation in the two prices was 0.34%.
2. Construction of an In-Patient Ward Block with a Pediatric Wing and Bathroom at Katoogo HC III MUKO542/WRKS/20-21/00003) was at a contract price of UGX 535,155,519 and Engineer's estimate of UGX 603,834,593. The variation in the two prices was -11.38%.
3. Supply and Delivery of ICT Equipment, Science Kits and Chemical Reagents to Kimenyedde Seed School (MoES/SUPLS/20-21/Lot 1&2) was at a contract price of UGX 203,194,942 and Engineer's estimate of UGX 210,522,000. The variation in the two prices was -3.48%.

Note 1: The LG only implemented 2 infrastructure projects in the last FY and project 3 was just an additional sample made.

Note 2: Formula used was Variation = (Contract Price – Engineers Estimate)/Engineers Estimate) \*100.

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

The project of interest as specified in the assessment manual on page 43 is a Health Centre II upgraded to HCIII and Mukono DLG did not have such a Health Centre. However, it has been given a mark as guided by the assessment manual.

4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure</p> <ul style="list-style-type: none"> <li>• If above 90% score 2</li> <li>• If 75% - 90%: score 1</li> <li>• Below 75 %: score 0</li> </ul>	<p>As per the staff lists of PHC staff as at 31 august 2021, they were 278 positions 241 filled positions which 87%</p>	1
4	<p>Achievement of Standards: The LG has met health staffing and infrastructure facility standards</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.</p> <ul style="list-style-type: none"> <li>• If 100 % score 2 or else score 0</li> </ul>	<p>The project of interest as specified in the assessment manual on page 43 is a Health Centre II upgraded to HCIII and Mukono DLG did not have such a Health Centre. However, it has been given a mark as guided by the assessment manual.</p>	2

## Performance Reporting and Performance Improvement

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence that information on positions of health workers filled is accurate as seen;</p> <p>Seeta Nazigo HCIII, all the health workers were in place as seen on both the lists of the DHO and that of the facility indicating 19 staff dated 1st Nov 2021</p> <p>Nakifuma HCIII, all the health workers were in place as seen both the list of the DHO and that of the facility. Both lists indicated 21 staff dated 1st Nov 2021</p> <p>Kasawo HCIII, all the health workers were in place as seen on both the list of the DHO and that of the facility. Both lists indicated 16 staff dated 1st Nov 2021.</p>	2
5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The information submitted in the PBS on construction status and functionality was accurate according to the annual report dated 28th May 2021</p> <p>1-Construction of pit latrine at Kimenyedde HCII</p> <p>2-Construction of an inpatient ward block with paediatric wing and bathrooms at Katoogo HCIII</p> <p>Note. There was no upgrade of any health facility</p>	2

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>There was evidence that the health facility prepared and submitted Annual Work plan and budget to the DHOs by March 31st of the previous FY following the prescribed formats as indicated below;</p> <p>1-Koome HCIII submitted on 22nd of March 2021</p> <p>2-Kabanga HCIII submitted on 24th March 2021 and,</p> <p>3-Goma HCIII submitted on 25th March 2021</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>There was evidence that the health facility prepared and submitted to the District Health Officer the Annual Budget Performance Reports for the previous FY by July 15th of the current FY as per the Budget and Grant guidelines as follows;</p> <p>1-Seeta Namuganga HCII submitted on 7th July 2021</p> <p>2-Mukono General Hospital submitted on 2nd July 2021</p> <p>3-Nabalongo HCIII submitted on 1st July 2021</p>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>There was evidence that only one out of the three health facilities sampled had incorporated performance issues identified in DHMT monitoring and assessment reports in their improvement plans for the current FY as indicated below;</p> <p>Kabanga HCIII, in the assessment and monitoring report of 19th May 2021 in the 3rd quarter, the issue identified was lack of internet to support entry of birth and death notifications. This issue was incorporated in the performance Improvement plan (PIP) dated 24th March 2021 where procurement of data bundles has been included.</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,</p> <ul style="list-style-type: none"> <li>• score 2 or else score 0</li> </ul>	<p>There was evidence that all the health facilities sampled did not submit 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). Details of the 3 sampled health facilities are presented below:</p> <ol style="list-style-type: none"> <li>1. Kyampisi HCIII, submitted 100% of reports within 7 days following the end of each month and quarter. The DHIS2 report generated indicated 100% reporting rate;</li> <li>2-Koome HCIII did not submit 100% of the reports within 7 days following the end of each month and quarter. The report of February was submitted late on 5th of April, and the reports of May and June were missing in the file at the time of assessment</li> <li>3. Kojja HCIV submitted 100% of reports within 7 days following the end of each month and quarter.</li> </ol>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0</p> <p>Note: Municipalities submit to districts</p>	<p>There was evidence that Health facility submitted RBF invoices timely (by 15th of the month following end of the quarter) as follows;</p> <ol style="list-style-type: none"> <li>1-Koome HCIII submitted on 7th July 2021</li> <li>2-Goma HCIII submitted on 6th July 2021</li> <li>3-Kabanga HCIII submitted on 7th July 2021</li> </ol>	2
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>The LG did not timely verify, compile and submit facility RBF invoices to MoH. Submission dates for all quarters were after the deadline of end of 3rd week of the month following end of the quarter as presented below;</p> <p>In the 1st Quarter, submission was done on 7th Jan 2021</p> <p>In the 2nd Quarter submission was done on 1st Feb 2021</p> <p>In 3rd Quarter submission was on 25th May 2021</p> <p>In 4th Quarter submission was done on 26th Aug 2021</p>	0

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>There was evidence that the LG timely submitted to the plans as follows:</p> <p>Quarter 1 was submitted on 06/10/2020;</p> <p>Quarter 2 was submitted on 03/01/2021;</p> <p>Quarter 3 was submitted on 04/04/2021; and</p> <p>Quarter 4 was submitted on 07/07/2021.</p>	1
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>h) Evidence that the LG has:</p> <p>i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>No Approved Performance Improvement Plan for the weakest performing health facilities</p>	0
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p> <p>Maximum 14 points on this performance measure</p>	<p>ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0</p>	<p>There was no evidence that the Performance Improvement Plan (PIP) for weakest performing facilities had been implemented.</p>	0

## Human Resource Management and Development

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0</p>	<p>There was evidence that the LG budgeted for the health workers in accordance with the staffing norms as seen on file</p> <p>Approved work plan and budget Estimates for health workers FY 2021/2022 dated 29th June 2021 page 22, amounting to 4,260,455,000 UGX</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>a) Evidence that the LG has:</p> <p>ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0</p>	<p>The LG deployed Health workers as per guidelines (all the health facilities to have at least 75% of staff required). Health facility deployment is 77% as per current staff levels report dated 22nd July 2020</p>	2
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>There was evidence on file that the health staff in the sampled facilities are working where they are deployed;</p> <p>In Seeta Nazigo, both deployment lists, that from the DHO and the health facility were the same indicating 19 staff. The lists were dated 1st Nov 2021.</p> <p>In Nakifuma HCIII, all staff deployed this facility were working there. Both the health facility and DHO lists were the same indicating 21 staff. Both lists were dated 1st Nov 2021.</p> <p>In Kasawo HCIII, the list of health workers deployed was the same as that from the District Health Officer. Both lists indicated 16 staff deployed.</p>	3

7

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines (at least 75% of the staff required).

Maximum 9 points on this performance measure

c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0

There was evidence that the LG has publicized health workers deployment and disseminated by posting on the facility Notice boards;

In Seeta Nazigo HCIII, the list of health workers working in the facility was pinned on notice board of the health facility dated 1st Nov 2021

In Nakifuma HCIII, the list of health workers was seen displayed on the notice board of the health facility dated 1st Nov 2021

In Kasawo HCIII, the list of all the health workers working in this facility was pinned on the health facility Notice board dated 1st Nov 2021

2

8

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

a) Evidence that the DHO/MMOHs has:

i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0

There was evidence that the LG had Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY

Ajambo Janifer, appraised by Ssenyonjo Jude mark on 25/7/21

Nakamya Juliet Kaggwa appraised b Makonzi Aggrey on 19/7/21

Tamale issa appraised by Kalagi Emmanuel Bukenya, on 1/9/21

Nassali rose Mugerwa by Makonzi Aggrey on 19/7/21

Kisinka Wycliff by Dr. Kigonya Kenneth on 21/7/21

Nakayiza Ester stella by Namusoke Margaret on 12/7/21

Bagudde Ashad by Kisinka Wycliff on 11/7/21

David Namuwerezi by Nassali on 26/7/21

1



Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0

There was evidence that the LG had ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY

Nassuna Recheal enrolled nurse appraised by Ajambo jenifer on 10/7/21

Nabakooza Harriet enrolled mid wife appraised by Nassali Rebeca on 26/7/21

Nakasi Agnes enrolled Pyscatric nurse appraised by JjUMBA William on 19/7/21

Taremwa Enock enrolled nurse appraised by Ndagire Harimah on 14/7/21

Nakalegga Victoria Records assistant appraised by Ssemwogerere Julius Records assistant appraised by 2/8/21

Namagembe Dorah nursing officer appraised by Namugayi Christine on 2/8/21

Namuyanja Juliet othophthalmic officer appraised Muhindo ISAIHAH on 28/7/21

Wampande Henry, health assistant appraised by Kalagi Emmanuel Bukenya on 13/7/21

Bagonza Winfred laboratory Technician appraised by

Natukunda Rosetti enrolled mid wife appraised by Nantaba Deborah on 26/8/21

Namazzi Mary enrolled nurse appraised by Namusoke Margaret on 19/7/21

Performance management: The LG has appraised, taken corrective action and trained Health Workers.

Maximum 6 points on this performance measure

iii. Taken corrective actions based on the appraisal reports, score 2 or else 0

There were no corrective actions recommended on appraisal

8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was evidence that LG conducted trainings of health workers (Continuous Professional Development) in accordance to the CPD training plan FY 2020/2021 obtained from the DHOs office as detailed below;</p> <p>Training of health workers on COVID-19 prevention report dated 10th November 2020</p> <p>Training report for young people and adolescent for peer support (YAPS) dated 28th March 2021</p> <p>Group ANC training for health workers dated 20th May 2021</p>	1
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>There was no database for CPD for all the trained health workers dated at the time of assessment.</p>	0
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### Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0</p>	<p>There was evidence that the CAO had written a letter dated 2nd September 2021 addressed to the permanent secretary MOH, confirming the list of health facilities receiving PHC funds.</p>	2
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum 9 points on this performance measure</p>	<p>b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.</p>	<p>Health Budget was UGX 2,708,870,000 page 15 Annual budget performance report, Monitoring service delivery and management of District health services UGX 87,184,526 page 59 and 60, Budget expenditure item toward monitoring</p>	2

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

There was evidence that LG timely warranted the PHC releases as follows:

Quarter 1 Release on 05/08/2020, warrant 07/08/2020;

Quarter 2 Release on 06/10/2020, warrant was on 08/10/21;

Quarter 3 Release on 08/01/2021, 08/10/201; and

Quarter 4 Release on 06/04/2021, 08/04/2021.

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of receipt of the funds release in each quarter, score 2 or else score 0

The LG CAO communicated and invoiced the quarterly correspondences of releases to the Health facilities within 5 working days as follows:

Quarter 1 Release on 05/08/2020, invoiced and communicated on 07/08/2020;

Quarter 2 Release on 06/10/2020, invoiced and communicated on 08/10/21;

Quarter 3 Release on 08/01/2021, 08/10/201; and

Quarter 4 Release on 06/04/2021, invoiced and communicated on 08/04/2021.

Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.

Maximum 9 points on this performance measure

e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0

There was evidence that the LG had not publicized all the quarterly functional releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED through writing letter to the health facility in charges as explained below;

In quarter 1, the MoFPED released the non-wage recurrent grants on 24th July 2020, and the LG publicized all health facilities receiving non-wage recurrent grants on 21st July 2020

In quarter 2 the MoFPED released the non-wage recurrent grants on 22nd Oct 2020, and the LG publicized all health facilities receiving non-wage recurrent grants on 12th Oct 2020

In quarter 3, the MoFPED released the non-wage recurrent grants on 21st Jan 2021, and the LG publicized all health facilities receiving non-wage recurrent grants on 18th Jan 2021 and,

In quarter 4, the MoFPED released the non-wage recurrent grants on 28th April 2021, and the LG publicized all health facilities receiving non-wage recurrent grants on 12th April 2021.

