



LGPA 2019/20

Soroti District

(Vote Code: 553)

Assessment	Scores
Accountability Requirements	%
Crosscutting Performance Measures	79%
Educational Performance Measures	81%
Health Performance Measures	69%
Water & Environment Performance Measures	72%

No.	Summary of requirements	Definition of compliance	Compliance justification	Compliant?
Annual performance contract				
1	LG has submitted an annual performance contract of the forthcoming year by June 30 on the basis of the PFMAA and LG Budget guidelines for the coming financial year.	<ul style="list-style-type: none"> From MoFPED's inventory/schedule of LG submissions of performance contracts, check dates of submission and issuance of receipts and: <ul style="list-style-type: none"> If LG submitted before or by due date, then state 'compliant' If LG had not submitted or submitted later than the due date, state 'non-compliant' From the Uganda budget website: www.budget.go.ug, check and compare recorded date therein with date of LG submission to confirm. 	<p>Soroti District LG submitted an Annual Performance Contract for FY 2019/2020 on 17th July, 2019 under Ref: PBS and received by MoFPED on same day as per Acknowledgement slip No: (not available).</p> <p>The date of submission was later than the 30th June on the basis of the PFMA, 2015 and the Budget Guidelines but was within the MoFPED adjusted date of 31st August 2019</p>	Yes
Supporting Documents for the Budget required as per the PFMA are submitted and available				
2	LG has submitted a Budget that includes a Procurement Plan for the forthcoming FY by 30th June (LG PPDA Regulations, 2006).	<ul style="list-style-type: none"> From MoFPED's inventory of LG budget submissions, check whether: <ul style="list-style-type: none"> The LG budget is accompanied by a Procurement Plan or not. If a LG submission includes a Procurement Plan, the LG is compliant; otherwise it is not compliant. 	<p>The District LG submitted a Budget which included a Procurement Plan for the forthcoming FY (2019/2020) on 23rd July, 2019 under Ref: PBS and received by MoFPED on same day.</p> <p>The submission was made later than the mandatory date of 30th June but was within the MoFPED adjusted date of 31st August 2019</p>	Yes

Reporting: submission of annual and quarterly budget performance reports

3

LG has submitted the annual performance report for the previous FY on or before 31st July (as per LG Budget Preparation Guidelines for coming FY; PFMA Act, 2015)

From MoFPED's official record/inventory of LG submission of annual performance report submitted to MoFPED, check the date MoFPED received the annual performance report:

- If LG submitted report to MoFPED in time, then it is compliant
- If LG submitted late or did not submit, then it is not compliant

Soroti DLG submitted the Annual Performance Report for the previous FY 2018/2019 on 20th August, 2019 under Ref: PBS and acknowledged receipt by MoFPED on same day.

Yes

4

LG has submitted the quarterly budget performance report for all the four quarters of the previous FY by end of the FY; PFMA Act, 2015).

From MoFPED's official record/inventory of LG submission of quarterly reports submitted to MoFPED, check the date MoFPED received the quarterly performance reports:

- If LG submitted all four reports to MoFPED of the previous FY by July 31, then it is compliant (timely submission of each quarterly report, is not an accountability requirement, but by end of the FY, all quarterly reports should be available).
- If LG submitted late or did not submit at all, then it is not compliant.

The District made submission of the quarterly budget performance reports during FY 2018/2019 as follows:

Quarter	Submission Date	Acknowledgement Reference	Date
Quarter 01			
Quarter 02	15/01/2019	PBS	
Quarter 03	20/05/2019	PBS	
Quarter 04	20/08/2019	PBS	

Yes

The LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and the Auditor General's findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes actions against all findings where the Internal Auditor and the Auditor General recommended the Accounting Officer to take action in lines with applicable laws.

From MoFPED's Inventory/record of LG submissions of statements entitled "Actions to Address Internal Auditor General's findings",

Check:

- If LG submitted a 'Response' (and provide details), then it is compliant
- If LG did not submit a 'response', then it is non-compliant
- If there is a response for all – LG is compliant
- If there are partial or not all issues responded to – LG is not compliant.

Soroti District LG provided information to the PS/ST on the status of implementation of Internal Auditor General's findings for the previous financial year 2017/2018 on 17th December, 2018. This was in compliance with the provisions of PFMA, 2015 s. 11 2g) which calls for this information by the end of February, 2019.

(A) Internal Auditor General FY 2017/2018

Total number of queries raised Total number of queries cleared Total number of queries pending

16 16 Nil

It should be noted that all the audit queries raised by the Internal Auditor for FY 2017/2018 were cleared.

(B) Office of the Auditor General FY 2017/2018

Total number of queries raised Total number of queries cleared Total number of queries pending

Nil Nil Nil

A communication on information to the PS/ST, MoFPED on the status of implementation of Auditor General's findings for FY 2017/2018 was not made. The Principle Internal Auditor explained that during FY under review there were no audit queries raised by OAG which required action by the DLG. The explanation was upheld on the Auditor General's opinion on the Annual Financial Statements which stated as noted on page 4 saying: "...In my opinion, the Financial Statements of Soroti DLG for the year ended 30th June, 2018 are prepared, in all material aspects, in accordance with Section 15 of PFMA, 2015 and the Local Government Financial and Accounting Manual, 2007.

Yes

The audit opinion of LG Financial Statement (issued in January) is not adverse or disclaimer.

The Auditor General's Opinion on the Financial statements of Soroti DLG for the Financial Year ended 30th June, 2019 was Unqualified.

Yes

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution				
1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<p>Evidence that a district/ municipality has:</p> <ul style="list-style-type: none"> • A functional Physical Planning Committee in place that considers new investments on time: score 1. 	<p>There was a Physical Planning Committee</p> <p>Name Designation Appointment date Ref</p> <p>Olula Cuthbert Member 1st November 2013</p> <p>C R / 2 1 4/ 6 8</p> <p>Ajotu Benjamin Member 1st November 2013</p> <p>Egwau Paul Secretary 1st November 2013</p> <p>Eriamu Sam Member</p> <p>Egwangu Richrad Member 1st November 2013</p> <p>Emaju Charles Member 1st November 2013</p> <p>Opolot Charles Member 1st November 2013</p> <p>Akiror Jane Member 1st November 2013</p> <p>Okhadi Charles Member 1st November 2013</p> <p>Margaret Emuria Member 1st November 2013</p> <p>There was a functional Physical Planning Committee in place that considers new investments and plans for Soroti DLG</p> <p>The Committee was functional during the FY 2018/2019</p> <p>the committee didn't seat in the first quarter of the FY 2018/19</p> <p>20th December, 2018</p> <p>PRESENTATION AND APPROVAL OF DEVELOPMENT PLANS</p> <p>a) Development plan (structure and detailed plans) for Adamasiko Trading Centre in Katine Sub county, Soroti District</p> <p>b) Development plan (structure and detailed plans) for Oculoi county, Soroti District</p> <p>This is under minute no DPPC 04/12/20018</p> <p>PRESENTATION AND APPROVAL OF THE FOLLOWING BUILDING PLANS</p> <p>a) Building plans for Asuret Seed Secondary School, Located on Plot:1563 Block 6, Asuret sub county, Soroti District</p> <p>b) Building plans for a commercial development for Mutabanzi Ronald and Kato Jackson on Plot 1644 Block 6 Oderai, Amen A Parish Soroti Sub County, along Serere Soroti Road.</p> <p>The is under minute no : DPPC/05/12/2018</p>	1

3rd quarter

30th April, 2019

i) Building plans for a commercial factory development (Bakery) for Mutabanzi Ronald and Kato Jackson on Plot 1644 Block 6 Oderai, Amen 'A' Parish Soroti Sub county, Along Serere, Soroti Road

ii) Building plans for Soroti Bright Minds Nursery and Primary school located on Plot 682 and 683 Block 6 for Eriamu Samuel and Others P.O.BOX 147, Soroti.

iii) Building plans for a Hostel facility to be built on Plot 2 Apio Road, Amen Town Council for Aisin Town

iv) Building plans for a residential to build on Plot 6, Dr Akol Zamabu Road by Imou Patience Sally of P.O.BOX 61 Soroti.

v) Building plan for a residential development to be built on plot 12 Alum Road by Eriabu Ronald Collins

vi) Building plans for a residential development on Plot 150 Block 7 off Omana Road, Amen Parish, Soroti sub county Muteteri Harriet.

This was under the minute number:
DPPC04/APRIL/2019

There is no current Physical Development Plan.

4th quarter

20th June, 2019

Presentation off Development Application

Min05/DPPC/08/2019

1

All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans

• Evidence that district/ MLG has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD score 1.

Three sets of minutes of the Physical Planning Committee submitted were to MoLHUD

Date of the meeting Date of Submission of minutes

1

2 20th December, 2018 4th /01/2019

3 30th April 2019 4th /01/2019

4 20th June, 2019 4th /01/2019

Maximum 4 points for this performance measure.

1

1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • All infrastructure investments are consistent with the approved Physical Development Plan: score 1 or else 0 	<p>There was no Physical Development plan</p>	0
1	<p>All new infrastructure projects in: (i) a municipality / (ii) in a district are approved by the respective Physical Planning Committees and are consistent with the approved Physical Plans</p> <p>Maximum 4 points for this performance measure.</p>	<ul style="list-style-type: none"> • Action area plan prepared for the previous FY: score 1 or else 0 	<p>The Physical planner had prepared Area plans for :</p> <ul style="list-style-type: none"> • Adamasiko Town Board, Katine sub county • Enamgu Town Board /Trading Centre ,Kamuda sub county • Oculoi Trading center/ Town Board, Katine • Tubur Town Council/Town, Tubur sub county • Amen (Agasia cell) Soroti sub county <p>Asukut Town Board, Gweri sub county</p>	1

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year

development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

• Evidence that priorities in AWP for the current FY are based on the outcomes of budget conferences: score 2.

Priorities in AWP for FY 2019/2020:

1.Completion of construction of facilities at Maternity ward in Ocokican

2 Construction of the secondary seed school in Asuret sub county Head Quarter

3 Connection of piped water to 6 staff houses in Tiriri HCIV

4 Construction of a 2 stance drainable pit latrine in Asuret RGC

5 Rehabilitation of 6 boreholes in Asuret, Arapai,Gweri,Katine,and Kamuda

The priorities were discussed in the Budget Conference held on:31st /10/2019 section1.1.4 of the report

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year

development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

• Evidence that the capital investments in the approved Annual work plan for the current

FY are derived from the approved five-year development plan. If differences appear, a justification has to be provided and evidence provided that it was

approved by the Council. Score 1.

Examples of capital investments in the approved Annual Work Plan for the FY2019/2020 were derived from the approved Five-Year Development Plan.