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0

There was evidence that the actions recommended by the DHMT quarterly performance review meetings held during the previous FY had been implemented as detailed below;

In quarter 1, DHMT meeting report of 9th July 2020 MIN 6, the issue identified was lack of instruments like autoclaves in health facilities which conduct deliveries. As a follow up, the procurement of autoclaves was included in the Performance Improvement plan (PIP) of Lwanga HCIII and a letter dated 1st Dec 2020 was written by the RBF focal to the DHO requesting for authorization to redistribute autoclaves from Seeta Nazigo HCIII to Kojja HCIV and Kasawo HCIII that did not have autoclaves.

In the second quarter the DHMT meeting report 5th Feb 2021 MIN 4, the issue was insufficient TB screening in health facilities. The meeting recommended that the DHO should write to all health facilities to strengthen TB screening in the various departments. As a follow up, in a letter dated 20th Nov 2020, the DHO wrote to all health facility in-charges instructing them to conduct TB screening in all health departments.

In the 3rd quarter DHMT meeting report MIN 5, dated 17th Feb, the issue was that there was skills gap among midwives of Seeta Nazigo HCIII. The recommendation was that the affected midwife be attached to Mukono General hospital for skills enhancement. As a follow up the DHO wrote to the midwife instructing her to report to Mukono General Hospital for one week under the direct supervision of medical superintendent and the principal Nursing Officer (PNO) in a letter dated 8th Feb 2021.

In the 4th Quarter, the DHMT meeting MIN 4 dated 14th of April 2021, the issue discussed was observing COVID 19 SOPs and provision of PPEs to all health facilities and DHT members. As a follow up the DHO wrote to UNICEF in a letter dated 29th Sept 2021 requesting for Water Hygiene and Sanitation (WASH) items. The WASH items were delivered procured and delivered by UNICEF to the DHO officers as per delivery forms dated 22nd Oct 2021.

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>As per Quarterly performance Review meetings held on;</p> <p>1st quarter on 28th Sept 2020</p> <p>2nd quarter 11th Dec 2020</p> <p>3rd Quarter on 31st March 2021 and,</p> <p>4th Quarter on 28th June 2021</p> <p>District quarterly performance review meetings involved all health facilities in charges, implementing partners, Production department key LG departments e.g. Water, Community Development, Education department, Sec for Health Education officer, Senior CDO, Accountant and Internal Auditor and HR</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>There was documentary LG supervised 100 % of HC IVs and General hospitals at least once every quarter in the previous FY as detailed below</p> <p>In Quarter 1, support supervision conducted from 15th July to 13th August 2020 report dated 7th Oct 2020 .They supervised Nagalama Hospital, Kojja HCIV and Mukono General Hospital</p> <p>In quarter 2, support supervision conducted from 4th Oct to 21st Nov 2020, report dated 5th Jan 2021. Nagalama Hospital, Kojja HCIV and Mukono General Hospital</p> <p>In quarter 3, support supervision from 18th Feb to 5th March 2021 report dated 8th April 2021. Nagalama Hospital Kojja HCIV and Mukono General Hospital</p> <p>In quarter 4 report dated 26th June 2021, conducted from 20th April to 20th May 2021 report dated 25th June 2021. Nagalama Hospital Kojja HCIV and Mukono General Hospital</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <p>• If not applicable, provide the score</p>	<p>There was evidence that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY. There are 2 HSDs in Mukono local government as mentioned below;</p> <p>Mukono South and Nakifuma</p> <p>In Mukono South HSD support supervision was carried as follows;</p> <p>In quarter 1, support supervision was conducted from 10th Aug 2020 to 30th Sept 2020 and the report is dated 12th Oct 2020 .The facilities supported Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII,</p>	1

Kyabalogo HCII and Mirembe Maria HCII.

In quarter 2, Support supervision was conducted from 4th Oct to 15th Dec 2020 and the report is dated 14th Jan 2021. Facilities supported include the following; Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII, Kyabalogo HCII and Mirembe Maria HCII.

In quarter 3, Support supervision was conducted from 6th Jan 30th Jan 2021 and the report is dated 10th Feb 2021. Facilities supported include the following; Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII, Kyabalogo HCII and Mirembe Maria HCII.

In quarter 4, Support supervision was conducted from 15th April 2021 to 20th June 2021 according to the report dated 31st July 2021. They supported Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII, Kyabalogo HCII and Mirembe Maria HCII.

For Nakifuma HSD

In first quarter, Support Supervision was carried from 5th to 20th July 2020 The support was given to the following facilities;

Nabalanga HCIII, Kasawo HCIII, Nagojje HCIII, Seeta Namuganga HCIII, Kimenyedda HCII and Seeta Kasawo HCII In Quarter 2, Support supervision was carried out from 25th Jan to 15th March 2021, report dated 22nd March 2021. They supported the following facilities;

Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII, Kyabalogo HCII and Mirembe Maria HCII. Others are Nakifuma HCIII, Wagala HCII, Katete HCII, Kyabazala HCIII and Kigogola HCII

In 3rd Quarter, Support supervision was carried out from 1st April to 7th April 2021 to 19th March 2021 dated 27th April 2021 March and supported the following facilities; Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII, Kyabalogo HCII and Mirembe Maria HCII. Others are Nakifuma HCIII, Wagala HCII, Katete HCII, Kyabazala HCIII and Kigogola HCII

In quarter 4, support supervision was conducted from 1st to 20th April 2021 and the report is dated 1st May 2021

Seeta Nazigo HCIII, Kyetume CBH HCIII, Mpunge HCIII, Bugoye HCII, Kiyoola HCII, Royal Van Xanthens HCII, Kyabalogo HCII and Mirembe Maria HCII. Others are Nakifuma HCIII, Wagala HCII, Katete HCII, Kyabazala HCIII and Kigogola HCII

Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.

Maximum 7 points on this performance measure

e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0

There was evidence that the LG used results/ reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed as elaborated below in the different supervisions conducted quarterly;

In quarter 1 of 7th Oct 2020, the issue identified was expiry of medicines at health facilities. And recommendation was that National Medical Stores (NMS) should assist facilities in their areas of jurisdiction to implement guidelines on redistribution and management of expired

/spoiled Essential medicines and health supplies (EMHS). As a follow up a letter dated 13th Aug 2020 requesting retrieval of expired medicines and health supplies was written by the DHO to the General Manager NMS.

In the support supervision of second quarter dated 5th Jan 2021, the issue identified was that the Health facility of Wagala HCII was found dirty. The team recommended that the in-charge should critically supervise and appraise the porter's adherence to job schedules. As a follow up the in-charge of Wagala HCII wrote to the DHO in a letter dated 4th Aug 2020 reporting the absenteeism of Masete Andrew the porter. In response DHO wrote to the CAO recommending salary suspension and disciplinary action to be taken against the said officer in a letter dated 4th Aug 2020. So the rewards and sanction committee sat and recommended the transfer of that staff to another facility in a letter dated 21st Oct 2020.

In the support supervision of dated 8th April 2021 Quarter 3, the issue identified is that Kiyola HCII was not using bin liners for waste segregation. The team recommended the facility to start using bin liners. As follow in the next support supervision of 3rd quarter dated 8th April 2021, the health facility was found using bin liners for waste segregation.

In the support supervision dated 25th June 2021 quarter 4, the issue found was that the vaccine fridge temperature was above 8 degrees centigrade in Feb 17th 2021 and needed a corrective action to be taken. The team recommended defrosting of the vaccine fridge. As a follow in the support supervision of June 25th the team established a normal temperature reading of + 2 to + 8 degrees centigrade.



10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence that the DHO provided support supervision to all health facilities in the management of medicines and health supplies, during the previous FY as shown below;</p> <p>District health supply chain management report for FY 2020/2021 dated 1st July 2020 to 30th June 2021.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>There was evidence that the LG allocated atleast 30% of health office budget to the Health promotion and prevention as below:</p> <p>District Health Office budget was UGX 34,159,000 page 22 Annual approved budget and allocated was UGX 14,407,000 page 60 of quarter 4. This allocation is 42%.</p>	2
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>There was evidence that the DHT led health promotion, disease prevention and social mobilization activities as per ToRs for MHTs, during the previous FY as detailed below;</p> <p>1-Corona Virus Disease prevention carried out from 15th Feb to 3rd June 2020 in all sub counties report dated 3rd June 2020.</p> <p>2- Home based care management of Covid-19 positives</p> <p>Conducted from 30th December to 22nd Jan 2020 report dated 1st March 2020</p> <p>3-Situation report of covid-19 dated 16th December 2020.</p>	1
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was no evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports at the time of assessment.</p>	0

## Investment Management

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>No updated asset register available at the time of assessment</p>	0
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were:</p> <p>(i) derived from the third LG Development Plan (LGDPIII);</p> <p>(ii) desk appraisal by the LG; and</p> <p>(iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)):</p> <p>score 1 or else score 0</p>	<p>The TPC met on 27/08/2020 minute Min 03-21-03.2 and discussed the following :</p> <p>Construction of an in-patient ward at Katongo Health III UGX 65,000,000 page 131 LDGP III</p> <p>Construction of 5 stance VIP latrine at Kimenyedde Health Center II UGX 35,000,000 page 131 of LGDP III.</p>	1
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for:</p> <p>(i) technical feasibility;</p> <p>(ii) environment and social acceptability; and</p> <p>(iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There was evidence that LG carried out Field appraisals reports dated 01/11/2019 showed the LG carried field appraisal for technical feasibility, environmental and social acceptability and had designs customised. The designs were in the BOQ of the Health projects. The projects were as follows,</p> <p>Construction of an in-patient ward at Katongo Health III UGX 65,000,000 page 131 LDGP III</p> <p>Construction of 5 stance VIP latrine at Kimenyedde Health Center II UGX 35,000,000 page 131 of LGDP III</p>	1

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>i) Evidence to ascertain whether screening was done and ESMPs prepared was obtained from environmental and climate change screening forms and costed ESMPs for health projects. All screening forms and ESMPs were endorsed by the SEO and DCDO. For example:</p> <ol style="list-style-type: none"> <li>1. Construction of of an in-patient Ward at Katoogo HC III, endorsed on 29/1/2021; and</li> <li>2. Phase construction of a 5 stance VIP latrine at Kimenyedde H/C II, endorsed on 29/1/2021.</li> </ol> <p>ii) Evidence that social risks and mitigation measures were put in place before being approved for construction using the checklist was obtained E &amp; S implementation progress for the following projects:</p> <ol style="list-style-type: none"> <li>1. Construction of of an in-patient Ward at Katoogo HC III, endorsed on 29/1/2021; and</li> <li>2. Phase construction of a 5 stance VIP latrine at Kimenyedde H/C II, endorsed on 29/1/2021.</li> </ol>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>Documentary evidence was availed indicating that the health department submitted its sector procurement plan for Current FY 2021/2022 to PDU on 18th/02/2021 which is before the deadline of 30th of April.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP1) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health department submitted procurement request form (Form PP1) to the PDU in the 1st Quarter of this current FY 2021/2022 for the following projects.</p> <ol style="list-style-type: none"> <li>1. Construction of a 5-stance VIP Lined Latrine with a Urinal at Bulika HC II (submitted on 13th/09/2021);</li> <li>2. Construction of a 5-stance VIP Lined Latrine with a Urinal at Namuganga HCIII (submitted on 13th/09/2021);</li> <li>3. Construction of a 5-stance VIP Lined Latrine with a Urinal at Namasubi HCII (submitted on 13th/09/2021); and</li> <li>4. Construction of a medical store, kitchen with 3 in 1 saving stove, walkway to the incinerator, a 10000 tank, firefighting equipment at Mukono General Hospital (submitted on 16th/09/2021).</li> </ol>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>Documentary evidence was availed establishing the approval of the following sampled Health projects implemented in the previous FY 2020/2021 by the contracts committee:</p> <ol style="list-style-type: none"> <li>1. Construction of a 5-stance VIP Pit Latrine with a Urinal at Kimenyede HC II (MUKO542/WRKS/20-21/00009) was approved by the contracts committee on 23rd/12/2020 under minute no. 079.1.2 recommending M/S AQUA VITA D Ltd as the best bidder before a works contract of UGX: 32,248,800 was signed on 23rd/12/2020; and</li> <li>2. Construction of an In-Patient Ward Block with a Pedriatic Wing and Bathroom at Katoogo HC III MUKO542/WRKS/20-21/00003) was approved by the contracts committee on 11th/01/2021 under minute no. 087.3.2 recommending M/S PERA INVESTMENTS Ltd as the best bidder before a works contract of UGX: 535,155,519 was signed on 25th/01/2021.</li> </ol>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The District Health Officer (Mr. Mulindwa Stephen) established the Project Implementation Team for health projects implemented in FY 2020/2021 on 05th/08/2020 but some officers were not part of the team as specified in the assessment manual on page 52, footnote 13.</p> <p>The team included;</p> <ol style="list-style-type: none"> <li>1. Senior Engineer (Eng. Herbert Lutwama);</li> <li>2. Senior Environmental Officer (Mr. Mutalya Innocent);</li> <li>3. Community Development Officer (Mr. Baagalaliwo Sultan);</li> <li>4. Senior Health Inspector (Mr. Balyejjusa Martin);</li> <li>5. Mr. Kafeero Collins (Labour Officer); and</li> <li>6. Procurement Officer (Ms. Nabiseke Caroline)</li> </ol>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>The project of interest as specified in the assessment manual on page 52 is a Health Centre II upgraded to HCIII and Mukono DLG did not have such a Health Centre. However, it has been given a mark as guided by the assessment manual.</p>	1

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0

If there is no project, provide the score

The manual was silent on whether the Upgraded Health Centre II to III was to be considered or other health projects. Therefore, the assessor went ahead to sample 3 health projects below and despite the fact that these projects never had a Clerks of Works; at least some reports were availed compiled by the supervising officers.