Capital Project Investment Page source in the Five year development plan

Construction of District Administrative Office Block 16

Construction of Maternity Ward Facility at Ocokican HCII 102

Connection of piped water to 6 staff houses in Tiriri HCIV 102

Renovation of OPD block in Opuyo HCII 103

Construction of the 5-stance line pit latrine in Tukum,Opucet,Mukura and Asuret Primary Schools 113

Construction of Four 2 in 1TEACHER'S staff Houses in Obuja,Amorikot Abule, Tubur Awaliwal Primary Schools 114-115

Construction of the secondary seed school in Asuret sub county Head Quarter 120

Rehabilitation of 6 boreholes in Asuret, Arapai,Gweri,Katine,and Kamuda 142

Construction of a 2 stance drainable pit latrine in Asuret RGC 145

2

The prioritized investment activities in the approved AWP for the current FY are derived from the approved five-year

development plan, are based on discussions in annual reviews and

budget conferences and

have project profiles

Maximum 5 points on this performance measure.

• Project profiles have been developed and discussed by TPC for all investments in the AWP as per LG Planning guideline: score 2.

TPC meetings held on 10/10/2018 under Minute 08/TPC/10/2018 discussed I investments in the AWP as per LG Planning guidelines.

The project profile format was as per the planning guidelines by DDEG

2

3

Annual statistical abstract developed and applied

Maximum 1 point on this performance measure

• Annual statistical abstract, with gender-disaggregated data has been compiled and presented to the TPC to support budget allocation and decision-making- maximum score 1.

Annual Statistical Abstract was discussed TPC meeting held on:25TH /02/ 2019 under Minute number10/TPL/02/2019

Gender disaggregated data had been compiled

1

Investment activities in the previous FY were implemented as per AWP.

Maximum 6 points on this performance measure.

- Evidence that all infrastructure projects implemented by the LG in the previous FY were derived from the annual work plan and budget approved by the LG Council: score 2

All Infrastructure projects implemented by the LG in the previous FY 2018/2019 were derived from the Annual Work Plan and Budget approved by the LG Council under Minute. Cou 94/05/2018 of 28th -28th May, 2018

All Infrastructure project Page in AWP/B

Construction of district administrative office block 16

Construction of a 2 stance drainable pit latrine in Asuret RGC 143

Rehabilitation of 6 boreholes in Asuret, Arapai, Gweri, Katine, and Kamuda 142

Construction of the secondary seed school in Asuret sub county Head Quarter

120

Construction of Four 2 in 1 TEACHER'S staff Houses in Obuja, Amorikot Abule, Tubur Awaliwal Primary Schools 114-115

Construction of the 5-stance line pit latrine in Tukum, Opucet, Mukura and Asuret Primary Schools 113

Connection of piped water to 6 staff houses in Tiriri HCIV 102

Construction of Maternity Ward Facility at Ocokican HCII 102

Investment activities in the previous FY were implemented as per AWP.

Maximum 6 points on this performance measure.

- Evidence that the investment projects implemented in the previous FY were completed as per work plan by end for FY.

- o 100%: score 4
- o 80-99%: score 2
- o Below 80%: 0

Implemented /Completed projects Completed as per work plan (YES/NO)

1 Soro553/Works/2018-19/00034

Renovation of Office Block DDEG Amen Town Council. Yes

2 Soro553/Works/2018-19/00024

Construction of 3 pig units in Arapai, Asuret and Kamuda – Production and Marketing. Yes

3 Soro553/Works/2018-19/00035

Construction of a Generator Shade for Health Department - UCG NW. Yes

4 Soro553/Works/2018-19/00033

Construction of Butchers stall, Slaughter Slab and 2 stances drainable in Odokomit Trading Centre proposed daily market DDEG Kamuda Sub County. Yes

5 Soro553/Works/2018-19/00009

Phase IV Construction of Soroti District Local Government Administration Office Block – DDEG Yes

6 Soro553/Works/2018-19/00016

Construction of a Laboratory Work Table/ Sink and Drainage in Tubur Health Centre III in Tubur Sub County- DDEG. Yes

7 Soro553/Works/2018-19/00027

Construction of a Spring Well at Abilangiti Village, Agirigiroi Parish in Arapai Sub County - DWSCG. Yes

8 Renovation of Asuret Sub County Headquarters - DDEG in Asuret Sub County. Yes

9 Soro553/Works/2018-19/00013

Construction of a 5 Stance Lined Pit Latrine in Lalle Primary School in Kamuda Sub County - DDEG

10 Soro553/Works/2018-19/00029

Construction of a 4 Stance Lined Pit Latrine in Ojama Primary School in Katine Sub County. Yes

11 Soro553/Srvcs/2018-19/00031

Construction of a 2 Stance Lined Pit Latrine at Awaliwal Health Centre II in Gweri Sub County - DDEG. Yes

12 Soro553/Srvcs/2018-19/00003

Rent/Hire of Asuret S/C Headquarters Market in Asuret Sub County Yes

13 Soro553/Srvcs/2018-19/00002

Rent/Hire of Katine Cattle Market in Katine Sub County Yes

14 Soro553/Srvcs/2018-19/00001: (1)

Rent/Hire of Arapai Cattle Market in Arapai Sub County Yes

15 Soro553/Services/18-19/00001.

Maintenance, Repairs & Servicing of Vehicles and Motor Cycles in the District and Sub Counties (Framework Contract) Yes

16 MOES - SORO553/WORKS/2018-19/00119 (LOT 14): Construction of Asuret Seed Secondary School in Asuret Sub County Yes

17 Soro553/Works/18-19/00025

Construction of Garbage Bank at Obuku market in Soroti S/County Yes

18 Soro553/Works/18-19/00023

Construction of a Concrete drying Rack at Ojom - Olwelai Farmers' Cooperative Society in Katine Sub County and Face Lifting of the Sub County Lukiiko Hall in Asuret Sub County Yes

19 Soro553/Works/18-19/00022

Rehabilitation of a Staff House formerly used by LAP- DDEG Katine Sub County Yes

20 Soro553/Works/18-19/00021

Rehabilitation of a Staff House at Kamuda HCIII in Kamuda Sub County – DDEG Kamuda Sub County Yes

21 Soro553/Works/18-19/00019

Renovation of a Staff House and Construction of a 5 Stance Lined Pit Latrine at Awaliwal Health Centre II in Gweri Sub County Yes

22 Soro553/Works/18-19/00018

Completion of Construction of Facilities at Maternity Ward in Ocokican Health Centre II - DDEG Health Yes

23 Soro553/Works/18-19/00017

Completion of Construction of Facilities at Maternity Ward in Awaliwal Health Centre II - DDEG Health Yes

24 Soro553/Works/18-19/00015

Construction of 5 Stance Lined Pit Latrine at Opuyo Primary School in Soroti Sub County Yes

Total 24 projects

5	The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY	<ul style="list-style-type: none"> • Evidence that all investment projects in the previous FY were completed within approved budget – Max. 15% plus or minus of original budget: score 2 	Implemented /Completed projects Completed as per work plan (YES/NO)	2
			1 Soro553/Works/2018-19/00034	
			Renovation of Office Block DDEG Amen Town Council. Yes	
			2 Soro553/Works/2018-19/00024	
			Construction of 3 pig units in Arapai, Asuret and Kamuda – Production and Marketing. Yes	
	Maximum 4 points on this Performance Measure.		3 Soro553/Works/2018-19/00035	
			Construction of a Generator Shade for Health Department - UCG NW. Yes	
			4 Soro553/Works/2018-19/00033	
			Construction of Butchers stall, Slaughter Slab and 2 stances drainable in Odokomit Trading Centre proposed daily market DDEG Kamuda Sub County. Yes	
			5 Soro553/Works/2018-19/00009	
			Phase IV Construction of Soroti District Local Government Administration Office Block – DDEG Yes	
			6 Soro553/Works/2018-19/00016	
			Construction of a Laboratory Work Table/ Sink and Drainage in Tubur Health Centre III in Tubur Sub County- DDEG. Yes	
			7 Soro553/Works/2018-19/00027	
			Construction of a Spring Well at Abilangiti Village, Agirigiroi Parish in Arapai Sub County - DWSCG. Yes	
			8 Renovation of Asuret Sub County Headquarters –	

DDEG in Asuret Sub County. Yes

9 Soro553/Works/2018-19/00013

Construction of a 5 Stance Lined Pit Latrine in Lalle Primary School in Kamuda Sub County - DDEG

10 Soro553/Works/2018-19/00029

Construction of a 4 Stance Lined Pit Latrine in Ojama Primary School in Katine Sub County. Yes

11 Soro553/Srvcs/2018-19/00031

Construction of a 2 Stance Lined Pit Latrine at Awaliwal Health Centre II in Gweri Sub County - DDEG. Yes

12 Soro553/Srvcs/2018-19/00003

Rent/Hire of Asuret S/C Headquarters Market in Asuret Sub County Yes

13 Soro553/Srvcs/2018-19/00002

Rent/Hire of Katine Cattle Market in Katine Sub County Yes

14 Soro553/Srvcs/2018-19/00001: (1)

Rent/Hire of Arapai Cattle Market in Arapai Sub County Yes

15 Soro553/Services/18-19/00001.

Maintenance, Repairs & Servicing of Vehicles and Motor Cycles in the District and Sub Counties (Framework Contract) Yes

16 MOES - SORO553/WORKS/2018-19/00119 (LOT 14): Construction of Asuret Seed Secondary School in Asuret Sub County Yes

17 Soro553/Works/18-19/00025

Construction of Garbage Bank at Obuku market in Soroti S/County Yes

18 Soro553/Works/18-19/00023

Construction of a Concrete drying Rack at Ojom - Olwelai Farmers' Cooperative Society in Katine Sub County and Face Lifting of the Sub County Lukiiko Hall in Asuret Sub County Yes

19 Soro553/Works/18-19/00022

Rehabilitation of a Staff House formerly used by LAP- DDEG Katine Sub County Yes

20 Soro553/Works/18-19/00021

Rehabilitation of a Staff House at Kamuda HCIII in Kamuda Sub County - DDEG Kamuda Sub County Yes

21 Soro553/Works/18-19/00019

Renovation of a Staff House and Construction of a 5 Stance Lined Pit Latrine at Awaliwal Health Centre II in Gweri Sub County Yes

22 Soro553/Works/18-19/00018

Completion of Construction of Facilities at Maternity Ward in Ocokican Health Centre II - DDEG Health Yes

23 Soro553/Works/18-19/00017

Completion of Construction of Facilities at Maternity Ward in Awaliwal Health Centre II - DDEG Health Yes

24 Soro553/Works/18-19/00015

Construction of 5 Stance Lined Pit Latrine at Opuyo Primary School in Soroti Sub County Yes

Total 24 projects

Implemented /Completed projects Budget Actual Expenditure as at 30th June 2019

1 Construction of a two Classroom in ObuleAngorom Primary School-DDEG Ugx:

57,563,586 /=

2 Construction of a two classroom in Ojom Primary School -DDEG Education Ugx

58,846,482/=

3 Construction of a 2 in 1 Staff House in Asuret Primary School in Asuret Sub County - DDEG Education. Ugx:

87,425,910/=

4 Construction of a 2 Stance Lined Pit Latrine at Kamuda Sub County Market and Aparisa RGC in - Kamuda&Tubur Sub counties respectively Ugx:

Ugx: 17,613,600/=

5 Construction of a 5 Stance Lined Pit Latrine at Amorikot and Katine Tiriri Primary Schools in Katine Sub County - DDEG Education Ugx: 35,935,720/=

All investment projects in the previous FY were completed within approved budget

There were 56 investment projects and a cost of 2,768,900,450 was spent

5 The LG has executed the budget for construction of investment projects and O&M for all major infrastructure projects during the previous FY

• Evidence that the LG has budgeted and spent at least 80% of the O&M budget for infrastructure in the previous FY: score 2

Maximum 4 points on this Performance Measure.

List of assets and projects in need of maintenance for FY 2018/2019 was in place as per table below:

Sector Asset/Project Cost of O&M

1. Administration Vehicle maintenance 6,000,000

Machinery maintenance 1,000,000

Computer maintenance 2,000,000

2.Finance Department Vehicle maintenance

Vehicle maintenance 2,000,000

2,000,000

3.statutory bodies Computers 1,000,000

2

4.Production Vehicle maintenance 2,830,000

5.Planning unit Civil maintenance 356,000

6.CBS .Furniture, non-machinery

.Vehicle maintenance

.Vehicle maintenance

.Vehicle maintenance

.Vehicle maintenance

.Vehicle maintenance 1,664,000

7.Planning unit Vehicle maintenance

Computer maintenance

Tyres works 14,800,000

5,800,000

5,000,000

8.Internal audit Computers 3,798,500

9.works Equipment & vehicles

Computer maintenance 77,661,000

2,000,000

Education Vehicle maintenance

(UG296012) 9,700,000

Water Vehicle maintenance

Computer maintenance & Office Equipment

Borehole Operation and Maintenance

8,670,000

2,644,000

4,440,000

Asset/Project O&M Budget Actual Expenditure

Administration 6,000,000 6,000,000

Finance Department

Vehicle maintenance

Furniture & non-machinery

Civil works

Computer

17,000,000

2,920,963

300,000

statutory bodies 1,000,000 1,000,000

Production 21,000,000 20,830,880

Planning unit

LG 007-107 37,000,000 14,800,000

CBS

Natural resources computer

Vehicle maintenance

1,500,000

1,228,867

200,000

1,228,867

Planning unit

LG 0007-107

Computer maintenance

Tyres

25,000,000

7,000,000

5,000,000

14,800,000

5,800,000

4,900,000

Internal audit 200,000

500,000

works Equipment &Vehicle

computer maintenance &consume

80,000,000

2,000,000

77,661,000

2,000,000

Education

UG296012 Motor Vehicle 10,800,000 9,700,000

Water

Motor vehicle maintenance

Borehole maintenance

Computer Maintenance

10,000,000

5,500,000

1,500,000

8,670,000

4,440,000

2,644,000

Total 248,938,830 184,142,947

Budget for O&M in FY 2018/2019 Shs 248,938,830

Actual expenditure on O&M during the FY Shs 184,142,947

Percentage 74%%.

Therefore, less than 80% of the O&M budget was used.

Human Resource Management

- 6 LG has substantively recruited and appraised all Heads of Departments
- Evidence that the LG has filled all HoDs positions substantively: score 3

Maximum 5 points on this Performance Measure

0

The staff structure and staff list dated 31 / 10 /2017 for the current FY, Show that there are 8 heads of department HODs , 4 are substantively filled with 5 heads in acting position .

The substantive were ;

- The District health officer officer , Dr Okadli William , CR/D/11031 Appointed on 10 /11/1994 , on DSC Min , 37/2011[1] and was appraised on 8/8/2019 .
- The district community officer , Miss Acaya Mage rete Emurian CR/D/10040 She was appointed on 9t/12/2002 , on DSC Minute 75/20002 , and was appraised on 8/8/2019;
- The district production officer , Dr Eyedu Patrick CR /D/10816 , appointed on 19/1/1987 , on the DSC Minute 89/2000, Appraised on 8/8/2019,
- The principal internal Auditor , Mr Okello Michael CR/D/10878 Appointed on 15 / 5/2000 on the DSC , Min 64/2000 and he was promoted to internal Auditor on 17/5/2010 Min 47/2010 an promoted to principal on 3/12/2018 Min 106/11/2018 [i] . . Appraised on 8/8/2019.

Acting positions were ;

- Mr Ilayot Jennifer Ag District Education officer CR /D/10570 , appointed on 17/4/1996 Ref -SMC/ED/8 he was promoted to senior Education officer , on17 /.10 / 2018 under Min 75/10/2018. Appraised on 15/7/2019.
- Mr Ochung Denis Ag District Engineer , CR/D/11591,Appointed on 27 /3 /2014 as a District water officer , Promoted to senior Engineer Min 104 / 11/ 2018 [i] Appraised on 8/ 8/2019
- Mr Omutia David Ag chief finance officer CR/D/10075 Appointed on 14 /9 /1995 , DSC minute 24/04/2005 [i] appraised on 8/8/2019 .As for the information above the score is zero because three positions are headed by acting officers .

6	<p>LG has substantively recruited and appraised all Heads of Departments</p> <p>Maximum 5 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that HoDs have been appraised as per guidelines issued by MoPS during the previous FY: score 2 	<p>According to the guideline below is the appraised substantive and acting HOD ,</p> <ul style="list-style-type: none"> •The District health officer Dr Okadli William, CR/D/11031 was an appraised on 8/8/2019. •The district community officer , Miss Acaya Mage rete Emurian CR/D/10040 was appraised on 8/8/2019; •The district production officer Dr Eyedu Patrick CR /D/10816 was appraised on 8/8/2019; •The principal internal Auditor, Mr Okello Michael CR/D/10878 was a praised on 8/8/2019. •Mr Ilayot Jennifer Ag District Education officer CR /D/10570 Was appraised on 15/7/2019. •Mr Ochung Denis Ag District Engineer CR/D/11591,] appraised on 8/ 8/2019. •Mr Omutia David Ag chief finance officer CR/D/10075 appraised on 8/8/2019. 	2
7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of staff submitted for recruitment have been considered: score 2 	<p>There was no recruitment considered as per the time of assessment, but there was a submission letter for recruitment plan of 66 vacancies Ref, ARC/6/293/05 for the FY2019/2020</p>	2
7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for confirmation have been considered: score 1 	<p>There was only one Laboratory officer from Health submitted for confirmation on 15 /11/ 18 under Min 108/11/2018.</p>	1

7	<p>The LG DSC has considered all staff that have been submitted for recruitment, confirmation and disciplinary actions during the previous FY.</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100 % of positions submitted for disciplinary actions have been considered: score 1 	<p>There was One case for disciplinary action of Mr Ejona Emmanuel the Education Assistant II who was alleged for abscondment of duty, His File number was CR/D/20884 appointed on 19/4/2015 under Min 1052004. The disciplinary action taken against him was with a transfer to another center</p>	1
8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment: score 3 	<p>Soroti LG recruited 5 staffs and they all accessed salary payroll for the previous 2018/20189 FY with in two month they were as follows;</p> <ul style="list-style-type: none"> • Ms Apio Judith a teacher grade III CR/ D/23629 IPPPS 1040476 appointed on 15/4/2019 accessed payroll on 8/6/2019 . • Mr Omutia Jones a driver CR/D/11647 IPPPS 1036285 appointed on 22/10/2019 accessed payroll on 19 /12/ 2018. • Aanyu Josephine CR/D/11680 an office attendant appointed on 22/10/2019 accessed payroll on 19/12/ 18 , IPPPS 1036281 , • Mr Etanu Richard a driver CR/D/11646 IPPPS , 1086284 appointed on 22/10/2019 accessed payroll on 19/12/18 • Mr Anabu Caroline office typist CR/D/11631, IPPPS 103628 appointed on 22/10/2019 accessed payroll on 19/ 12/18 	3
8	<p>Staff recruited and retiring access the salary and pension payroll respectively within two months</p> <p>Maximum 5 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • Evidence that 100% of the staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement: score 2 	<p>Soroti LG has 4 retired officers In the previous FY; only 2 pensioners accessed the pension pay roll with in the last two month. 2018/2019 ;</p> <p>The two pensioners are ;</p> <ul style="list-style-type: none"> • Mr Eringu Vincent , IPPPS345329, CR/D/20751\ retired on 18/8/2018; accessed on 9/2//2019 • Mr Ecim Charles retired on 11/11/2018 and accessed on 9/1/2019 IPPPs 858460 on CR/D/110936 <p>However evidence for the third and forth pensioner when he accessed the payroll was not seen scoring 0</p>	0

Revenue Mobilization

9	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 4 points on this Performance Measure.</p>	<ul style="list-style-type: none"> • If increase in OSR (excluding one/off, e.g. sale of assets) from previous FY but one to previous FY is more than 10 %: score 4. • If the increase is from 5% - 10 %: score 2. • If the increase is less than 5 %: score 0. 	<p>Soroti DLG raised a total of OSR for FY 2017/2018 Shs. 417,410,150 (net of disposal of assets) as noted on page 22 of the Report of the Auditor General on Financial Statements for the FY ended 30th June, 2018.</p> <p>Likewise for FY 2018/2019 the District raised Shs.367,106,998 (net of disposal of Assets) as was noted on page 34 of the Draft Annual Financial Statements for FY 2018/2019.</p> <p>There was therefore a decrease of Shs.50,303,152 $((50,303,152/417,410,150) \times 100\%)$ between the two periods translating into a -12%.</p> <p>The decrease was said to be due to:</p> <ul style="list-style-type: none"> • OSR estimated from a quarry did not materialise because of a court case that resulted into suspension of the activities in the quarry. • On tendered sources of revenue, the tenders did not do well as expected e.g. the markets. This was attributed to political interferences. 	0
10	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realisation) is within +/- 10 %: then score 2. If more than +/- 10 %: Score 0. 	<p>Total local revenue planned/budgeted by the District for FY 2018/2019 was Shs.548,600,000 as noted on page 2 of the Approved Budget for FY 2018/2019.</p> <p>While a total of local revenue collected during the same period was Shs. 367,106,998. This was 66.9% $((548,600,000/367,106,998) \times 100\%)$ budget realisation rate meaning -33.1% was not collected for reasons given in No. 9 above. This was greater than the allowable -10% performance.</p>	0
11	<p>Local revenue administration, allocation and transparency</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the District/Municipality has remitted the mandatory LLG share of local revenues: score 2 	<p>Local Revenue collections by the District subjected to sharing with LLGs Shs. 39,442,263 as noted on page 34 of the Draft Final Accounts for FY 2018/2019.</p> <p>There was no evidence that the District remitted the mandatory shared OSR collected to the LLG. The reason given for non-compliance was that the amount was too small given the commitments of the HLG.</p>	0

11	Local revenue administration, allocation and transparency	• Evidence that the total Council expenditures on allowances and emoluments- (including from all sources) is not higher than 20% of the OSR collected in the previous FY: score 2	The District total expenditure on council allowances and emoluments during FY 2018/2019 Shs.47,073,000 as per IFMS Payment System (Print out schedule as endorsed by the CFO shown below):	2												
	Maximum 4 points on this performance measure.		<table><tr><th>Account code</th><th>Expenditure</th></tr><tr><td>09-300-553030100-138201-211103</td><td>14,853,000</td></tr><tr><td>09-300-553030100-138202-227001</td><td>1,500,000</td></tr><tr><td>09-300-553030100-138204-227001</td><td>19,000,000</td></tr><tr><td>09-300-553030100-138207-227001</td><td>11,720,000</td></tr><tr><td>Total</td><td>47,073,000</td></tr></table>	Account code	Expenditure	09-300-553030100-138201-211103	14,853,000	09-300-553030100-138202-227001	1,500,000	09-300-553030100-138204-227001	19,000,000	09-300-553030100-138207-227001	11,720,000	Total	47,073,000	
Account code	Expenditure															
09-300-553030100-138201-211103	14,853,000															
09-300-553030100-138202-227001	1,500,000															
09-300-553030100-138204-227001	19,000,000															
09-300-553030100-138207-227001	11,720,000															
Total	47,073,000															
			This was 11.2% of local revue raised in FY 2017/2018 shs.417, 410,150 ((47,073,000/417,410,150) x 100).													
			The percentage was less than the maximum of 20% of the OSR raised the previous FY 2017/2018 as provided in Regulation 3 (4) of First Schedule to the LGA Cap 423 as amended.													