- Construction of a 5-stance VIP Pit Latrine with a Urinal at Kimenyede HC II (MUKO542/WRKS/20-21/00009) was supervised by Eng. Herbert Lutwama on 29th/01/2021; 30th/03/2021 and 30th/06/2021 and also the Senior Health Inspector Martin Balyejjusa supervised on 05th/05/2021;

- Construction of an In-Patient Ward Block with a Pedriatic Wing and Bathroom at Katoogo HC III MUKO542/WRKS/20-21/00003) was supervised by the Engineering Assistant Mr. Sekitoleko Fred on 12th/04/2021, 15th/04/2021, 28th/04/2021, 30th/04/2021 and 05th/08/2021.

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0

If there is no project, provide the score

The project of interest as specified in the assessment manual on page 53 is a Health Centre II upgraded to HCIII and Mukono DLG did not have an Upgraded Health Centre. However, it has been given a mark as guided by the assessment manual.

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>The project of interest as specified in the assessment manual on page 53 is a Health Centre II upgraded to HCIII and Mukono DLG did not have an Upgraded Health Centre. However, it has been given a mark as guided by the assessment manual.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>There was evidence that the LG made payment requests and certified them as follows:</p> <p>Project Name: construction of an in-patient ward with a pediatric wing and bathroom at Katongo health centre III</p> <p>Project No; MUKO542/WRKS/20-21/00003</p> <p>Contract Sum: UGX 535,155,519</p> <p>Start date 10/02/2021 contract period</p> <p>Initiation of payment</p> <p>Certificate of payment 15/04/2021</p> <p>District Engineer 15/04/2021</p> <p>DHO signed on 15/04/2021</p> <p>Environmental Officer signed on 14/04/2021</p> <p>DCDO signed on 14/04/2021</p> <p>CAO signed on 25/04/2021</p> <p>Payment was 7/05/2021</p> <p>UGX 157,987,084;</p> <p>Project Name: Partial completion of administration block</p> <p>Project No; MUKO542/WRKS/19-20/00001</p> <p>Contract Sum: UGX 735,817,665</p> <p>Start date 10/02/2021 contract period</p> <p>Initiation of payment</p> <p>Certificate of payment 10/08/2020</p> <p>District Engineer 10/00/2020</p> <p>DHO signed on 15/04/2021</p> <p>Environmental Officer signed on 12/08/2020</p> <p>DCDO signed on 12/08/2020</p>	1

CAO signed on 20/08/2020

Payment was 26/08/2020

UGX 92,110,174; and

Project Name: Payment of retention for construction of 2 classroom block with store including furniture; and

Project No; MUKO542/WRKS/19-20/00004

Contract Sum: UGX 167,045,550

Start date 10/02/2021 contract period

Initiation of payment

Certificate of payment 15/08/2021

District Engineer 15/06/2021

DHO signed on 15/06/2021

Environmental Officer signed on 15/05/2021

DCDO signed on 15/06/2021

CAO signed on 21/06/2021

Payment was 28/06/2021

UGX 13,590,178.

13

Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines

Maximum 10 points on this performance measure

j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

**The LG had complete procurement files for Health Infrastructure in place for all contracts with all records as required by the PPDA Law as indicated in the following sampled projects procured in FY 2020/2021:**

**1. Construction of a 5-stance VIP Pit Latrine with a Urinal at Kimenyede HC II (MUKO542/WRKS/20-21/00009):**

- Letter of Bid Invitation was dated 17TH/11/2020;
- The Evaluation Report was signed on 02nd/12/2020 by the evaluation committee;
- Contracts committee approved the evaluation report on contracts committee on 23rd/12/2020 under minute no. 079.1.2 recommending M/S AQUA VITA D Ltd as the best bidder at a works contract of UGX: 32,248,800;
- Letter of award/acceptance was issued on 23rd/12/2020 and the Contractor responded on 18th/01/2020;
- Date of display of best evaluated bidder was on 07th/12/2020 and date of removal was 18th/12/2020;
- The Works Agreement was signed on 23rd/12/2020 between M/S AQUA VITA D Ltd and Mukono District Local Government.

1

## 2. Construction of an In-Patient Ward Block with a Pediatric Wing and Bathroom at Katoogo HC III MUKO542/WRKS/20-21/00003):

- Letter of Bid Invitation was dated 11th/11/2020;
- The Evaluation Report was signed on 17th/12/2020 by the evaluation committee;
- Contracts committee approved the evaluation report on contracts committee on 11th/01/2021 under minute no. 087.3.2 recommending M/S PERA INVESTMENTS Ltd as the best bidder before a works contract of UGX: 535,155,519;
- The Solicitor General approval (Mr. Kiyangi Josephine) was made on 20th/01/2021
- Date of display of best evaluated bidder was on 23rd/12/2020 and date of removal was 07th/01/2021;
- Letter of award/acceptance was issued on 25th/01/2021 and the Contractor responded on 25th/01/2021;
- The Works Agreement was signed on 25th/01/2021 between M/S PERA INVESTMENTS Ltd and Mukono District Local Government.

Note: The LG only had 2 projects.

## Environment and Social Safeguards

14	<p>Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework</p> <p>Maximum 2 points on this performance measure</p>	<p>a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0</p>	<p>N/A. There was no evidence that the district has recorded or investigated grievances in the health sector.</p>	0
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15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0</p>	<p>Evidence that the LG issued and disseminated guidelines on health care / medical waste management to health facilities was through a consultation meeting with the Senior Health Administrator and the observed health care system in Mukono Hospital following receipt of the guidelines.</p> <p>Evidence that there was follow up on the implementation of health care management guidelines was obtained from a visit to Mukono hospital. The following were seen:</p> <ol style="list-style-type: none"> <li>1.Incinerator;</li> <li>2.Placenta pit;</li> <li>3.Ash pit; and</li> <li>4.Waste bins</li> </ol>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0</p>	<p>Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste was obtained from availability of the operational budget for health care waste management and a functional system for medical waste management. For example:</p> <ol style="list-style-type: none"> <li>1.A placenta pit, concentrator and Ash pit are available at the Mukono hospital;</li> <li>2.The system is comprised of medical waste generation, segregation, transfer of waste to waste pit / incinerator. Waste bins with bin liners are available;</li> <li>3.No registered waste management service provider. All waste, including hazardous waste are managed at the hospital. Management of hazardous poses a major challenge faced by the hospital. The sharps cannot burn completely, and yet the hospital does not have necessary funds to transport the hazardous waste to Nakasongora for further management; and</li> <li>4.Mukono hospital Annual Workplan and budget for FY 2021/2022, page 2, Element 1: Administration expenses include clearing services for the hospital, budgeted at UGX 30,000,000, endorsed by the CAO and Medical Superintendent on 1/7/2021.</li> </ol>	2
15	<p>Safeguards for service delivery: LG Health Department ensures safeguards for service delivery</p> <p>Maximum 5 points on this performance measure</p>	<p>c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0</p>	<p>There was no evidence that the LG conducted trainings and created awareness on health care waste management</p>	0

16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0</p>	<p>Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the FY 2019/2020 was obtained from bid document and BOQs. For example:</p> <ol style="list-style-type: none"> <li>1.Construction of an in-patient Ward at Katoogo HC III project. The ESMP was incorporated in the BOQs Element 4, Item A-L; Water distribution and fire fighting budgeted UGX 2,500,000, endorsed on 21/10/2020; and</li> <li>2.Construction of a 5 stance lined VIP latrine at Kimenyedde H/C II, Nanga, Kimenyedde subcounty. The ESMP was incorporated in the BOQs, Element 6, Item D: Soil and vent pipes; 100mm diameter UPVC, soil and vent 3500m high with/and including wire balloon grating, budgeted at 125,000, endorsed on 29/1/2021.</li> </ol>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0</p>	<p>Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability without any encumbrance was obtained from the Certificate of Titles dated 30/4/2021 for a piece of land measuring 0.403 hectares, located on Plot 392, Block 150 at Lutengo, was secured for health projects in Nama subcounty. The title was endorsed by registrar of Titles on 30/5/2021.</p>	2
16	<p>Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments</p> <p>Maximum 8 points on this performance measure</p>	<p>c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.</p>	<p>Evidence that the SEO and DCDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions was obtained from quarterly E &amp; S implementation progress forms for health projects endorsed by the SEO and DCDO. For example:</p> <ol style="list-style-type: none"> <li>1.Construction of an inpatient ward at Katoogo H/C III was monitored on 31/3/2021 and then 23/4/2021; and</li> <li>Construction of a 5-stance VIP latrine at Kimenyedde H/C was monitored on 29/1/2021, 1/3/2021 and 31/3/2021.</li> </ol>	2

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

Evidence that Environment and Social Certification forms were completed and signed by the LG SEO and SCDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects was obtained from Interim Contractor Payment Certificate no. 1 issued for the construction of an in-patient Ward block with a paediatric wing and bathroom project at Katoogo H/C III, dated 15/4/2021.

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Local Government Service Delivery Results</b>				
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. % of rural water sources that are functional.</p> <p>If the district rural water source functionality as per the sector MIS is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>According to the sector MIS reports for the FY 2020/2021 from MoWE, the District Rural Water Sources functionality for Mukono District is at 89%.</p>	<b>1</b>
1	<p>Water &amp; Environment Outcomes: The LG has registered high functionality of water sources and management committees</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. % of facilities with functional water &amp; sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:</p> <ul style="list-style-type: none"> <li>o 90 - 100%: score 2</li> <li>o 80-89%: score 1</li> <li>o Below 80%: 0</li> </ul>	<p>According to the sector MIS reports for the FY 2020/2021, from MoWE, the percentage of facilities with functional water and sanitation committees (WSCs) in Mukono District is 97%.</p>	<b>2</b>
2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>a. The LG average score in the water and environment LLGs performance assessment for the current. FY.</p> <p>If LG average scores is</p> <ul style="list-style-type: none"> <li>a. Above 80% score 2</li> <li>b. 60 -80%: 1</li> <li>c. Below 60: 0</li> </ul> <p>(Only applicable when LLG assessment starts)</p>	N/A	<b>0</b>

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

b. % of budgeted water projects  
implemented in the sub-counties  
with safe water coverage below the  
district average in the previous FY.

o If 100 % of water projects are  
implemented in the targeted S/Cs:  
Score 2

o If 80-99%: Score 1

o If below 80 %: Score 0

According to the AWP for the FY  
2020/21, received by the Central  
Registry of the MoWE on  
12/08/2020, and the Annual  
Progress Report for the FY  
2020/2021 received by the  
Central Registry of the MoWE on  
02/09/2021, Mukono District LG  
had 11 sub-counties of Koome,  
Ntenjeru, Kyampisi, Nakisunga,  
Nama, Nabbale, Ntunda,  
Kimenyedde, Kasawu, Nagojje,  
Seeta-Namagunga; with a total  
safe water coverage of 65.9%  
starting the FY.

Out of these, only Koome (20.9),  
Ntenjeru (57.9), Kyampisi (38.4),  
Nama (59.6); had safe water  
coverages below the district  
average.

In the FY 2020/2021, all the  
planned WSS projects were  
implemented.

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

c. If variations in the contract price  
of sampled WSS infrastructure  
investments for the previous FY are  
within +/- 20% of engineer's  
estimates

o If within +/-20% score 2

o If not score 0

The LG implemented one  
infrastructure water project in the  
previous FY 2020/2021 and its  
variation in the contract price and  
Engineers estimate was within the  
range of +/-20% as detailed  
below:

1. Renovation of Water Office  
Block and Toilet  
(MUKO542/WRKS/20-21/00012)  
was at a contract price of UGX  
23,865,000 and Engineer's  
estimate of UGX 24,088,520. The  
variation in the two prices was -  
0.93%.