Procurement and contract management

12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> Evidence that the District has the position of a Senior Procurement Officer and Procurement Officer (if Municipal: Procurement Officer and Assistant Procurement Officer) substantively filled: score 2 	<p>At the time of assessment, the DLG had a position of Senior Procurement Officer substantively filled. Efforts had been to have the position of Procurement Officer filled according to extracted minutes under Ref: Min: 81/10/2019, Ms. Isenyi Betrice had been considered for offer of appointment of Procurement Officer with effect from date of assumption of duty. Therefore since there was no letter of appointment, this position was not substantively filled scoring the DLG a zero (0).</p> <p>The Senior Procurement Officer, Mr. Haggai Ewena, was promoted to that position under letter ref: CR/D/11431 dated 17th December 2018.</p>	0
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12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the TEC produced and submitted reports to the Contracts Committee for the previous FY: score 1 	<p>Reports of the Evaluation Committee were submitted to the Contracts Committee during FY 2018/2019 on the following date:</p> <ul style="list-style-type: none"> • 5th October 2018 for Renovation of a staff house and construction of 5 stance pit latrine at Awaliwal Health Center II, Construction of 5 stance lined pit latrine at Amorikot and Katine-Tiriri primary school, Construction of 5 stance lined pit latrine at Araapai and Lele primary school in Araapai and Kamuda Sub County. • 31st May 2019 for pavement and maintenance repairs of Gweri-Awoja road. 	1
12	<p>The LG has in place the capacity to manage the procurement function</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the Contracts Committee considered recommendations of the TEC and provide justifications for any deviations from those recommendations: score 1 	<p>The Contracts Committee considered some recommendations of the EC and provided justifications where there were deviations from those recommendations for example;</p> <p>i) For Renovation of a staff house and construction of a 5 stance pit latrine at Awaliwal health centre II, date of evaluation was 5th October 2018, the Evaluation Committee recommended the award of contract to M/s Branberak Agencies at Ugx 44,862,237, Ref: Soro553/works/18-19/00019. On 11th January 2019 under ref: Min: 525/CC/01/2018-2019 (b), the contract's committee approved of the award after negotiating to Ugx 26,862,237 for renovation of staff house.</p> <p>ii) For Pavement and maintenance repairs of Gweri-Awoja road. Date of evaluation was 31st May 2019, the Evaluation Committee recommended the award of contract to M/s Mogen Enterprises Ltd at Ugx 45,962,700, Ref: Soro553/works/18-19/00028. On 5th June 2018 under ref: Min: 561/CC/06/2017-2018 (b), the contract's committee approved of the award after revising of contract to Ugx 44,579,220.</p> <p>iii) For Construction of a 5 stance lined pit latrine at Amorikot and Katine- Tirir primary school. Date of evaluation was 5th October 2018, Evaluation Committee recommended the award of contract to M/s Halado Enterprises at Ugx 35,935,720, Ref: Soro553/works/18-19/00011. On 18th October 2018 under ref: Min: 510/CC/10/2018-2019 (j), the contract's committee approved as per recommendation.</p> <p>iv) For Construction of a 5 stance lined pit latrine at Araapai and lalle primary school in Araapai and Kamuda Sub County. Date of evaluation was 5th October 2018, Evaluation Committee recommended the award of contract to M/s Ebaca Enterprises Ltd at Ugx 34,977,744, Ref: Soro553/works/18-19/00011. On 18th October 2018 under ref: Min: 510/CC/10/2018-2019 (L), the contract's committee approved as per recommendation.</p>	1

13	<p>The LG has a comprehensive Procurement and Disposal Plan covering infrastructure activities in the approved AWP and is followed.</p> <p>Maximum 2 points on this performance measure.</p>	<ul style="list-style-type: none"> a) Evidence that the procurement and Disposal Plan for the current year covers all infrastructure projects in the approved annual work plan and budget and b) evidence that the LG has made procurements in previous FY as per plan (adherence to the procurement plan) for the previous FY: score 2 	<p>The District Procurement Plan FY 2019/2020 covered all infrastructure projects in the approved work plan FY 2019/2020 as evidenced by a copy of the consolidated annual procurement work plan FY 2019/2020 approved and endorsed by the Accounting Officer on 9th September 2019 received by PPDA on 30th August 2019 at 4:37pm. Some of the examples of projects in the work plan for 2019/2020 included; i. Renovation of a staff house and 5 stance pit latrine at Awaliwal. ii Pavement and maintenance repairs of Gweri-Awoja road. iii Construction of 5 stance lined pit latrine at Amorikot – Tiriri primary school. iv Construction 5 stance lined pit latrine at Araapai and lalle primary school in Araapai and Kamuda Sub County</p>	2
14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For current FY, evidence that the LG has prepared 80% of the bid documents for all investment/infrastructure by August 30: score 2 	<p>There was evidence that the LG had prepared and issued four (20) bid documents out of the total twenty three (23) investment/infrastructure projects giving $(20/23)*100 = 87\%$ which was above the required 80% of bids prepared leading to the score of full marks (2). The prepared bids were;</p> <ul style="list-style-type: none"> i. Construction of Soroti DLG phase IV. ii. Siting, drilling, installation, Apron construction at Araapai, Gweri, Asuret and Kamuda Sub County. iii. Construction of 2 stance drainable pit latrine at Aparia rural growth centre. 	2
14	<p>The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> For Previous FY, evidence that the LG has an updated contract register and has complete procurement activity files for all procurements: score 2 	<p>In the FY 2018/2019, the District had updated the Contracts Register as evidenced by the number of entries (23) for the FY 2018/2019. Also the District LG completed procurement files for all procurements for the same FY. For example a sampled file for one project;</p> <ul style="list-style-type: none"> i) Renovation of staff house and construction of 5 stance pit latrine at Awalilwal Health Centre II. Procurement request was on 12th June 2018. Submissions to contract's committee for approval of contract, method, and draft advert/invitation to bid/draft bidding document and proposal EC date was on 20th August 2018. Minutes of approval for submissions to contract's committee were on 20th August 2018 under minute: 505/CC/08/18-19. Date of advert was 24th August 2018. Date of Bid issue/Receipt was 27th August 2018 to 10th September 2018, Bid Closing and Opening was 10th September 2018, Evaluation report was 27th September 2018, Approval Evaluation by Contract's committee was 20th August 2018, Display of Best Evaluated Bidder was on 11th January 2019 to 17th January 2019, Award of contract and signing on 21st January 2019. 	2

14

The LG has prepared bid documents, maintained contract registers and procurement activities files and adheres with established thresholds.

Maximum 6 points on this performance measure.

- For previous FY, evidence that the LG has adhered with procurement thresholds (sample 5 projects): score 2.

The District adhered to procurement threshold as per the sampled projects below;

i).Construction of 5 stance lined pit latrine at Araapai and Lalle primary school, method of procurement was selective bidding by invitation done on 24th August 2018. Three (3) companies were invited to submit, the planned cost was Ugx 36,000,000.

ii).Borehole drilling advertised in the Daily Monitor of 23rd August 2018. Item No. 2 Ref: Soro553/works/2018-2019/00002. Under open domestics bidding at Ugx 39,000,000.

iii).Procurement of Double cabin pick-up for works department advertised on 23rd August 2018. Item 9 Ref: Soro553/supplies/2018-2019/00004. Amount allocated Ugx 155,000,000 under open domestic bidding.

2

15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects implemented in the previous FY were appropriately certified – interim and completion certificates for all projects based on technical supervision: score 2 	<p>All works projects implemented in the previous FY were appropriately certified – interim and completion certificates for example;</p> <p>i. Construction of Soroti DLG phase IV ref: Soro553/wrks/18-19/0009. Certificate of practical completion was issued on 15th July 2019 to Clabos Uganda Ltd at a sum of Ugx 364,799,350.</p> <p>ii. Siting, drilling, installation, Apron construction at Araapai, Gweri, Asuret and Kamuda Sub County by KLR (U) Ltd. Ref: Soro553/wrks/18-19/00001 issued on 6th Marcg 2019. Construction sum Ugx 89,963,500.</p> <p>iii. Construction of 2 stance drainable pit latrine at Aparia rural growth centre by Ionic Builders Ltd. Ref: 553/wrks/18/-19/00009 at Ugx 8,806,800 dated 5th November 2018 to 5th February 2019.</p> <p>iv. IPC 1, construction of Asuret seed school. Ref: Soro553/wrks/18-19/00119 at Ugx 145,518,516 dated 21st June 2019.</p> <p>v. IPC 2 dated 12th June 2019 for drilling, installation, Apron, construction and test pumping of 5 boreholes. Ref: Soro 553/wrks/18-19/00001. Amount 14,115,000 to KLR (U) Ltd.</p> <p>vi. Final payment certificate dated 6th June 2019 to KLR(U) Ltd. Rural water supply. Ref: Soro553/wrks/17-18.</p> <p>i) Drilling, installation of 3 boreholes Ref: Soro 553/wrks/17-18/00033. At Ugx 55,239,300.</p>	2
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15	<p>The LG has certified and provided detailed project information on all investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all works projects for the current FY are clearly labelled (site boards) indicating: the name of the project, contract value, the contractor; source of funding and expected duration: score 2 	<p>There was no evidence that all works projects implemented in FY 2018/2019 were clearly labelled with project site boards for example;</p> <p>Renovation of a staff house and construction of a 5 stance pit latrine at Awaliwal health centre II.</p> <p>For the current FY they had no projects awarded because all were still undergoing evaluation process, therefore the DLG scored zero (0).</p>	0
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Financial management

16	<p>The LG makes monthly and up to-date bank reconciliations</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG makes monthly bank reconciliations and are up to-date at the time of the assessment: score 4 	<p>The District LG operated a Computerised System for management of Bank Reconciliations. The General Ledger Reconciliation Summary Detail Reports for the period under review (FY 2018/2019) was successfully reconciled up-to 30th June, 2019. A walk through the system indicated that July, August and September, 2019 were reconciled with a General Ledger cash balance of shs.93,538,210 as on 30th September, 2019</p>	4
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17	<p>The LG made timely payment of suppliers during the previous FY</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • If the LG makes timely payment of suppliers during the previous FY – no overdue bills (e.g. procurement bills) of over 2 months: score 2. 	<p>The District maintained a payment claim register (locally known as a despatch book) and LPOs which indicated the payment status of each supplier of goods and services.</p> <p>A sample of three transactions from Education, Health and Works sectors showed that suppliers were paid within 2 months timeframe.</p> <p>Education</p> <p>M/s Kakise Holdings Ltd requested for construction of Asuret Seed School in Asuret S/C for shs.349,997,144 on 30th May, 2019 and paid on 19th June, 2019 through BoU as per EFT No.24132556, after a period of 19 days.</p> <p>Health</p> <p>M/s Cavali Enterprises Ltd requested for completion of construction of facilities at Maternity Ward in Awaliwal HC II in Gweri S/C for shs.14,021,841 on 8th June, 2019 and paid on 25th June, 2019 through BoU as per EFT No.24325806, after 17 days.</p> <p>Works</p> <p>M/s KLR Uganda Ltd requested for siting, drilling, test pumping, casting and installation one hand pump in Asuret S/C for shs.15,822,915 on 10th June, 2019 and paid on 25th June, 2019 after 15 days.</p>	2
18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG has a substantive Senior Internal Auditor: 1 point. • LG has produced all quarterly internal audit reports for the previous FY: score 2. 	<p>The District had in place a Principal Internal Auditor in the name of Mr Okello Michael who was appointed a Principal Internal Auditor by the DSC under Min. No.106/11/2018 (i) vide a letter dated 3rd December, 2018 Ref: CR/D/1078. He held a District ID No.CR/D/1078.</p>	1

18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none">• LG has produced all quarterly internal audit reports for the previous FY: score 2.	<p>All the four Quarterly Internal Audit Reports for FY 2018/2019 were produced as follows:</p> <table><tr><th>Quarter</th><th>Date of report</th><th>Reference</th></tr><tr><td>Quarter 1</td><td>8/11/2018</td><td>CR/252/1</td></tr><tr><td>Quarter 2</td><td>28/02/2019</td><td>Unreferenced</td></tr><tr><td>Quarter 3</td><td>30/05/2019</td><td>CR/252/1</td></tr><tr><td>Quarter 4</td><td>19/08/2019</td><td>CR/252/1</td></tr></table>	Quarter	Date of report	Reference	Quarter 1	8/11/2018	CR/252/1	Quarter 2	28/02/2019	Unreferenced	Quarter 3	30/05/2019	CR/252/1	Quarter 4	19/08/2019	CR/252/1	2
Quarter	Date of report	Reference																	
Quarter 1	8/11/2018	CR/252/1																	
Quarter 2	28/02/2019	Unreferenced																	
Quarter 3	30/05/2019	CR/252/1																	
Quarter 4	19/08/2019	CR/252/1																	
18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<p>Evidence that the LG has provided information to the Council and LG PAC on the status of implementation of internal audit findings for the previous financial year i.e. follow up on audit queries from all quarterly audit reports: score 2.</p>	<p>There was no official communication on the actions taken by the Accounting Officer to the District PAC on Internal Audit Queries raised during FY 2018/2019. This was confirmed by the Principal Internal Auditor and the position was agreed during the debriefing session chaired by the CAO.</p>	0															
18	<p>The LG executes the Internal Audit function in accordance with the LGA section 90 and LG procurement regulations</p> <p>Maximum 6 points on this performance measure.</p>	<ul style="list-style-type: none">• Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and LG PAC has reviewed them and followed-up: score 1.	<table><tr><th>Quarter</th><th>Date of submission</th><th>Reference for report review</th></tr><tr><td>Quarter 1</td><td>10/05/2019</td><td>COU/PAC</td></tr><tr><td>Quarter 2</td><td>10/05/2019</td><td>COU/PAC</td></tr><tr><td>Quarter 3</td><td></td><td></td></tr><tr><td>Quarter 4</td><td></td><td></td></tr></table> <p>District Internal Audit Reports for quarters 1 & 2 were discussed and one PAC report was produced and submitted as indicated in table above.</p> <p>Internal Audit reports for quarters 3 & 4 were reviewed by DPAC on 17 October, 2019 with 5 members in attendance as per meeting attendance register. However, PAC Reports/Minutes had not been produced and submitted as at the Assessment date.</p>	Quarter	Date of submission	Reference for report review	Quarter 1	10/05/2019	COU/PAC	Quarter 2	10/05/2019	COU/PAC	Quarter 3			Quarter 4			0
Quarter	Date of submission	Reference for report review																	
Quarter 1	10/05/2019	COU/PAC																	
Quarter 2	10/05/2019	COU/PAC																	
Quarter 3																			
Quarter 4																			

19	<p>The LG maintains a detailed and updated assets register</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG maintains an up- dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual: score 4 	<p>Soroti District Asset Register was maintained under IFMS with sections for Buildings and Fittings, ICT Equipment (per department), Transport Equipment, Office Equipment, Furniture and Vehicles.</p> <p>Each category had its features e.g. Vehicle Inventory had: Registration No., Make, Type, Model, Engine No., Chassis No., Year of Manufacture, Mechanical Condition, HR/KM, Remarks.</p> <p>The formats provided the required information as per the Local Government Financial and Accounting Manual, 2007. The print out endorsed and stamped by the District Planner was availed to the Team for review.</p>	4
20	<p>The LG has obtained an unqualified or qualified Audit opinion</p> <p>Maximum 4 points on this performance measure</p>	<p>Quality of Annual financial statement from previous FY:</p> <ul style="list-style-type: none"> • Unqualified audit opinion: score 4 • Qualified: score 2 • Adverse/disclaimer: score 0 	<p>The Auditor General's Opinion on the Financial statements of Soroti DLG for the Financial Year ended 30th June, 2019 was Unqualified.</p>	4

Governance, oversight, transparency and accountability

The LG Council meets and discusses service delivery related issues

Maximum 2 points on this performance measure

- Evidence that the Council meets and discusses service delivery related issues including TPC reports, monitoring reports, performance assessment results and LG PAC reports for last FY: score 2

The LG Council met and discussed service delivery related issues as provided in the minutes below:

Quarter 1:

Meeting held on 12/10/2018 and discussed under Minute no 102/10/2018 the following:

The council sat and approved six acres of for heart institute which is to be build in Soroti Also they approved a board committee for the referral hospital Soroti ;

Quarter 2:

Meeting held on 20/12/2018 and discussed under Minute 110/12/2018 and the following were discussed Approval of the revised supplementary Budget , and the approval of members of the health units management committee ; and Also the tree planting and forest ordinance bill;

Quarter 3:

Meeting held 28/03/2019 Laying public account committee Budget ;

Under Min 133 /03/2019 there was laying of District Budget the budget for the FY 2019/2020AND support for the family planning ;

Quarter 4:

Meeting held on 30/05/2019 it was a discussion of the district PAC report for the 1 st and 2rd quarter Under Min 140 /05/2019. there was approval of capacity building plan under Min 146/05/2019 ;And finally there was the approval of the District Budget of the FY 2019/2020 ;

The LG has responded to the feedback/ complaints provided by citizens

Maximum 2 points on this Performance Measure

- Evidence that LG has designated a person to coordinate response to feed-back (grievance/complaints) and responded to feedback and complaints: score 1.

Name of designated person is Mr Obale Herbert

Date of designation 2/07/2018

Reference CR/1165

Evidence of response to feedback and complaints, was a report on the community dialogue on feedback meeting on 4th /7/18 to 12/7/18 it covered water production and it was satisfied with quality works ;

The levies on birth certificates and the financial transaction on the sub county roads was not being worked on; Hence a petition to the district chairperson ;

Under Min 20/7/2019 was a primary school complaint of lack of survey of the school land and opening the road to the school .Min 8/1/2019;

22	<p>The LG has responded to the feedback/ complaints provided by citizens</p> <p>Maximum 2 points on this Performance Measure</p>	<ul style="list-style-type: none"> • The LG has specified a system for recording, investigating and responding to grievances, which should be displayed at LG offices and made publically available: score 1 	<p>The district notice board showed displayed information for the feedback in community and the Balaza, among which were the use of the suggestion boxes at every service delivery for the feedback The youth livelihood program the quarterly public Dialogue commonly known as Balaza to inform them about the delayed disbursement due to bureaucratic process in approval affect release of fund. Minute 23/7/19the report of the community dialogue and feedback meeting held from 8th-16th/July/ 2019 ;</p> <p>This was a report prepared after conducting feedback meeting in with the different communities at sub county levels in the Districts</p>	1
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<p>Evidence that the LG has published:</p> <ul style="list-style-type: none"> • The LG Payroll and Pensioner Schedule on public notice boards and other means: score 2 	<p>The payrolls were published on 28/9/2019 on the notice board for the civil services. The pensioners schedule on the notice board and other means utilised informing the pensioners to come and get their salaries of October 2019. On 27/9/2019 .And the teachers had their Enhancement plan ;</p>	2
23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the procurement plan and awarded contracts and amounts are published: score 1. 	<p>There was evidence of the procurement plan on the references of procurement of Soro/553/WORKS/19/000012 on 30th/8/2018 it was signed by the CAO.</p> <p>The subject of the advert of construction of 5 stances line pit latrine. On 27/8/2018 was the date of publication.</p> <p>The contractor was ,Ebacu enterprises ltd</p> <p>The Total contract price was 39, 999, 744, /=. </p> <p>Awarded on 18/10/18, under Min 510/cc/10/2018.</p> <p>Completed on 31/3/2019 on the subject of construction ,</p> <p>And it was a selective Bidding.</p> <p>The Bidder was Ebacu enterprises ltd ,</p> <p>Another one procurement of Double cabin pick up the bidder was Mac East Africa ltd ,the amount was 157,510,000/=-,</p> <p>The Advert was on 23/8/18/in monitor newspaper, the subject was procurement of vehicle, on Ref Sro553/supplies /18-19/00004 a warded on 18/4/18 under Min 543/cc/04/18-19, it ended on 18/6/2019.</p>	1