Note: Formula used was Variation  
= (Contract Price - Engineers  
Estimate)/Engineers Estimate)  
\*100.

2	<p>Service Delivery Performance: Average score in the water and environment LLGs performance assessment</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>d. % of WSS infrastructure projects completed as per annual work plan by end of FY.</p> <p>o If 100% projects completed: score 2</p> <p>o If 80-99% projects completed: score 1</p> <p>o If projects completed are below 80%: 0</p>	<p>According to the Annual Progress Report for the FY 2020/2021 received by the Central Registry of the MoWE on 02/09/2021, the DWO of Mukono had planned to implement the following WSS infrastructure projects; -</p> <ul style="list-style-type: none"> <li>• Completion phases for 2 piped water schemes (Koome and Mayangayanga).</li> <li>• Drilling 16 new deep boreholes</li> <li>• 30 deep borehole rehabilitations.</li> </ul> <p>All these projects were implemented and completed by the end of the FY.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. If there is an increase in the % of water supply facilities that are functioning</p> <p>o If there is an increase: score 2</p> <p>o If no increase: score 0.</p>	<p>According to the MIS reports of FY 2019/2020 and FY 2020/2021 from the MoWE, there was an increase in functionality of water supply facilities from 87% to 89% in Mukono District.</p>	2
3	<p>New_Achievement of Standards:</p> <p>The LG has met WSS infrastructure facility standards</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. If there is an Increase in % of facilities with functional water &amp; sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).</p> <p>o If increase is more than 1% score 2</p> <p>o If increase is between 0-1%, score 1</p> <p>o If there is no increase : score 0.</p>	<p>According to the MIS reports of FY 2019/2020 and FY 2020/2021 from MoWE, the functionality of Water and Sanitation committees in Mukono District increased from 94% to 97%.</p>	2

## Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

*Maximum 3 points on this performance measure*

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

According to the Annual Progress Report for the FY 2020/2021 received by the Central Registry of the MoWE on 02/09/2021, the DWO of Mukono implemented the following WSS infrastructure projects; -

- Completion phases for 2 piped water schemes (Koome and Mayangayanga).
- Drilling 16 new deep boreholes
- 30 deep borehole rehabilitations.

Out of these, a sample of 3 projects were visited and it was established that the DWO accurately reported on the progress of the WSS facilities constructed in the previous FY. The projects visited were:

- 1 new borehole in Magada LC1, Nagojje S/C, commissioned on 14/06/2021 with DWD No. 54012.
- 1 new borehole in Masiko LC1, Nagojje S/C, commissioned on 16/06/2021 with DWD No. 54011
- Mayangayanga piped water scheme in Mayangayanga S/C

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>The following reports were availed:</p> <ul style="list-style-type: none"> <li>• Quarter 1, DWO MIS Report received by the Central Registry of the MoWE on 16/10/2020 with all the information on Sub-county WSS and WSC functionality</li> <li>• Quarter 2, DWO MIS Report received by the Central Registry of the MoWE on 27/01/2021 with all the information on Sub-county WSS and WSC functionality.</li> <li>• Quarter 3, DWO MIS Report received by the Central Registry of the MoWE on 24/05/2021 with all the information on Sub-county WSS and WSC functionality</li> <li>• Quarter 4, DWO MIS Report received by the Central Registry of the MoWE on 02/09/2021 with all the information on Sub-county WSS and WSC functionality</li> </ul> <p>Therefore, the DWO collects and compiles quarterly information on sub-county WSS, functionality of facilities and WSCs, safe water collection and storage and community involvement.</p>	2
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>From the quarterly DWO MIS reports availed, quarterly data on new facilities, population served, functionality of WSCs and WSS facilities was seen and it was established that the DWO updates the MIS with WSS data quarterly.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	N/A	0



## Human Resource Management and Development

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p>The water office budgeted for the critical staff as per the budget 2020/21</p> <p>Wage 32,438,000/=</p>	2
6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The LG had budgeted for the staff, as per the budget 2020/21</p> <p>Wage (sector) 1,006,739,000/= page 16</p> <p>District wage 442,983,000/=</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>The information was not availed</p>	0
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>The information was not availed</p>	0

## Management, Monitoring and Supervision of Services.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- 
- • If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- • If 80-99%: Score 2
- • If 60-79: Score 1
- • If below 60 %: Score 0

Mukono district currently has a safe water coverage of 73.6% according to the Mukono District Safe Water Coverage data covering 13 sub-counties that currently benefit from the Rural Water Conditional Grant provided by the DWO.

Out of these, only Koome (29.6), Ntenjeru (73.4), Mpatta (33.2), Mpunge (24.6) and Kimenyedde (63.4), have safe water coverages below the district average.

As evidenced in the Annual Progress Report for the FY 2020/2021 received by the Central Registry of the MoWE on 02/09/2021, which had a section for the budget for the FY 2021/2022; a total of Ugx. 947,540,000 was budgeted for construction and rehabilitation of water facilities in the current FY.

Of this, Ugx. 350,623,015 (**37%**) has been targeted in the sub-counties with safe water coverage below the district average.

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY: Score 3

In the quarter 3 progress report central registry received at the MoWE on 24/05/2021, on Page 22, a general report about the Advocacy Meetings For Mukono District LG held in 11 sub counties was seen and it entailed minutes that stipulated respective allocations to those different sub counties.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If 95% and above of the WSS facilities monitored quarterly: score 4
- If 80-94% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

The following reports were availed:

- Quarter 1, DWO MIS Report received by the Central Registry of the MoWE on 16/10/2020 with all the information on Sub-county WSS and WSC functionality
- Quarter 2, DWO MIS Report received by the Central Registry of the MoWE on 27/01/2021 with all the information on Sub-county WSS and WSC functionality.
- Quarter 3, DWO MIS Report received by the Central Registry of the MoWE on 24/05/2021 with all the information on Sub-county WSS and WSC functionality
- Quarter 4, DWO MIS Report received by the Central Registry of the MoWE on 02/09/2021 with all the information on Sub-county WSS and WSC functionality

Therefore, it was established that the DWO monitored each WSS facility at least quarterly.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP. Score 2

- In the quarter 1 progress report FY 2020/2021 Central Registry received on 15/10/2020, DWSCC Meeting minutes for the meeting held on 30/09/2020 were seen
- In the quarter 2 progress report FY 2020/2021 Central Registry received on 27/01/2021, DWSCC Meeting minutes for the meeting held on 27/12/2020 were seen.
- In the quarter 3 progress report FY 2020/2021 Central Registry received on 24/05/2021, DWSCC Meeting minutes for the meeting held on 23/03/2020 were seen
- In quarter 4, Covid Lockdown challenges made the sitting of the DWSCC meeting impossible and they had to do it concurrently with the Q1, FY21/22 DWSCC meeting held on 07/10/2021.

9	<p>Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.</p> <p><i>Maximum 8 points on this performance measure</i></p>	<p>c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2</p>	<p>From the LG Notice boards, information about budget allocations for the current FY to LLGs with safe water coverage below the LG average was not publicized to all sub-counties</p>	0
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:</p> <ul style="list-style-type: none"> <li>• If funds were allocated score 3</li> <li>• If not score 0</li> </ul>	<p>According to the AWP for the FY 2020/21, received by the Central Registry of the MoWE on 12/08/2020, the NWR rural water and sanitation budget was Ugx. 111,116,000 out of which a total of Ugx. 44,370,000 was allocated towards mobilization activities which is <b>40%</b> of the total budget.</p>	3
10	<p>Mobilization for WSS is conducted</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&amp;M of WSS facilities: Score 3.</p>	<p>On Page 17 of the Annual Progress Report for the FY 2020/2021 received by the Central Registry of the MoWE on 02/09/2021, it was established that WSCs for all the implemented WSS projects were established and trained on their roles and on O&amp;M of the WSS facilities. A sample of projects were visited and WSCs were found to have understood their roles. The visited projects were:</p> <ul style="list-style-type: none"> <li>• 1 new borehole in Magada LC1, Nagojje S/C, commissioned on 14/06/2021 with DWD No. 54012.</li> <li>• 1 new borehole in Masiko LC1, Nagojje S/C, commissioned on 16/06/2021 with DWD No. 54011</li> <li>• Mayangayanga piped water scheme in Mayangayanga S/C</li> </ul>	3

## Investment Management

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>From the Quarter 4, DWO MIS Report received by the Central Registry of the MoWE on 02/09/2021, an up-to-date list of WSS facilities by location was seen.</p>	4
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11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans (LGDP III) and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>Mukono LG carried out desk appraisal on 30/10/2019 and the projects were derived from the LGDP III.</p> <p>The projects were as follows:</p> <p>Rehabilitation of 33 community borehole at 6 LLGs UGX 120,000,000 page 137 LGDP;</p> <p>Construction of gravity floor scheme at Kome Sub county UGX 370,000,000 page 137 of LGDP III; and</p> <p>Drilling of 16 boreholes in 6 LLGs UGX 250,000,000 page 137 of LGDP.</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>An application from the District Councilor, Mpatta Sub-county, Mbonye Emmanuel, dated 19/01/2021 for the Mpatta piped water scheme was availed.</p> <p>A file containing 305 individual applications for household connections on the Mayangayanga piped water scheme were also availed</p>	2
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2</p>	<p>The LG carried out the Field appraisals on 01/11/2019; where technical feasibility , environmental social acceptability and customised designs for WSS projects for the current FY for the following projects:</p> <p>Rehabilitation of 33 community borehole at 6 LLGs UGX120,000,000 page 137 LGDP;</p> <p>Construction of gravity floor scheme at Kome Sub county UGX 370,000,000 page 137 of LGDP III; and</p> <p>Drilling of 16 boreholes in 6 LLGs UGX 250,000,000 page 137 of LGDP</p>	2

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2</p>	<p>At the time of this assessment, there was no evidence that screening was conducted for all WSS projects and ESIA/costed ESMPs prepared.</p>	0
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0</p>	<p><b>The LG incorporated the infrastructure water Projects below in its procurement plan approved on 28th/07/2021 under minute 021.1.2.</b></p> <ul style="list-style-type: none"> <li>• Borehole sitting and drilling supervision (item 2);</li> <li>• Maintenance of office building (item 11);</li> <li>• Designs for Mpata and Ntunda RGC (item 12);</li> <li>• Phased construction of Mpata RGC piped water supply (item 13); and</li> <li>• Extension and new connections (item 15).</li> </ul> <p><b>Other projects in the plan not basically infrastructures were:</b></p> <ul style="list-style-type: none"> <li>• Borehole Construction rehabilitation material (item 1);</li> <li>• Test pumping, casting, installation and water quality sampling (item 3);</li> <li>• Supply of inert and gravel pack (item 4);</li> <li>• Supply of rig and compressor spare part (item 5);</li> <li>• Repair and servicing (item 6);</li> <li>• Meals and Refreshments (item 8);</li> <li>• Supply of fuel and drilling lubricant (item 7);</li> <li>• Computer supplies and stationary (item 9);</li> <li>• Water quality monitoring reagents (item 10); and</li> <li>• Maintenance of Vehicles (item 14).</li> </ul>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>Documentary evidence was availed establishing the approval of Renovation of Water Office Block and Toilet (MUKO542/WRKS/20-21/00012) implemented in the previous FY 2020/2021 by the contracts committee on 01st/03/2021 under minute no. 102.5 recommending M/S Quality Engs. and Suppliers Ltd as the best bidder before a works contract of UGX: 23,865,000 was signed on 22nd/03/2021.</p> <p>Note: The LG had only one infrastructure water project.</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>The District Water Officer (Mr. Kalule James) established the Project Implementation Team for water projects implemented in FY 2020/2021 on 01st/07/2021 but some members (Environmental Officer, Labour Officer and Clerk of Works) were not part of the team as specified in the assessment manual on page 65.</p> <p>The team included;</p> <ol style="list-style-type: none"> <li>1. Mr. Kalule James (District Water Officer/Contract Manager);</li> <li>2. Eng. Musa John (District Engineer/Project Manager);</li> <li>3. Mr. Musazi Patrick (Senior Environmental Officer);</li> <li>4. Ms. Ampaire Christine (Community Development Officer); and</li> <li>5. Ms. Adyedyo Christine (Sanitation Officer).</li> </ol>	2

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>A sample of 3 projects out of those implemented in the FY 2020/2021 were visited and it was established that the WSS facilities were constructed as per the standard technical designs provided by the DWO. The projects visited were:</p> <ul style="list-style-type: none"> <li>• 1 new borehole in Magada LC1, Nagojje S/C, commissioned on 14/06/2021 with DWD No. 54012.</li> <li>• 1 new borehole in Masiko LC1, Nagojje S/C, commissioned on 16/06/2021 with DWD No. 54011</li> <li>• Mayangayanga piped water scheme in Mayangayanga S/C</li> </ul>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p> <p>.</p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>The only infrastructure water project implemented by the LG in the previous FY 2020/2021 was Renovation of Water Office Block and Toilet (MUKO542/WRKS/20-21/00012) and there was no sufficient evidence availed indicating monthly supervision by the technical Officers (DE, DCDO, DWO and Environmental Officer) since only two reports dated 18th/06/2021 and 21st/04/2021 compiled by the Assistant Water Officer (Mr. Ssekitoleko Fred) were reviewed and other technical officers' reports were not availed.</p>	0



Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time: Score 2

o If not score 0

There was evidence that the DWO verified works and initiated payments of contractors within specified timeframe in the contract as follows:

Project Name: expansion of Kome Gravity flow scheme

Project No: MUKO542/WRKS/19-20/00010

Contract Sum UGX 492,645,318

Initiation on 27/02/2021

DWO signed 27/01/2021

CAO signed on 02/02/2021

Payment 18/02/2021

Amount Paid UGX 112,800,000;

Project name: rehabilitation of Borehole

Project no; MUKO542/WRKS/20-21/00014

Engineer signed 12/10/2020

Water Officer signed on 12/10/2020

CAO signed on 12/10/2020

Payment was on 24/10/2021

Amount paid UGX 27,550,000; and

Project Name: renovation of water office

Project NO; MUKO542/WRKS/19-20/00010

Contract Sum: 23,865,000

Initiated and signed by DWO on 20/04/2021

Engineer signed on 21/04/2021

CAO signed 21/04/2021

Payment was on 26/04/2021

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

The LG had only one infrastructure project implemented in the previous FY 2020/2021 and its procurement files were complete with all records as required by the PPDA Law as indicated below;

**1. Renovation of Water Office Block and Toilet (MUKO542/WRKS/20-21/00012):**

- Letter of Bid Invitation was dated 26th/02/2021;
- The Evaluation Report was signed on 01st/03/2021 by the evaluation committee;
- Contracts committee approved the evaluation report on 01st/03/2021 under minute no. 102.5 recommending M/S Quality Engs. and Suppliers Ltd as the best bidder at a works contract of UGX: 23,865,000 ;
- Date of display of best evaluated bidder was on 04th/03/2021 and date of removal was 18th/03/2021;
- Letter of award/acceptance was issued on 22nd/03/2021 and the Contractor responded on 22nd/03/2021;
- The Works Agreement was signed on 22nd/03/2021 between M/S Quality Engs. and Suppliers Ltd and Mukono District Local Government.

**Environment and Social Requirements**

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

*Maximum 3 points this performance measure*

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

At the time of this assessment, there was no evidence that the LG has recorded water and environment related grievances.

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs was obtained from the following:</p> <p>1.Minutes of the district waste and sanitation coordination committee meeting dated 23/3/2021 held at the water office boardroom. The meeting was attended by 16 people; and</p> <p>2.A presentation about implementation of UGIFT under water and environment sector was held on 23/3/2021.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>Evidence that water source protection plans and Natural resource management plans for WSS Facilities constructed in the previous FY were prepared and implemented was obtained from document titled ' Framework and guidelines for waters source protection' produced by the Ministry of Water and Environment, 2013, addressed to subcounty chiefs and endorsed by the District Water Officer on 8/8/2019.</p>	3
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 3, If not score 0</p>	<p>Evidence that all WSS projects are implemented on land where the LG has proof of consent was obtained from the following letters of consent:</p> <p>1. Letter of consent dated 5/12/2018: Land measuring 30m x 30m was offered to offered to LG water office for product borehole by Mr. and Mrs Lubulwa; and</p> <p>2. Letter of consent dated 3/10/2018: Land measuring 50 x 50 m was offered to the district by Ddungi Iserayiri of Girinya Mpadde to elect a water tank. The letter is endorsed by the LC1 Chairman, Girinya village. The letter was received by the CAO on 12/10/2018.</p>	3

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&amp;S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>At the time of this assessment, there was no evidence that the E &amp; S certification forms were completed and signed by SEO prior to payments of contractor.</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>Evidence to establish whether the DCDO and the SEO undertook monitoring to ascertain compliance with ESMPs was obtained from a report on environmental monitoring implementation of S &amp; E mitigation measures for Water and environment projects. The reports were endorsed by the SEO and DCDO. For example:</p> <p>1.Borehole at Namemgo, endorsed on 5/5/2021;</p> <p>2.Rehabilitation of borehole at Kyabakadde Kakoola, endorsed on 6/5/2021;</p> <p>3.Borehole at Kanyogoga DWP 29731, endorsed on 6/5/2021;</p> <p>4. Koome Gravitational Flow Scheme, endorsed on 5/5/2021; and</p> <p>5.Borehole at Semuka-Katoogo, endorsed on 6/5/2021.</p>	2

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	Outcome: The LG has increased acreage of newly irrigated land  Maximum score 4  Maximum 20 points for this performance area	a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0	Mukono District LG had up to-date data on irrigated land. In FY 2019/2020, total irrigated area in Mukono LG was 233 acres; all of which was for non-beneficiaries of the micro-scale irrigation grant. In FY 2020/2021, total irrigated area in Mukono LG was 276 acres, of which 4 acres was for beneficiaries of the micro-scale irrigation grant.	2
1	Outcome: The LG has increased acreage of newly irrigated land  Maximum score 4  Maximum 20 points for this performance area	b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:  • By more than 5% score 2  • Between 1% and 4% score 1  • If no increase score 0	Irrigated land area in Mukono LG was 233 acres in FY 2019/2020, and 276 acres in FY 2020/2021. The increase in irrigated land for the last two FYs therefore was $((276 - 233) / 233) * 100 = 18.4\%$ .	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6	a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0	According to the Production and Marketing Department annual approved work plan and budget for FY 2020/2021, the development component of micro-scale irrigation grant for the FY 2020/2021 was 204,100,000/=, and was used for awareness raising events, farmers' expressions of interest, preparing and conducting farm visits, procurement and installation of three (3) demonstration sites, carrying out environmental and social safeguard screening of the demonstration sites, training of extension workers and CDO staff, and conducting two (2) field days.	2
3	Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines  Maximum score 6	b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0	There evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers as follows:  Mr Kawooya Fred signed a contract of acceptance on 01/12/2020 and  Mukulu Diana signed a contract of acceptance on 1/12/2020.	1

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	<p>From the Agricultural Engineer's designs and cost estimates, the supplier contracts and also the payment vouchers to the supplier, below were the variations in contract price and the Agricultural Engineer's estimates:</p> <ol style="list-style-type: none"> <li>1. for Mpeke James' demo in Ntenjeru Town Council, the Agricultural Engineer's estimate was 16,697,000/= whereas the contract price was 15,635,950/=. The variation therefore was <math>((16,697,000 - 15,635,950) / 16,697,000) * 100 = 6.4\%</math>.</li> <li>2. for Ssebunya Issa's demo in Nama sub-county, the Agricultural Engineer's estimate was 10,300,220/= whereas the contract price was 9,584,314/=. The variation therefore was <math>((10,300,220 - 9,584,314) / 10,300,220) * 100 = 6.95\%</math>.</li> <li>3. for Kawooya Fred's demo in Seeta Namuganga sub-county, the Agricultural Engineer's estimate was 14,000,700/= whereas the contract price was 13,287,390/=. The variation therefore was <math>((14,000,700 - 13,287,390) / 14,000,700) * 100 = 5.1\%</math>.</li> </ol>	1
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 - 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>According to the completion certificates for the demonstration sites, Mpeke James' demo in Ntenjeru Town Council was completed on 25/6/2021, Ssebunya Issa's demo in Nama sub-county on 20/10/2021, and Kawooya Fred's demo in Seeta Namuganga sub-county on 25/6/2021. Therefore, 2 out of 3 (66.7%) demonstration sites were completed in the FY 2020/2021.</p>	0
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 - 99% score 1</li> <li>• If below 75% score 0</li> </ul>	<p>As per the staff establishment as at 31 august they were 38 approved positions, filled 38 positions which was 100%</p>	2

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>	<p>From the inventory of micro-scale irrigation equipment obtained from the Agricultural Engineer and from the visits to three (3) demonstration sites (in Ntenjeru, in Nama and in Seeta-Namuganga), were up to standard. The designs of these demonstration sites were forwarded to MAAIF by the District Agricultural Engineer on 02/12/2020.</p>	2
4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>A list of micro-scale irrigation equipment installed during the previous FY was obtained from Mukono LG. Additionally, three (3) installed demonstration sites were visited during the assessment, namely: Mpeke James' demo in Ntenjeru Town Council, Ssebunya Issa's demo in Nama sub-county, and Kawooya Fred's demo in Seeta Namuganga sub-county. All the three demonstration sites visited were functional.</p>	2

### Performance Reporting and Performance Improvement

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>The Extension workers for Kyampisi SC and Nakifuma Nagalama TC were deployed as per the staff lists at the LLGs that is</p> <p>Kyampisi SC</p> <p>Nampima Annet (fisheries officer)</p> <p>Nyanja Paul (Agricultural officer)</p> <p>Biso Godfrey (Assistant Agricultural officer)</p> <p>Dr. Keya Ibrahim (veterinary officer)</p> <p>Nabisiga Harriet (entomological assistant)</p> <p>Nakifuma Nagalama TC</p> <p>Kizito Berna (Agriculture officer)</p> <p>Kintu Didas (Vet officer)</p> <p>Kyobe Solomon (Assistant veterinary officer)</p> <p>Nanteza Sidona (entomology)</p> <p>Kabuye Godfrey (Fisheries)</p> <p>Mukasa Lydia (Assistant Agric officer)</p> <p>Nama SC</p> <p>The information was not verified</p>	0
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>Information submitted on installation and functionality of the micro-scale irrigation systems at the three (3) demonstration sites was accurate. The installed equipment included:</p> <ol style="list-style-type: none"> <li>1. Submersible solar pump and controller, solar panels, solar panel stand, 10,000 litre tank, drip lines with emitters, control valves, filter, and pipelines at the Nama sub-county demonstration site,</li> <li>2. Submersible solar pump and controller, solar panels, 10,000 litre tank, drag hose system, and pipelines at the Seeta-Namuganga demonstration site, and</li> <li>3. Diesel pump, pipelines, rain gun, 10,000 liter tank, hydrants and control valves at the Ntenjeru-Kisoga Town Council demonstration site.</li> </ol>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Mukono LG had quarterly reports for the previous FY dated 27/11/2020 for the first quarter, 24/03/2021 for the second quarter, 28/05/2021 for the third quarter, and 28/05/2021 for the fourth quarter, showing data on online trainings of extension workers, awareness raising events, expressions of interest, preparations of farm visits, farm visits, procurement of irrigation equipment, installation of demonstration sites and field days, with graphs from Irritrack.</p>	2
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LLG information into MIS: Score 1 or else 0</p>	<p>According to MIS reports, Mukono LG had entered up to-date LLG information into MIS. The report showed 1632 awareness events' attendees, 700 expressions of interest, 421 prepared for farm visits, 333 farm visits done, and 192 farmers approved by the DTPC.</p>	1
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LLGs in the MIS: Score 1 or else 0</p>	<p>Mukono LG had prepared quarterly reports for the previous FY using information compiled from LLGs in the MIS. The dates for the reports were as follows: 27/11/2020 for the first quarter, 24/03/2021 for the second quarter, 28/05/2021 for the third quarter, and 28/05/2021 for the fourth quarter.</p>	1



6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	d) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	There was no evidence that Mukono LG had developed a Performance Improvement Plan.	0
	Maximum score 6			

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	There was no evidence that Mukono LG had implemented a Performance Improvement Plan.	0
	Maximum score 6			

### Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	a) Evidence that the LG has:  i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	According to the Production and Marketing Department Annual approved workplan and budget for Mukono District for the FY 2020/2021, extension workers services were planned and budgeted for at 1,472,790,000/= for salaries, printing/stationery/photocopying/binding, water, inland travel, fuel/lubricants/oil, and agricultural supplies.	1
	Maximum score 6			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	ii Deployed extension workers as per guidelines score 1 or else 0	According to the Department of Production staff list dated 15/10/2021, extension workers were deployed in the different LLGs and were engaged in the program implementation activities.	1
	Maximum score 6			