23	<p>The LG shares information with citizens (Transparency)</p> <p>Total maximum 4 points on this Performance Measure</p>	<ul style="list-style-type: none"> • Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year (from budget requirements): score 1. 	<p>The evidence was on the notice board of Soroti on the date of 30/july/2019 displaying the national assessment results of 2017/2018, The average combined score for the period under review was 76% and the was ranked the No 23 out of 144 district and municipalities Assessed</p>	1
24	<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the HLG have communicated and explained guidelines, circulars and policies issued by the national level to LLGs during previous FY: score 1 	<p>The evidence was on the notice board of Soroti on the date of 30/july/2019 displaying the national assessment results of 2017/2018, The average combined score for the period under review was 76% and the was ranked the No 23 out of 144 district and municipalities Assessed</p> <p>On 6th/Aug / 2019 , there was evidence that the HLG communicated to all the sub county chiefs of Soroti District local Government by forwarding the DDEG guide lines for the use in the financial year 2018 /2019 , He urged them to use them as they include their budget to ensure that whatever is Budgeted under DDEG complies with the guideline .</p>	1
24	<p>The LGs communicates guidelines, circulars and policies to LLGs to provide feedback to the citizens</p> <p>Maximum 2 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: score 1. 	<p>There was evidence that LG during the previous FY have conducted discussions for the municipal , urban for a Barazas radio- Teso broad casting services talking about service delivery focusing on health services and fund Taso gave the District 126 million before the Budget was approved on 23/7/19</p>	1

Social and environmental safeguards

25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the LG gender focal person and CDO have provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities score 2. 	<p>The District Gender Focal Person (DGFP) Ms. Ayigi Daisy provided guidance and support to sector departments to mainstream gender, vulnerability and inclusion into their activities evidenced by i.TPC minutes dated 26th March 2019 Ref: Min: 06/TPC/03/2019. Some of the discussions were enumerated challenges in recovery of Uganda Women Entrepreneurship Programme (UWEP) loans. Also Members were taken through a guide on mainstreaming gender issues in the sector plans.</p> <p>ii. There was evidence of a Report on training of Sub County UWEP beneficiary groups on skills enhancement for increased productivity and incomes dated 14th June 2019. Its's objectives were discussed with participants on how they utilise their skills to increase productivity incomes.</p> <p>However there was no evidence of notes on guidance.</p>	2
25	<p>The LG has mainstreamed gender into their activities and planned activities to strengthen women's roles</p> <p>Maximum 4 points on this performance measure.</p>	<ul style="list-style-type: none"> • Evidence that the gender focal point and CDO have planned for minimum 2 activities for current FY to strengthen women's roles and address vulnerability and social inclusions and that more than 90 % of previous year's budget for gender activities/ vulnerability/ social inclusion has been implement-ted: score 2. 	<p>The GFP planned activities for FY 2019/2020 to strengthen women roles as evidenced by the planned activities under women council representation in the approved District Work Plan generated on 11th May, 2019, on page 145. They included: i. Scrutinizing district seven (7) sub county work plans and budgets for compliance, building capacity of twelve (12) stakeholders on gender mainstreaming in 3 months.</p> <p>ii. Monitoring women groups in all sub counties in 3 months conducting one coordination meeting in (3) months, approving and supporting (35) UWEP groups, procurement of stationary in (3) months, supporting staff welfare in (3) months payment of allowances to staff in (3) months.</p> <p>Payment vouchers of gender related activities was evidenced by the following vouchers;</p> <p>i. Payment voucher no. 22208756 dated 14th March 2019. Being payment to Ayigi Daisy the GFP for facilitation of women's day celebrations. Amount: Ugx 3,000,000.</p> <p>Payment voucher no. 24308544 dated 25th June 2019. Being payment to Ayigi Daisy the GFP for facilitation for learning tour at Jinja trade show. Amount: Ugx 2,500,000.</p>	2

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that environmental screening or EIA where appropriate, are carried out for activities, projects and plans and mitigation measures are planned and budgeted for: score 1

The District carried out screening activities and had evidence of properly filled screening forms for some of the sampled 5 projects as indicated below;

i) Rehabilitation of staff house formerly used by LAP-DDEG in Katine Sub County. Screening form dated 19th February 2019. Prepared by the District Environment Officer. An Environmental and Social mitigation certificate form of Contract ID: Soro553/works/18-19/00022 dated 14th August 2019, acknowledging that mitigation measures have been partially addressed endorsed by the District Environment Officer Mr. Adutu George and the Community Development Officer Ms. Acaya Margaret Emuria.

ii) Renovation of staff house and construction of 5 stance lined pit latrine at Awaliwal Health Centre II in Gweri Sub County. Screening form dated 29th January 2019, Prepared by the District Environment Officer. An Environmental and Social mitigation certificate form of Contract ID: Soro553/works/18-19/00019 dated 16th August 2019, acknowledging that Environmental and social mitigation measures have NOT been addressed endorsed by the District Environment Officer Mr. Adutu George and the Community Development Officer Ms. Acaya Margaret Emuria.

iii) Construction of 2 classroom Block at Obule Angorom primary school in Asuret Sub County. Screening form dated 4th February 2019. Prepared by the District Environment Officer. An Environmental and Social mitigation certificate form of Contract ID: Soro553/works/18-19/00003 dated 13th August 2019, acknowledging that Environmental and social mitigation measures have NOT been addressed. Endorsed by the District Environment Officer Mr. Adutu George and the Community Development Officer Ms. Acaya Margaret Emuria.

iv) Rehabilitation of staff house at Kamuda Health Centre III in Ka,muda Sub County. Screening form dated 29th January 2019. Prepared by the District Environment Officer. An Environmental and Social mitigation certificate form of Contract ID: Soro553/works/18-19/00021 dated 15th August 2019, acknowledging that mitigation measures have been partially addressed. Endorsed by the District Environment Officer Mr. Adutu George and the Community Development Officer Ms. Acaya Margaret Emuria.

26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG integrates environmental and social management and health and safety plans in the contract bid documents: score 1 	<p>The District had integrated Environmental and Social Management concerns in the contract bid documents as evidenced by the sampled projects below;</p> <p>i) Construction of Administration Block (Phase 4). BoQ, Bill 2, item No. 2.1.8 on page 22 of the contract Bid document. Components A: Level site and plant grass, B; Plant protect and nurture trees.</p> <p>ii) Construction of a 4 stance pit latrine in Ojama primary school. BoQ Element 7, item': A' and item 'B' on page number 16 of the contract bid document.</p> <p>i) Completion of fencing at DakaBela Health Centre III Araapai Sub County. BoQ item 10 and item 11. Provide for borrow pit restoration and provide for Environmental mitigation on page 14 of the contract bid document.</p>	1
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all projects are implemented on land where the LG has proof of ownership (e.g. a land title, agreement etc.): score 1 	<p>The LG provided evidence of land ownership on which projects that were implemented during FY 2018/2019 were located, for example;</p> <p>i) There was evidence of an inventory list dated 5th October 2010 endorsed by the Sub County Chief which proved that the project Renovation of staff houses at Awaliwal Health Centre II in Gweri Sub County was on Government land therefore no land title available.</p> <p>ii) Construction of a 5 stance pit latrine at Telamot primary school in Gweri Sub County, Mugenya Parish, was on Government land and therefore no land title available as evidenced from the district inventory list dated 5th October 2010 endorsed by the Sub County Chief.</p> <p>iii) A letter of verification of land data for Asuret Sub County under Ref: DNRO/SOR/LAND dated 23rd November 2018 proved that Obule primary school land was a donation acquired during colonial times and therefore has no land title. The Land also hosts Angorom primary school and Angorom Church of Uganda as evidenced on page 3 of the letter endorsed by the Sub County Chief Asuret.</p> <p>iv) A Certificate of Title dated 8th July 2010 under Freehold Volume 765, Folio 14, of area 18.046 Hectares, plot 181, Soroti Block 6 was in ownership of Kamuda Sub County Local Government of P.o Box 61, Soroti on which part of the sampled projects (Rehabilitation of staff house at Awaliwal Health Centre III) was located.</p> <p>i) Based on the evidence gathered, the DLG was awarded a mark.</p>	1

26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all completed projects have Environmental and Social Mitigation Certification Form completed and signed by Environmental Officer and CDO: score 1 	<p>There was evidence that all completed projects had environmental and social mitigation certification form completed and certified by both the Environmental Officer and CDO seen with the following projects;</p> <p>i) Environment and Social mitigation form for Rehabilitation of a staff house at Kamuda Health centre III in Kamuda Sub County dated 15th August 2019, certified by both the Environment Officer and the CDO.</p> <p>ii) Environment and Social mitigation form for Rehabilitation of staff house formerly used by LAP dated 14th August 2019, certified by both the Environment Officer and the CDO.</p> <p>iii) Environment and Social mitigation form for construction of two (2) classroom Block in Obule Angorom primary school dated, 13th August 2019 certified by both the Environment Officer and the CDO.</p> <p>iv) Environment and Social mitigation form for Renovation of staff house and for Construction of a 5 stance lined pit latrine at Awaliwal health Centre II in Gweri Sub County dated, 16th August 2019, certified by both the Environment Officer and the CDO.</p>	1
26	<p>LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition</p> <p>Maximum 6 points on this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the contract payment certificated includes prior environmental and social clearance (new one): Score 1 	<p>At the time of assessment, it was discovered that the District had agreed under minutes of the senior management committee meeting held on 22nd January 2018 on page 4 under reactions to chairperson's communication that, for the contract payment, during retention is when they would attach the environment certification and not prior case in point for the construction of a perimeter fence at the water office DDEG water sector by M/s Mogen Enterprises Ltd evidenced by the Local purchase order dated 29th May 2019, serial No: 00006382. Ref: works/June/19/004.</p>	1

LG has established and maintains a functional system and staff for environmental and social impact assessment and land acquisition

Maximum 6 points on this performance measure

- Evidence that environmental officer and CDO monthly report, includes a) completed checklists, b) deviations observed with pictures, c) corrective actions taken. Score: 1

Due to lack of logistics, Reports were prepared depending on project activities as opposed to monthly. There was some evidence that the Environment Officer and CDO had carried out environment and social checklists for compliance monitoring of projects as seen in the following projects;

i) Construction of 2 Classroom Block at Obule Angorom primary school in Asuret Sub County by contractor: M/s Gima Engineers and contractors, the nature and extent of work involved General construction works with check list issues like: Solid waste management whose corrective action was construction waste cleared, Storm water management, gutters installed, compliance monitoring done by the District Environment Officer.

ii) For Renovation of staff house at Kamuda by M/s Ethevra General services. Nature and extent of work, replacement of roof.

iii) For Construction of 5 stance pit latrine at Tetamot primary school by M/s Ittire Engineering/General supplies Ltd. Nature and extent of work: pit construction and general construction.

iv) For rehabilitation of staff house former LAP House Katine by M/s Henoasa Agency Ltd, nature and extent of work involved in replacement of roof.

v) For Renovation of staff House/Construction pit latrine at Awaliwal Health Centre II, Gweri Sub County, by M/s Branberak Agencies. Nature of work involved in pit excavation and general construction.