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0</p>	<p>The Extension workers for Kyampisi SC and Nakifuma Nagalama TC were deployed as per the staff lists at the LLGs that is</p> <p>Kyampisi SC</p> <p>Nampima Annet (fisheries officer)</p> <p>Nyanja Paul (Agricultural officer)</p> <p>Biso Godfrey (Assistant Agricultural officer)</p> <p>Dr. Keya Ibrahim (veterinary officer)</p> <p>Nabisiga Harriet (entomological assistant)</p> <p>Nakifuma Nagalama TC</p> <p>Kizito Berna (Agriculture officer)</p> <p>Kintu Didas (Vet officer)</p> <p>Kyobe Solomon (Assistant veterinary officer)</p> <p>Nanteza Sidona (entomology)</p> <p>Kabuye Godfrey (Fisheries)</p> <p>Mukasa Lydia (Assistant Agric officer)</p> <p>Nama SC</p> <p>The information was not verified</p>	0
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7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines</p> <p>Maximum score 6</p>	<p>c) Evidence that extension workers' deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0</p>	<p>The information of the extension staff was publicized on the staff lists at the LLGs notice boards.</p>	2
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8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has:</p> <p>i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0</p>	<p>There was evidence the LG Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY</p> <p>Kizito Bernadette, agricultural officer appraised by Ombasa Denis on 26/7/21</p> <p>Namugaya s. veterinary officer appraised by Senyonjo Jude on 20/7/21</p> <p>Walabyeki Ibrahim assistant agricultural officer appraised by katumba fred on 22/7/21</p> <p>Kabugo Norah assistant agric officer appraised by bwogi moses on 27/7/21</p> <p>Nakalembe Christine agricultural assistant appraised by Namakoola sarah on 19/7/21</p> <p>Byawaka Sarah agricultural officer appraised by Mukasa Stephen Mabira</p> <p>Kasumba Andrew Agricultural officer appraised by Bwogi Andrew on 30/7/21</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>a) Evidence that the District Production Coordinator has;</p> <p>Taken corrective actions: Score 1 or else 0</p>	<p>There were no corrective actions recommended for action</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>According to the quarterly reports for the last FY (27/11/2020 for the first quarter, 24/03/2021 for the second quarter, 28/05/2021 for the third quarter, and 28/05/2021 for the fourth quarter), Production Department staff were trained on UgIFT modules. Training certificate photos were attached.</p>	1
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>Training activities were documented in the quarterly reports dated 27/11/2020 for the first quarter, 24/03/2021 for the second quarter, 28/05/2021 for the third quarter, and 28/05/2021 for the fourth quarter.</p>	1

## Management, Monitoring and Supervision of Services.

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>The LG appropriately allocated the Micro scale irrigation grant between capital development and complementary services as follows:</p> <p>Budgeted UGX 82,863,0000-page 57 quarter 4 reports, pages 18-19 of the budget and appropriated as follows:</p> <p>Established 3 irrigation site in Seeta Namungaga , Nama, Ntenjeru Kisogo town council UGX 60,000,000 and Complimentary services UGX 10,000,000.</p>	2
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>The budget allocation towards complementary services in line with the sector guideline were as follows:</p> <p>Awareness campaign UGX 9,944,562;</p> <p>Farm visit UGX 9,943,026;</p> <p>Procurement UGX 1,657,000; and</p> <p>Supervision and Monitoring UGX 1,657,000.</p>	2
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>The co-funding not yet in place due to lack of guideline from the Ministry.</p>	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Co- funding not yet operational</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>From the copy of the information shared during the awareness raising workshops, Mukono LG had disseminated information on use of the farmer co-funding. The dates for the awareness raising workshops were 17/12/2020, 2/10/2020 and 9/4/2021.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	<p>Monitoring and supervision reports were seen. The monitoring and supervision activities were carried out by the DPO, District Agricultural Engineer and extension staff. These activities included: checking on progress of awareness raising events, and visits to farmers to confirm registration and field based verification, technical assessment, social and environment safeguards screening. The dates on the reports include: 8/02/2021, 15/02/2021, 16/02/2021, 17/02/2021, 2/03/2021, 8/03/2021, 9/03/2021, 10/03/2021, 11/03/2021, 16/03/2021, 17/03/2021, 18/03/2021, and the activities were carried out in Kyampisi sub-county, Goma sub-county, Ntunda sub-county, Mpatta sub-county, Kasawo sub-county, Seeta-Namuganga, Koome Islands, Ntenjeru-Kisoga Town Council, Mpunge sub-county, and Kimenyedde sub-county.</p> <p>Additionally, a report for fourth quarter monitoring and supervision by the PDO on District Agriculture Extension Services for FY 2020/2021 dated 29/6/2021 was seen.</p> <p>At the time of the monitoring activities, two (2) demonstration sites had been installed. Therefore, <math>2/3 * 100 = 66.7\%</math> of the micro-irrigation equipment were monitored.</p>	0

10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>b. Evidence that the LG has overseen technical training &amp; support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0</p>	<p>According to the monitoring and training report for the Seeta-Namuganga micro-scale irrigation demonstration site, the hosting farmer was trained in drag hose handling and maintenance, and also how to install, uninstall and maintain the submersible pump. The trainings took place on 24/5/2121 and 8/6/2021. The District Agricultural Engineer and extension workers oversaw the trainings that were given by the supplier.</p> <p>According to the monitoring and training report for the Ntenjeru micro-scale irrigation demonstration site, the host farmer was trained in operation and maintenance of a rain gun, and how to connect, operate and maintain the pump. The trainings took place on 28/5/2121, 10/6/2021 and 22/6/2021. The District Agricultural Engineer and extension workers oversaw the trainings that were given by the supplier.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0</p>	<p>The Department of Agriculture staff meeting held on 5th August 2020 in the DAO's office, minute MIN.4/AGRIC.DEPT/AUGUST/2020, the District Agricultural Engineer trained extension workers on what micro-irrigation is, how it can help farmers, the target group, stakeholders of the UglIFT program, activities to be done under the program, project period and criteria for selection of farmers.</p> <p>In the Agriculture Department October Monthly staff meeting Held on 29th October 2020, minute Min.23/Agric Dept/Oct/2020, training of the extension workers by the District Agricultural Engineer was on how to prepare and also conduct farm visits.</p> <p>The planning meeting and training report dated 13/11/2021 showed that in preparation for farm visits, the extension workers were trained in the Mukono Headquarters on how to handle technical questions, how to enter technical data regarding soil texture and elevation from the water source to the irrigated land into the Irritrack App, and how to log on to the App.</p>	2
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0</p>	<p>There was no evidence that Mukono LG had established and run farmer field schools.</p>	0

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	Attendance sheets were seen of the various awareness raining events that Mukono LG conducted. Some of these included: in Ssenyi-Ddegeya sub-county on 2/10/2020, Nabitimpa-Ktooga on 19/11/2020, Kasawo Town Council on 17/12/2020, Kasawo sub-county on 9/12/2020 and 14/12/2020, Ntejeru sub-county on 03/02/2021,	2
	Maximum score 4			

11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	<p>According to the attendance sheets seen, district staff were trained on 06/10/2020 and 02/02/2021 at the District Headquarters. Those who attended the training included: the CAO, Planner, SFO, DCDO, SEO, District Planner, DAPO, SPO, SFO, EO and extension workers.</p> <p>Minutes of DTPC meeting held on 17/12/2020 in the CAO's Boardroom also showed that the District staff were trained on the UgIFT program, minute Min21/DTPC/20/21.</p> <p>The local leaders were trained on 9/04/2021 and 16/6/2021. The training included councillors, the Secretary for Production, Secretary for Finance, Speaker, Deputy Speaker and Parish Chief..</p>	2
	Maximum score 4			

## Investment Management

12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0	<p>Mukono LG had an updated register of micro-scale irrigation equipment supplied to farmers hosting demonstration sites in the previous FY. Irrigation equipment at the different sites included:</p> <ol style="list-style-type: none"> <li>1. Submersible solar pump and controller, solar panels, solar panel stand, 10,000 litre tank, drip lines with emitters, control valves, filter, and pipelines at the Nama sub-county demonstration site,</li> <li>2. Submersible solar pump and controller, solar panels, 10,000 litre tank, drag hose system, and pipelines at the Seeta-Namuganga demonstration site, and</li> <li>3. Diesel pump, pipelines, rain gun, 10,000 liter tank, hydrants and control valves at the Ntenjeru-Kisoga Town Council demonstration site.</li> </ol>	2
	Maximum score 8			
12	Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines	b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0	From the database seen, and also from the physical copies from the LLGs seen, Mukono LG had an up-to-date database of applications at the time of the assessment. The number of farmers that had expressed interest at the time of the assessment was 700.	2
	Maximum score 8			

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>According to the MIS report, Mukono LG had carried out 333 farm visits. Sample farm visit reports were seen for the following farmers:</p> <ol style="list-style-type: none"> <li>1. Mbetiira Justine, Degeya-Ssenyi sub-county, Nantabulirwa Ward, Goma Division,</li> <li>2. Nyakatula Vicky Bagenda, Bukerere sub-county, Bukerere Ward, Goma Division,</li> <li>3. Nyamutale Roselyn, Nyenje sub-county, Nyenje Ward, Goma Division, and</li> <li>4. Ayo Margret, Degeya-Ssenyi, Nantabulirwa Ward, Goma Division.</li> </ol> <p>Also, agreement to proceed for quotation were seen for the following farmers:</p> <ol style="list-style-type: none"> <li>1. Adilu Francis Emmanuel MKN/2020/09/17/M/3502 dated 10/6/2021,</li> <li>2. Kakooza Muhammed MKN/2020-10-12/M/9636 dated 14/6/2021,</li> <li>3. John Bosco Lubega MKN/2020-10-14/M/10523, and</li> <li>4. Kizza Edward MKN/2020-10-15/M/11255.</li> </ol>	2
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects:</p> <p>Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>There was no evidence availed</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>The LG incorporated a micro-scale irrigation system project under item 4 (Agriculture Department) in its procurement plan for the current FY 2020/2021 approved on 28th/07/2021 under minute 021.1.2.</p>	1



13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0</p>	<p>In the previous FY the LG implemented Supply and Irrigation of a solar powered Drip Irrigation System, Sprinkler Irrigation &amp; Solar Powered Drag Horse Irrigation Demonstration Sites (MUKO542/SUPLS/20-21/00048) and an open bidding method was used since MAAIF had not yet availed to the LG it prequalified suppliers. This FY 2021/2022 the Permanent Secretary Mr. Puis Wakabi Kasajja wrote to all DLGs in a letter dated 25th/05/2021 indicating prequalified suppliers of the irrigation systems and it was ascertained that the LG called for quotation from prequalified suppliers for Design, Supply and Installation of Micro scale Systems in Mukono District (MUKO542/WRKS/21-22/Lot1-Lot52). These were; M/S W/S Consult International Ltd, M/S Rima (EA) Ltd, M/S Akvo International Ltd, M/S Grow More Seeds and Chemicals Ltd and M/S Baata Engineering Company Ltd.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0</p>	<p><b>Documentary evidence was availed establishing that the LG concluded the selection of the irrigation equipment suppliers based on the set criteria:</b></p> <p>1. M/S KARF AQUA ENGINEERING SOLUTION LTD was selected by the contracts committee on 26th/04/2021 under minute no. 124.2.2 as the best bidder for Supply and Irrigation of a solar powered Drip Irrigation System, Sprinkler Irrigation &amp; Solar Powered Drag Horse Irrigation Demonstration Site (MUKO542/SUPLS/20-21/00048);</p> <p>Note: The LG had only one micro-irrigation system implemented in the FY 2020/2021.</p>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>d) Evidence that the micro-scale irrigation systems for the previous FY was approved by the Contracts Committee: Score 1 or else 0</p>	<p>Documentary evidence was availed indicating that that the micro-scale irrigation system (Supply and Irrigation of a solar powered Drip Irrigation System, Sprinkler Irrigation &amp; Solar Powered Drag Horse Irrigation Demonstration Site (MUKO542/SUPLS/20-21/00048) implemented in the previous FY 2020/2021 was approved by the Contracts Committee as indicated below:</p> <ul style="list-style-type: none"> <li>•The procurement method, bid documents, evaluation committee team for was approved by the contracts committee on 03rd/03/2021 under min 101.2 and the award decision was on 26th/04/2021 under minute no. 124.2.2 recommending M/S KARF AQUA ENGINEERING SOLUTION LTD as the best bidder before a works contract of UGX: 38,507,294 was signed on 28TH/04/2021;</li> </ul>	1