Based on this Information the DLG was awarded a mark.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management				
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has budgeted for a Head Teacher and minimum of 7 teachers per school (or minimum a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>All Primary Schools had a head teacher and at least 7 teachers. (Evidence seen on staff lists)</p> <p>The wage bill for primary schools in education department was UGX 1, 323, 890, 271. The budget covered 79 head teachers and 864 teachers.</p>	4
1	<p>The LG education department has budgeted and deployed teachers as per guidelines (a Head Teacher and minimum of 7 teachers per school)</p> <p>Maximum 8 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has deployed a Head Teacher and minimum of 7 teachers per school (or minimum of a teacher per class for schools with less than P.7) for the current FY: score 4 	<p>Deployment had been done with a Head teacher and 7 teachers per school. The evidence was on the deployment list seen by the Assessment team.</p> <p>For example, in the sampled schools:</p> <p>1.Mr. Aromait Simon was deployed as a Head teacher on 28/5/2014 and had 16 teachers at Odudui P/S.</p> <p>2. Mrs.Ongorok Ruth Margaret was deployed as a Head teacher on 12/1/2018 and had 15 teachers in Asuret P/S.</p> <p>3.Mr. OtimMoses was deployed in Teso P/S a Private Primary School Reg. No:ME/P/47766/PP/T/4) had 16 teachers.</p>	4
2	<p>LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has filled the structure for primary teachers with a wage bill provision <ul style="list-style-type: none"> o If 100%: score 6 o If 80 - 99%: score 3 o If below 80%: score 0 	<p>There was evidence that the LG had filled the structure of the primary teachers for FY 2019/2020 with a Wage Bill provision of UGX 1, 323, 890, 271.</p> <p>The total number of approved teacher posts for Soroti DLG is 869</p> <p>The total number of teachers in post is 869 translating into 100% staffing</p> <p>Annual Wage bill plan for primary teachers = 1, 323, 890, 271=</p> <p>Annual Wage for teachers in post= 1,323, 890, 271=</p>	6

3	<p>LG has substantively recruited all positions of school inspectors as per staff structure, where there is a wage bill provision.</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has substantively filled all positions of school inspectors as per staff structure, where there is a wage bill provision: score 6 	<p>The staff structure provided for 2 Inspectors of Schools and both had been filled as follows:</p> <p>1. Mr.EmoruAmango Simon was appointed as Inspector of Schools on 06/05/2018, Ref:CR/161/1.</p> <p>2.Ms Ichim Mildred was appointed in this current FY 2019/2020.</p>	6
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • Primary Teachers: score 2 	<p>Since there was 100% staffing in the education department, there was no recruitment plan made for the current FY</p>	2
4	<p>The LG Education department has submitted a recruitment plan covering primary teachers and school inspectors to HRM for the current FY.</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the LG Education department has submitted a recruitment plan to HRM for the current FY to fill positions of</p> <ul style="list-style-type: none"> • School Inspectors: score 2 	<p>There was evidence that one School inspector had been appraised for FY 2018/19 as follows:</p>	0

Monitoring and Inspection

5	<p>The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.</p> <p>Maximum 6 for this performance measure</p>	<p>Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY</p> <ul style="list-style-type: none"> • 100% school inspectors: score 3 	<p>There was evidence that one School inspector had been appraised for FY 2018/19 as follows:</p> <p>Mr. EmoruAmango Simon-CR/D/11603 was appraised on 09/07/2018.</p> <p>Mslchim Mildred was not assessed as an Inspector of Schools in 2018/2019 because she had not yet been appointed.</p>	0
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The LG Education department has conducted performance appraisal for school inspectors and ensured that performance appraisal for all primary school head teachers is conducted during the previous FY.

Maximum 6 for this performance measure

Evidence that the LG Education department has ensured that all head teachers are appraised and has appraised all school inspectors during the previous FY

- Primary school head teachers
 - o 90 - 100%: score 3
 - o 70% and 89%: score 2
 - o Below 70%: score 0

There was evidence that one School inspector had been appraised for FY 2018/19 as follows:

Mr. EmoruAmango Simon-CR/D/11603 was appraised on 09/07/2018.

Mslchim Mildred was not assessed as an Inspector of Schools in 2018/2019 because she had not yet been appointed.

There was evidence that Head teachers had been appraised during the Calendar year 2018. For example:

1. Mr. Olungura Simon-CR/D/20935 the Head teacher of OlwelaiKatine P/S was appraised on 25/5/2018.

MsAguroFaith CR/D/20729, Head teacher of Awoja Bridge P/S was appraised on 15/4/2018.

3.Mr. Ocen Peter-CR/D/22125 a Head teacher of Omulala P/S was appraised on 25/11/2018.

4. Mr. Aromait Simon- a Head teacher of Odudui P/S was not appraised in the calendar year 2018.

5. MsOngorok Ruth Margaret –Head Teacher of Asuret P/S was appraised on 19/06/2018.

Note: Number sampled is 5 and 4 were appraised, thus $4/5 \times 100 = 80\%$ and soothe score is 3.

6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has communicated all guidelines, policies, circulars issued by the national level in the previous FY to schools: score 1 	<p>There was evidence that a written communication dated 17/8/2018 was sent to Head teachers informing them about the Online registration in circular No: 3/2018 dated 10/12/2018 from the MoES Ref: ADM/48/315/01.</p>	1
6	<p>The LG Education Department has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to schools</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has held meetings with primary school head teachers and among others explained and sensitised on the guidelines, policies, circulars issued by the national level: score 2 	<p>The Education Department conducted a meeting on 26/3/2019 to explain and sensitise Head teachers on the Online Registration system in accordance with a circular No.3/2018, Ref: ADM/48/315/01 from the MoES dated 10/12/2018.</p>	2
7	<p>The LG Education Department has effectively inspected all registered primary schools²</p> <p>Maximum 12 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all licenced or registered schools have been inspected at least once per term and reports produced: <ul style="list-style-type: none"> o 100% - score 12 o 90 to 99% - score 10 o 80 to 89% - score 8 o 70 to 79% - score 6 o 60 to 69% - score 3 o 50 to 59 % score 1 o Below 50% score 0. 	<p>The inspection reports showed that 68 schools were inspected out of 79 schools.</p> <p>1.Asuret P/S was inspected on 5/6/2019 in Term one.</p> <p>Some of the recommendations made included:</p> <ol style="list-style-type: none"> 1. Making use of and displaying new instructional. 2. Working on the CAM form 3. Head teacher improving on her attendance. <p>2.Teso P/S a Private Primary school Reg.No. ME/P4766/PP/T/4 was not inspected in any term.</p> <p>3.Odudui P/S was inspected in 3rd Term on 5/11/2018.</p> <p>To calibrate the inspection percentage:</p> <p>$68/79 \times 100 = 86\%$</p> <p>The score was 8</p>	8

8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the Education department has discussed school inspection reports and used reports to make recommendations for corrective actions during the previous FY: score 4 	<p>The recommendation on deployment of teachers which was made on 2/9/2018 was discussed in a meeting held on 2/10/2018 and corrective measures were taken by deploying additional teachers.</p>	4
8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department has submitted school inspection reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 	<p>Inspection reports were submitted to DES as follows:-</p> <p>Term 3: Submission was on 9/8/2018</p> <p>Term 1: Submission was on 5/6/2019</p> <p>Term 2: No submission was made.</p>	0
8	<p>LG Education department has discussed the results/ reports of school inspections, used them to make recommendations for corrective actions and followed recommendations</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the inspection recommendations are followed- up: score 4. 	<p>There was a meeting held on 26/3/2019 where action was taken under Min:2/3/2019.</p>	4

9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data: <ul style="list-style-type: none"> o List of schools which are consistent with both EMIS reports and PBS: score 5 	<p>LG had submitted accurate/consistent data with a list of 79 schools which were consistent with both EMIS reports and PBS was submitted to the relevant authorities.</p>	5
9	<p>The LG Education department has submitted accurate/consistent reports/date for school lists and enrolment as per formats provided by MoES</p> <p>Maximum 10 for this performance measure</p>	<p>Evidence that the LG has submitted accurate/consistent data:</p> <ul style="list-style-type: none"> • Enrolment data for all schools which is consistent with EMIS report and PBS: score 5 	<p>LG had submitted accurate/consistent enrolment data with PBS.</p> <p>For example:</p> <ol style="list-style-type: none"> 1.Asuret P/S had 1,150 pupils 2.Teso P/S had 469 pupils 3.Odudui P/S had 1,131 pupils. 	5

Governance, oversight, transparency and accountability

10	<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the council committee responsible for education met and discussed service delivery issues including inspection, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>There was evidence that the Council Committee responsible for education met and discussed service delivery issues, for instance:-</p> <p>In a meeting held on 28th and 29th November 2018, the Council Committee responsible for education discussed the issue of Guidance and Counselling raised by Councillor Male under Min.DCD/55/11/2018.</p>	2
10	<p>The LG committee responsible for education met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the education sector committee has presented issues that require approval to Council: score 2 	<p>The Committee responsible for Education had presented an Order Paper petitioning the members of the SMC of Opar P/S who were changed without the approval of the committee on education. This issue was presented to Distinct Council Meeting held on 4th and 5th September 2018 under Min.DCD/46/09/2018.</p>	2

11	<p>Primary schools in a LG have functional SMCs</p> <p>Maximum 5 for this performance measure</p>	<p>Evidence that all primary schools have functional SMCs (established, meetings held, discussions of budget and resource issues and submission of reports to DEO/ MEO)</p> <ul style="list-style-type: none"> • 100% schools: score 5 • 80 to 99% schools: score 3 • Below 80 % schools: score 0 	<p>There was evidence that all the 79 SMCs were functional, held meetings and discussed relevant developments for their respective schools. For example:-</p> <ol style="list-style-type: none"> 1. Asuret P/S held their SMC meeting on 24/6/2019 and approved the school budget under Min.5/SMC/2019. The composition of the SMC was 13 members with 8 males and 5 females. 2.Teso P/S, a Private School did not have SMC at the time of assessment. 3. Odudui P/S held their SMC meeting on 21/10/2018 and discussed the issue of teachers' performance. <p>There was evidence that the reports had been submitted to the DEO and copies filed at the office.</p> <p>$2/3 \times 100 = 66.6$</p>	5
12	<p>The LG has publicised all schools receiving non- wage recurrent grants</p> <p>Maximum 3 for this performance measure</p>	<p>Evidence that the LG has publicised all schools receiving non-wage recurrent grants e.g. through posting on public notice boards: score 3</p>	<p>LG had publicized all the 79 schools receiving non-wage recurrent grants by posting on notice board at the DEO's office.</p> <p>For example:</p> <p>Odudui P/S:</p> <p>Term 3: 5,88,000/= was received.</p> <p>Term 1: 4,349,825/= was received.</p> <p>Term 2: 3,817,689/= was received.</p> <p>Asuret P/S:</p> <p>Term 3: 3,356,348/= was received.</p> <p>Term 1: 3,355,252/= was received.</p> <p>Term 2: 3,809,613/= was received.</p> <p>Teso P/S was not government aided school and therefore did not affect the score.</p>	3

Procurement and contract management

The LG Education department has submitted input into the LG procurement plan, complete with all technical requirements,

to the Procurement Unit that cover all items in the approved Sector annual work plan and budget

Maximum 4 for this performance measure

- Evidence that the sector has submitted procurement input to Procurement Unit that covers all investment items in the approved Sector annual work plan and budget on time by April 30: score 4

The Education Sector submitted procurement input to the Procurement Unit that covered all investment items in the approved sector AWP.