13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0</p>	<p><b>Documentary evidence was availed indicating that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the Supply of Small Irrigation Equipment to Kitimbwa Sub-County (KAYU/593/WRKS/20-21/00268):</b></p> <ul style="list-style-type: none"> <li>• The suppliers that passed to the financial stage included; M/S Akvo International Ltd (UGX: 39,370,700), Grow More Seeds and Chemicals Ltd (UGX:72,973,420), M/S KARF AQUA ENGINEERING SOLUTION LTD (UGX: 38,507,294), M/S Yeewa Enterprises Ltd (UGX: 40,450,000) and Bukoba Investments Ltd (UGX: 51,803,000)</li> <li>• As seen from above M/S KARF AQUA ENGINEERING SOLUTION LTD was the lowest bidder and he was awarded the contract on 28TH/04/2021 at the same evaluated price.</li> </ul>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>f)Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0</p>	<p>From the three (3) demonstration sites visited, the micro-scale irrigation equipment were as the designs the Agricultural Engineer sent to MAAIF for approval on 02/12/2020. The designs included:</p> <ol style="list-style-type: none"> <li>1. Submersible solar pump and controller, solar panels, solar panel stand, 10,000 litre tank, drip lines with emitters, control valves, filter, and pipelines at the Nama sub-county demonstration site,</li> <li>2. Submersible solar pump and controller, solar panels, 10,000 litre tank, drag hose system, and pipelines at the Seeta-Namuganga demonstration site, and</li> <li>3. Diesel pump, pipelines, rain gun, 10,000 liter tank, hydrants and control valves at the Ntenjeru-Kisoga Town Council demonstration site.</li> </ol>	2
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Senior Agricultural Engineer or Contracted staff): Score 2 or else 0</p>	<p>The project completion report dated 13/08/2020 for the supply and installation of a solar powered drip irrigation systems, a sprinkler irrigation demonstration site and a solar powered drag hose irrigation demonstration site at Ntenjeru-Kisoga Town Council, Seeta-Namuganga sub-county and Nama sub-county showed that the District Agricultural engineer had conducted regular technical supervision of micro-scale irrigation projects. Additionally, the visitor's books at the three sites also showed the District Agricultural Engineer had conducted regular technical supervision of micro-scale irrigation projects.</p>	2

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during:  i. Testing the functionality of the installed equipment: Score 1 or else 0	The project completion report dated 13/08/2020 for the supply and installation of a solar powered drip irrigation systems, a sprinkler irrigation demonstration site and a solar powered drag hose irrigation demonstration site at Ntenjeru-Kisoga Town Council, Seeta-Namuganga sub-county and Nama sub-county showed that the District Agricultural engineer had overseen the irrigation equipment supplier during testing the functionality of the installed equipment.	<b>1</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	The project completion report dated 13/08/2020 for the supply and installation of a solar powered drip irrigation systems, a sprinkler irrigation demonstration site and a solar powered drag hose irrigation demonstration site at Ntenjeru-Kisoga Town Council, Seeta-Namuganga sub-county and Nama sub-county showed that the District Agricultural engineer had overseen the irrigation equipment supplier during hand-over of the irrigation equipment to the farmers hosting demonstration sites.	<b>1</b>
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	There was no sufficient information to determine whether the suppliers are paid within the specified timeframe.	<b>0</b>

Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines

Maximum score 18

j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0

**The LG had one micro-scale irrigation system project implemented in FY 2020/2021 and its procurement file had all records as required by the PPDA Law as indicated below:**

**1. Supply and Irrigation of a solar Powered Drip Irrigation System, Sprinkler Irrigation & Solar Powered Drag Horse Irrigation Demonstration Sites (MUKO542/SUPLS/20-21/00048):**

- Letter of Bid Invitation was dated 04th/03/2021;
- The Evaluation Report was signed on 29th/03/2021;
- Contracts committee approved the evaluation report on under min 101.2 and the award decision on 26th/04/2021 under minute no. 124.2.2 recommending M/S KARF AQUA ENGINEERING SOLUTION LTD as the best bidder before a works contract of UGX: 38,507,294 was signed on 28TH/04/2021
- Letter of award/acceptance was issued on 26/04/2021 and the Contractor responded on 26th/06/2021;
- Date of display of best evaluated bidder was on 8th/04/2021 and date of removal was 21st/04/2021;
- The Works Agreement was signed on 28th/04/2021 between M/S KARF AQUA ENGINEERING SOLUTION LTD and Mukono District Local Government.

**Environment and Social Safeguards**

Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework

Maximum score 6

a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0

At the time of this assessment, there was no evidence that the LG has displayed details of the nature and avenues to address grievances in public areas.

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  i). Recorded score 1 or else 0  ii). Investigated score 1 or else 0  iii). Responded to score 1 or else 0  iv). Reported on in line with LG grievance redress framework score 1 or else 0	At the time of this assessment, there was no evidence that the LG has a log of grievances.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  ii. Investigated score 1 or else 0  iii. Responded to score 1 or else 0  iv. Reported on in line with LG grievance redress framework score 1 or else 0	At the time of this assessment, there was no evidence that the LG has a log of grievances.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  iii. Responded to score 1 or else 0  iv. Reported on in line with LG grievance redress framework score 1 or else 0	At the time of this assessment, there was no evidence that the LG has a log of grievances.	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been:  iv. Reported on in line with LG grievance redress framework score 1 or else 0	At the time of this assessment, there was no evidence that the LG has a log of grievances.	0

## Environment and Social Requirements

15	Safeguards in the delivery of investments Maximum score 6	a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.  score 2 or else 0	There was no evidence that Mukono LG had disseminated micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.	0
15	Safeguards in the delivery of investments Maximum score 6	b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.  i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0	At the time of this assessment, there was no evidence that costed ESMP were incorporated into designs, BoQs, bidding and contractual documents.	0
15	Safeguards in the delivery of investments Maximum score 6	ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality & quantity), efficiency of system in terms of water conservation, use of agro-chemicals & management of resultant chemical waste containers score 1 or else 0	At the time of this assessment, there was no evidence that the LG carried out monitoring of irrigation impacts for micro-scale irrigation projects.	0
15	Safeguards in the delivery of investments Maximum score 6	iii. E&S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0	At the time of this assessment, there was no evidence that the E & S certification forms were completed and signed by SEO prior to payments of contractor	0

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>iv. E&amp;S Certification forms are completed and signed by CDO prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>At the time of this assessment, there was no evidence that the E &amp; S certification forms were completed and signed by DCDO prior to payments of contractor.</p>	0
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No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District Production Office responsible for Micro-Scale Irrigation</p> <p><i>Maximum score is 70</i></p>	<p>If the LG has recruited;</p> <p>a. the Senior Agriculture Engineer</p> <p><i>score 70 or else 0.</i></p>	<p>On 13th June 2019, Mukulu Diana was assigned duties of a Senior Agricultural Engineer by the Chief Administrative Officer of Mukono District Local Government..</p>	<b>0</b>
<b>Environment and Social Requirements</b>				
2	<p>New_Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p><i>Maximum score is 30</i></p>	<p>If the LG:</p> <p>Carried out Environmental, Social and Climate Change screening score 30 or else 0.</p>	<p>Evidence was obtained from environmental and climate change screening forms for micro-scale irrigation projects to be implemented in FY 2020/2021, endorsed by the SEO and DCDO. For example:</p> <p>1.Demonstration of sprinkler irrigation system at Hajji Issa Ssebunya's farm in Bugolombe village, Nama subcounty on 21/05/21: and</p> <p>2.Demonstration of drip irrigation at James Mpeke's farm on 8/6/2021.</p>	<b>30</b>



<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	a. 1 Civil Engineer (Water), score 15 or else 0.	The LG had a Civil Engineer (Water) Mr. Kalule James, appointment date march 4, 2014, min no MDC. 30 /2014	<b>15</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	The LG had an Assistant Water Officer for mobilization Mr. Nakabaale David, appointment date march 9 2020, min no MD 77/2020.  Appraised by tekisooka Margaret on 14/9/21	<b>10</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	The LG did not have a Borehole Maintenance Technician	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	d. 1 Natural Resources Officer, score 15 or else 0.	There was no evidence the LG had Natural Resources Officer in the water office	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	e. 1 Environment Officer, score 10 or else 0.	There was no evidence that the LG had an enviroment officer in the water office	<b>0</b>
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions.  <i>Maximum score is 70</i>	f. Forestry Officer, score 10 or else 0.	There was no evidence the LG had a forestry officer	<b>0</b>

**Environment and Social Requirements**

2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.</p>	<p>Evidence that the district LG carried out Environmental, Social and Climate Change screening/Environment was obtained from the screening report for borehole sinking in Kilwanyi village, Nakisunga subcounty, addressed to CAO and dated 4/2/2021. The report was endorsed by the SEO and DCDO.</p>	10
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	<p>b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.</p>	<p>There was no evidence that the LG has carried out ESIAs. The Environment and climate change screening of water and environment projects indicate there was no potential environmental and social implications that required an ESIA to be carried out.</p>	10
2	Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects	<p>c. Ensured that the LG got abstraction permits for all piped water systems issued by DWRM, score 10 or else 0.</p>	<p>Evidence that the contractors for water and environment projects got abstraction permits issue by DWRM was obtained from drilling permits. For example:</p> <p>1.Drilling Permit no. DP11519/DW 2017 was issued to the district LG on 30/10/2017; and</p> <p>2.Drilling Permit no. DP01519/DW 2015 was issued to the district LG on 4/4/2015.</p>	10

<b>No.</b>	<b>Summary of requirements</b>	<b>Definition of compliance</b>	<b>Compliance justification</b>	<b>Score</b>
<b>Human Resource Management and Development</b>				
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>a. If the District has substantively recruited or the seconded staff is in place for: District Health Officer, score 10 or else 0.</p>	<p>The LG had a District Health Officer, MR Dumba Isaac, confirmation date April 23, 2015, min no MD 15/2015</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p>The LG had an Assistant District Health Officer Maternal, Child Health and Nursing Ms Adyedo Christine appointment date February 18th 2014, min no MDC, 017/2014(A)</p>	<b>10</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p>The LG did not have a Assistant District Health Officer Environmental Health</p>	<b>0</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.</p>	<p>The LG did not have a Principal Health Inspector</p>	<b>0</b>
1	<p>New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.</p> <p><i>Applicable to Districts only.</i></p> <p><i>Maximum score is 70</i></p>	<p>e. Senior Health Educator, score 10 or else 0.</p>	<p>The LG did not have a Senior Health Educator</p>	<b>0</b>

1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	f. Biostatistician, score 10 or 0.	The LG had a Mwesigwa Ivan Appointment date June 13, 2011. Min no 78/2011.	10
1	New_Evidence that the District has substantively recruited or the seconded staff is in place for all critical positions.  <i>Applicable to Districts only.</i>  <i>Maximum score is 70</i>	g. District Cold Chain Technician, score 10 or else 0.	The LG had a District Cold Chain Technician Baluku B. Jackson appointment date June 30, 2015, Min no MD 135/2015	10
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.  <i>Applicable to MCs only.</i>  <i>Maximum score is 70</i>	h. Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.  <i>Applicable to MCs only.</i>  <i>Maximum score is 70</i>	i. Principal Health Inspector, score 20 or else 0.		
1	New_Evidence that the Municipality has substantively recruited or the seconded staff is in place in place for all critical positions.  <i>Applicable to MCs only.</i>  <i>Maximum score is 70</i>	j. Health Educator, score 20 or else 0		

## Environment and Social Requirements

2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>Evidence was from environment and climate change screening forms for projects to be implemented in health sector dated 29/1/2021, endorsed by the SEO and DCDO. For example:</p> <p>1.Construction of an in-patient ward at Katoogo H/C III, Katoogo parish, Nama subcounty; and</p> <p>2.Construction of a 5 stance lined VIP latrine at Kimenyedde H/C II, Nanga, Kimenyedde subcounty.</p>	15
2	<p>Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)</p> <p>Maximum score is 30</p>	<p>b. Social Impact Assessments (ESIAs) , score 15 or else 0.</p>	<p>There was no evidence that the LG has carried out ESIAs. The Environment and climate change screening of health projects indicate there was no potential environmental and social implications that required an ESIA to be carried out.</p>	15

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	a) District Education Officer (district)/ Principal Education Officer (municipal council), score 30 or else 0	There was no evidence that the LG had a District Education Officer	0
	<i>The Maximum Score of 70</i>			
1	New_Evidence that the LG has substantively recruited or the seconded staff is in place for all critical positions in the District/Municipal Education Office.	b) All District/Municipal Inspector of Schools, score 40 or else 0.	<p>The LG inspectorate was fully constituted as follows:</p> <p>Mr. Kikomeko Rashid, Senior Inspector of Schools, appointed on April 10th 2019 Ref; HRM/P/13454, DSC.Minute; MD/122/2019 .</p> <p>Ms Nakimuli Mary, Inspector of Schools , appointed on April 10th 2019, Ref; HRM/MKN/156/01 and DSC Minute: MD121/2019.</p> <p>Ms Namayanja Anita,Inspector of Schools appointment date March 9th 2020. DSC;Minute; MD 75/2020 and</p> <p>Appraised by Kikomeko Rashid on 6/8/21.</p>	40
	<i>The Maximum Score of 70</i>			
<b>Environment and Social Requirements</b>				
2	Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)	<p>If the LG carried out:</p> <p>a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.</p>	<p>Evidence was obtained from environmental and social and Climate change screening reports for all projects under education sector was obtained was obtained from Environmental and climate change screening forms for education sector projects, endorsed by the SEO and the DCSO. For example:</p> <p>1.Construction of a 2 classroom block at Kivuvu P/S, Kasenge parish, Nama subcounty dated 16/2/2021;</p> <p>2.Construction of a 5-stance lined VIP latrine at Sempape Memorial Muslim School at Namaiba, Nakisunga subcounty, dated 18/9/2020; and</p> <p>3.Construction of a 5 stance lined VIP latrine at Mamanoga P/S, Nakadindiri parish, Seeta Namuganga subcounty, dated 17/9/2020.</p>	15
	The Maximum score is 30			

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:  
b. Social Impact Assessments (ESIAs) ,  
score 15 or else 0.

There was no evidence that the LG has carried out ESIAs. The Environment and climate change screening of education projects indicate there was no potential environmental and social implications that required an ESIA to be carried out.

The Maximum score is 30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	The LG had a Chief Finance Officer, Ms. Nabwire Robinah appointment dated 13th December 2018, Min no MD.202/2018.  Appraised by Nkata. B. James on 20/08/2021	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	The LG had a District planner, Mr. Collins Ssebaduka appointment date march 30,2020, Min no MD88/2020  Appraised by Nkata B. JAMES on 10/11/21	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	The LG had a District engineer Mr. Mugisa John.S. Apuuli appointment date July 25, 2011. Min no 126/2011.	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	The LG had a District Natural Resources Officer, Mr Mujuni William appointed on June 30 2010	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	The LG had a District Production officer, Mr. Mukulu Fred, Appointment date September 20, 2013. Min no MDC, 165/2013,  Appraosed by Mr. Nkata B James on 27/09/2021	3



1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	f. District Community Development Officer/Principal CDO, score 3 or else 0	The LG had a District Community Development Officer Ampaire Christine, appointment date 16th December 2005, Min no 54/2005(c30)	3
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer, score 3 or else 0	The LG did not have a District Commercial Officer, how ever Ms Owembabazi Beatrice was Assigned duties but is a principal commercial officer Min no MD 271/2019	0
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. A Senior Procurement Officer /Municipal: Procurement Officer, 2 or else 0.	The LG had a Senior Procurement Officer Ms. Batenga Prossy appointment date 22 february 2019, Min no MD 10/2019	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	ii. Procurement Officer /Municipal Assistant Procurement Officer, score 2 or else 0	The LG had a procurement officer Ms Nabiseke Caroline appointment dated 3rd December 2019, Min No MD 274/2019.  Appraised by Batenga prossy on on 30/6/21	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	i. Principal Human Resource Officer, score 2 or else 0	The LG had a Principal Human Resource Officer, Ms. Semambo Annet Bwanika, appointment date April 19, 2013, Min no MD 106/2013  Appraised by Nkata B James. On 14 July 2021	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	j. A Senior Environment Officer, score 2 or else 0	The LG had a Senior Environment Officer, Mr. Mutyaba Joseph Innocent, appointment date 3rd December 2019, Min no MD 275/2019  Appraised by Mujunu William on 4/8/2021.	2

1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	k. Senior Land Management Officer /Physical Planner, score 2 or else 0	The LG had a senior land management officer Mr. Mbazira Robert appointed on September 14, 2012, min no MD.31/2012(ii)(b)  Appraised on 16/8/2021, by Mujuni W	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	The LG had a Senior Accountant Ms Kalembe Olivia appointed on 15/12/2010, Min no 119/2010(2)	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	m. Principal Internal Auditor /Senior Internal Auditor, score 2 or else 0	The LG had a Principal Internal Auditor, Mr. Abongi Albert appointment dated 1st October 2009, Min no 87/2009.	2
1	New_Evidence that the LG has recruited or the seconded staff is in place for all critical positions in the District/Municipal Council departments. Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	The LG had aPrincipal Human Resource Officer (Secretary DSC), Kazibwe Stephen appointment dated 13 December 2018  Minute no MD, 196/2018	2

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

a. Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils) / Senior Assistant Town Clerk (Municipal Divisions) in all LLGS, score 5 or else 0 (Consider the customized structure).

The LG had Senior Assistant Secretary (Sub-Counties) /Town Clerk (Town Councils)

Mr. Ntwatwa assigned duties of kimenyedde SC

Mr. Ombasa Dennis appointment on 2/2/2015 of Nagojje SC

Namakoola Sarah of Kyampisi SC appointment date 10/3/2008 min no 48/2008(4)

Kiganda Lule Robert of Kasawo TC appointment date 10/3/2008 min no 51/2008

Kizito Fransis Walubi of Katosi town council appointment date 22 february 2019 min no MD 28/2019

Tekisooka Margaret Nkata of Nakifuma Nagalama, Appointment dated 9/3/2020, Min no MD 73/2020

Kalagi Emmanuel of Ntejeru- kisoga appointment date march 4 2014. Min no MDC, 32/2014

Mr. Senyonjo jude mark of Koome SC appointment date July 15, 2011. Min no 128/2011.

Nantale Caroline of Mpatta sc appointment dated may 22, 2015 Min no MD 39/2015

Mr. Nsereko Umar Kawuma of Nama sub county appointment date 3 august 2009, Min no 70/2009(3)

Nakito Tekla K of Ntuda SC appointment dated 23/5/2005, min no 24/2005

Asingwire Leah of Mpunge SC Appointment date march 5 2021, min no MD 40/2021

Namatovu Harreit of Kasawo TC

Nsubuga Lovis of Nakisunga SC

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

b. A Community Development Officer / Senior CDO in case of Town Councils, in all LLGS, score 5 or else 0.

The LG had Community Development Officers

Bam Robert, Nama SC Min no MD 29/2012(21)

Nsubuga John Moses, seta Namuganaga SC

Nansubuga Ronah, Ntunda SC

Nakato Pricilla, Mapta SC min no MDC 29/2014(1)

Sekandi Seezi Williams, Mpunge SC min no MD 91/2020

Nalugwa Edith Nabbale SC Min no MD 90/2020

Nsangi Immaculate, Kimenyedde SC MD 178/2019

Namirembe Josephine Nagojje SC MD 29/2012(22)

Kyegombe Esterico Nakisunga SC MD.29/2012

Tusiime Claire Koome SC MD.14/2020

Kizza Margaret Kyampisi MD. 224/2018

Mukubi Joseph Kasawoo SC min no MD 98/2020

IRADDUKUNDA WILSON Ntenjeru min no

New\_Evidence that the LG has recruited or the seconded staff is in place for all essential positions in every LLG

Maximum score is 15

c. A Senior Accounts Assistant /an Accounts Assistant in all LLGS, score 5 or else 0.

The LG had Senior Accounts Assistant /an Accounts Assistant in all LLGS

Muwanga Yasin / MDLG min no MD 332/2019

Nerima Florence / Kasawo TC Min no 23/2011

Namukasa Jannet Kyampisi SC min no17/2007

Nantumbwe Pauline /Nakisunga SC min no 9/97

Sanyu Scovia / Kyampisi SC Min no 27/2011

Mbabazi Mary Scovia Nama SC Min no MDC. 088/2014

Katende jalia /Kimenyedde SC Min no MD,162/10546

Muwanga Stephen / Koome SC Min no MDC 179/2013

Nabirye Damalie Katosi TC Min no 22/2005H. (34)

Nakiwala Prossy Mpatta SC Min no 67/2011

Nalukenge Beatrice Ntunda SC Min no 24/2000(viii)a

Akena Godfrey Luck Nama SC, min no MDC 179/2013

## Environment and Social Requirements

3

Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

a. Natural Resources department,

score 2 or else 0

As per the LG Final Account ended 30th June 2021, budgeted was UGX 245,373,271 page 12

Released was UGX 207,373,721. This represented only 85%.

0

3	<p>Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.</p> <p>Maximum score is 4</p>	<p>If the LG has released 100% of funds allocated in the previous FY to:</p> <p>b. Community Based Services department.</p> <p>score 2 or else 0.</p>	<p>As per the LG Final Account ended 30th June 2021,</p> <p>budgeted UGX327,242,616</p> <p>Released UGX289,104,685. This represented 88% only</p>	0
4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>a. If the LG has carried out Environmental, Social and Climate Change screening,</p> <p>score 4 or else 0</p>	<p>Evidence obtained showed that the district LG has carried out Environment, Social and climate change screening prior to commencement of civil works for all projects implemented using DDEG funds were obtained from Environmental and climate change screening forms, endorsed by the SEO and the DCSO. For example:</p> <p>1.Construction of a 2 classroom block at Kivuvu P/S, Kasenge parish, Nama subcounty dated 16/2/2021;</p> <p>2.Construction of a 5-stance lined VIP latrine at Sempape Memorial Muslim School at Namaiba, Nakisunga subcounty, dated 18/9/2020; and</p> <p>3.Construction of a 5 stance lined VIP latrine at Mamanoga P/S, Nakadindiri parish, Seeta Namuganga subcounty, dated 17/9/2020.</p>	4
4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),</p> <p>score 4 or 0</p>	<p>There was no evidence that the LG has carried out ESIAs. The Environment and climate change screening of all civil works projects funded under DDEG indicate that the potential negative environmental and social impacts of the project were easily mitigated.</p>	0

4	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.</p> <p>Maximum score is 12</p>	<p>c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;</p> <p>score 4 or 0</p>	<p>Evidence that the district LG has Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG) was obtained from the costed ESMPs for the following projects:</p> <p>1.Construction of a 2 classroom block at Kivuvu P/S, Kasenge parish, Nama subcounty dated 16/2/2021;</p> <p>2.Construction of a 5-stance lined VIP latrine at Sempape Memorial Muslim School at Namaiba, Nakisunga subcounty, dated 18/9/2020; and</p> <p>3.Construction of a 5 stance lined VIP latrine at Mamanoga P/S, Nakadindiri parish, Seeta Namuganga subcounty, dated 17/9/2020.</p>	4
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### Financial management and reporting

5	<p>Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.</p> <p>Maximum score is 10</p>	<p>If a LG has a clean audit opinion, score 10;</p> <p>If a LG has a qualified audit opinion, score 5</p> <p>If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0</p>	<p>Branch Kampala item 06 page 342. Mukono DLG has Unqualified opinion.</p>	10
6	<p>Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).</p> <p>maximum score is 10</p>	<p>If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),</p> <p>score 10 or else 0.</p>	<p>The LG submitted to the PS on 19/04/2021 far beyond the deadline of end of February and some of the issues raised were; local revenue spent at source UGX 250,169,219; delays in implementing government projects, delays procurement; unaccounted for funds UGX 40,980,722; misappropriation of local revenue UGX 45,598,610; failure to recover youth livelihood funds 179,560,623; and UWEP 367,522,0000.</p>	0
7	<p>Evidence that the LG has submitted an annual performance contract by August 31st of the current FY</p> <p>Maximum Score 4</p>	<p>If the LG has submitted an annual performance contract by August 31st of the current FY,</p> <p>score 4 or else 0.</p>	<p>LG submitted performance contract on 03/08/2021</p>	4

8	<p>Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year</p> <p>maximum score 4 or else 0</p>	<p>If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>LG submitted the annual performance report on 29/08/2021</p>	4
9	<p>Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year</p> <p>Maximum score is 4</p>	<p>If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,</p> <p>score 4 or else 0.</p>	<p>There was evidence that the LG submitted the 4 quarterly reports timely as follows:</p> <p>Quarter 1 submitted on 23/10/2020;</p> <p>Quarter 2 submitted on 28/01/2021;</p> <p>Quarter 3 submitted on 26/04/2021; and</p> <p>Quarter 4 submitted on 29/08/2021</p>	4