Submission was on 28/4/2019 endorsed by the DEO and acknowledged by Procurement Unit on 28/4/2019/

Financial management and reporting

The LG Education department has certified and initiated payment for supplies on time

Maximum 3 for this performance measure

Evidence that the LG Education departments timely (as per contract) certified and recommended suppliers for payment: score 3.

There was documentary evidence that the LG Education department timely certified and recommended suppliers for payment as indicated in the sampled contracts:-

1. Contractor: Gima Engineers and Contractors

Construction works: 2 classroom blocks at Obule-Angorong P/S.

Contract No.Soro553/works/18-19/0003

Amount : 57,563,586/=

Requisition date:18/2/2019

Completion Certificate:19/2/2019

DEO approval:20/2/2019

CAO approval:22/2/2019

LPO dated 14/3/2019

2. Contractor: FrahahAmuria Enterprises Ltd

Construction works: 2 classroom blocks at Ojom P/S.

Contract No.Soro553/works/18-19/0004

Amount :58,846,482/=

Requisition date:27/3/2019

Completion Certificate:27/3/2019

DEO approval:15/5/2019

CAO approval:28/5/2019

LPO dated 24/5/2019

3. Contractor: Itiira Engineering and General Supplies Ltd

Construction works: 2 classroom blocks at Obule-Angorong P/S.

Contract No.Soro553/works/18-19/0009

Amount :34999,980/=

Requisition date:11/1/2019

Completion Certificate:14/1/2019

DEO approval:17/1/2019

CAO approval:31/1/2019

i) LPO dated 21/3/2019

15	<p>The LG Education department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the department submitted the annual performance report for the previous FY (with availability of all four quarterly reports) to the Planner by 15th of July for consolidation: score 4 	<p>The DEO prepared and submitted the Annual Performance Report to the Planner as follows:-</p> <p>Q1 was submitted 29th October, 2018</p> <p>Q2 was submitted 15th February, 2019</p> <p>Q3 was submitted 21st May, 2019</p> <p>Q4 was submitted 20th August, 2019(Late submission)</p>	0
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16	<p>LG Education has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> o If sector has no audit query score 4 o If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 2 o If all queries are not responded to score 0 	<p>The queries raised and responded to by the DEO were as follows:</p> <ol style="list-style-type: none"> 1.School Monitoring meeting funds. 2. Facilitating SMC meeting at Asuret Seed School. 3. Sitting allowances for the District Physical Planning meetings. <p>The DEO in response, wrote to the Internal Auditor disputing the unaccounted for money that amounted to UGX 7,640,000/=There was no response at the time of the assessment from the Internal Audit department.</p>	2
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Social and environmental safeguards

17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in consultation with the gender focal person has disseminated guidelines on how senior women/men teachers should provide guidance to girls and boys to handle hygiene, reproductive health, life skills, etc.: Score 2 	<p>There was a training organised by the Gender Focal Person where the political leaders, Technical staff and Senior women teachers were trained on gender mainstreaming. The training was conducted by the Senior Community Development Officer in charge of gender in consultation with the DEO on 29/8/2018. The objective was to define gender, sex and key gender concepts. The training also explained the roles of Senior women, giving advice to girls and teaching the girls on issues of hygiene and sanitation.</p>	2
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17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that LG Education department in collaboration with gender department have issued and explained guidelines on how to manage sanitation for girls and PWDs in primary schools: score 2 	<p>No evidence on disseminated guidelines was provided by the Council.</p>	0
17	<p>LG Education Department has disseminated and promoted adherence to gender guidelines</p> <p>Maximum 5 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the School Management Committee meets the guideline on gender composition: score 1 	<p>SMCs met the guidelines on composition of gender. As sampled below:</p> <ol style="list-style-type: none"> 1. Odudui P/S SMC had 13 members with 5 female members and 8 male members. 2. Asulet P/S SMC had 13 members with 5 female and 8 male committee members. 3. Teso P/S did not have SMC but they were planning to have one guided by DEO. 	1
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Education department in collaboration with Environment department has issued guidelines on environmental management (tree planting, waste management, formation of environmental clubs and environment education etc.): score 1: 	<p>There was evidence that guidelines on environmental management were disseminated and complied with as per Circular No.EDN/2/4/2019 dated 18/7/2019 sent out to all Head teachers on, "Promotion of the School Environment Clubs", signed by the DEO with copies sent to the District Chairperson LCV, CAO and Senior Environment Officer.</p>	1
18	<p>LG Education department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that all school infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 1 	<p>There was evidence that all school infrastructure projects were screened before approval for construction as sampled below:</p> <ol style="list-style-type: none"> 1. Construction of 5 stance pit latrines at Odudui P/S. <p>The screening was conducted by the District Community Development Officer and District Environment Officer as per checklist dated 4/2/2019.</p> <ol style="list-style-type: none"> 2. Construction of 2 classroom block at AbuleOngorom P/S. <p>The screening was conducted by the District Community Development Officer and District Environment Officer as per checklist dated 4/2/2019.</p>	1

LG Education department has ensured that guidelines on environmental management are disseminated and complied with

Maximum 3 points for this performance measure

- The environmental officer and community development officer have visited the sites to check whether the mitigation plans are complied with: Score 1

There was evidence that the Environmental Officer and Community Development Officer had visited the site at Obule -Ongorom P/S to check whether the mitigation plans had been complied with as per compliance report dated 13/8/2019.

The report indicated that no trees were planted but the waste had been cleared and disposed off from the site.

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Human resource planning and management				
1	<p>LG has substantively recruited primary health care workers with a wage bill provision from PHC wage</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that LG has filled the structure for primary health care with a wage bill provision from PHC wage for the current FY</p> <ul style="list-style-type: none"> • More than 80% filled: score 8 • 60 – 80% - score 4 • Less than 60% filled: score 0 	<p>PHC wage allocation for SOROTI district FY 19/20 was: 2,030,756,000/</p> <p>PHC wage requirement for health workers in post for FY 19/20 was 1,802,897,592/</p> <p>The health workers in post were 153 against an approved norm of 253</p> <p>%wage provision (posts filled for primary health care workers with a wage bill provision) = 89%</p> <p>Therefore, the local government had filled the structure for primary health workers with a wage bill provision from PHC wage for the current FY 2019/2020 to a tune of 89% with a wage bill surplus of 227,858,408/</p>	8
2	<p>The LG Health department has submitted a comprehensive recruitment plan for primary health care workers to the HRM department</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that Health department has submitted a comprehensive recruitment plan/re- quest to HRM for the current FY, covering the vacant positions of primary health care workers: score 6</p>	<p>The DHO submitted a recruitment plan for the department on 24th September 2019, received by HRM on the same date. The submission letter was un-referenced. Key posts prioritized for recruitment included 7 health assistants, 3 enrolled midwives and 8 porters among others.</p>	6

3	<p>The LG Health department has conducted performance appraisal for Health Centre IVs and Hospital In-charge and ensured performance appraisals for HC III and II in-charges are conducted</p> <p>Maximum 8 points for this performance measure</p>	<p>Evidence that the all health facilities in-charges have been appraised during the previous FY:</p> <ul style="list-style-type: none"> o 100%: score 8 o 70 – 99%: score 4 o Below 70%: score 0 	<p>Three (3) out of the 5 sampled health facility in-charges were appraised in the financial year 2018/2019 as evidenced by appraisal forms on personal files from the HR department. Examples on file were:</p> <ul style="list-style-type: none"> • In-charge Atiriri HC IV Dr. Oluka Charles appraised on 12th August 2019 by DHO (Dr Okadi Charles). Appraiser's comment "Hard working officer" • In-charge Soroti HC III, Malinga had no appraisal form on file • In-charge Kamuda HC III, Okullu David, had no appraisal form on file • In-charge Arabaka HC II, Alabo Scovia, appraisal on 16th July 2019 by Anaso Rosemary (ADHO MCH). Appraiser's comment "hard working officer" • In-charge Arapai HC II Asio Betty appraised on 26th September 2019 by Anaso Rosemary (ADHO MCH) Appraiser's comment "Very good performance" <p>The percentage of incharges appraised was $3/5=60\%$</p>	0
4	<p>The Local Government Health department has deployed health workers across health facilities and in accordance with the staff lists submitted together with the budget in the current FY.</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG Health department has deployed health workers in line with the lists submitted with the budget for the current FY, and if not provided justification for deviations: score 4 	<p>The LG allocated health workers in line with lists submitted with the budget for FY 2019/2020 in PBS system.</p> <p>Assessment Team sampled the following facilities:</p> <ul style="list-style-type: none"> • Atiriri HC IV had 36 health workers planned for in the PBS • Soroti HC III had 16 health workers planned for in the PBS • Opuyo HC II Had 4 health workers planned for in the PBS <p>At each facility, the staff list matched with the deployment list at DHO's office hence a score of 4.</p>	4

Monitoring and Supervision

5	<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/MHO has communicated all guidelines, policies, circulars issued by the national level in the previous FY to health facilities: score 3 	<p>The recommended guideline by MOH, entitled "Sector grant and budget guidelines to local governments FY 2019/2020" had not been received and therefore was not communicated to the health facility in charges.</p> <p>These guidelines were prepared by Ministry of Health to support the budgeting process for FY 2019/2020.</p> <p>The commissioner planning at Ministry of Health recommended that only these guidelines be used to assess health departments. It follows that these guidelines had been issues in FY 2018/2019 to support planning and budgeting for FY 2019/2020.</p> <p>A score could not be provided since the prioritized guidelines had not been communicated to the health facility incharges</p>	0
5	<p>The DHO/MHO has effectively communicated and explained guidelines, policies, circulars issued by the national level in the previous FY to health facilities</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/MHO has held meetings with health facility in-charges and among others explained the guidelines, policies, circulars issued by the national level: score 3 	<p>Since the recommended guideline had not been received by the DHO, there were no meetings organized in that respect.</p>	3
6	<p>The LG Health Department has effectively provided support supervision to district health services</p> <p>Maximum 6 points for this performance measure</p>	<p>Evidence that DHT/MHT has supervised 100% of HC IVs and district hospitals (including PNFPs receiving PHC grant) at least once in a quarter: score 3</p>	<p>Soroti District had 1 HC IV</p> <p>The PA Team looked at evidence that the DHT had conducted 4 quarterly integrated technical support supervision visits to the health HC IV.</p> <p>It was established that 4 support supervision visits to the HC IV had been conducted by the DHT through records at the HC IV. The HC IV had been supervised on the following dates:</p> <p>Quarter 1: 7th August 2018</p> <p>Quarter2: 5h December 2018</p> <p>Quarter3: 13th February 2019</p> <p>Quarter4: 20th June 2019</p>	3

The LG Health Department has effectively provided support supervision to district health services

Maximum 6 points for this performance measure

Evidence that DHT/MHT has ensured that HSD has supervised lower level health facilities within the previous FY:

- If 100% supervised: score 3
- 80 - 99% of the health facilities: score 2
- 60% - 79% of the health facilities: score 1
- Less than 60% of the health facilities: score 0

The PA Team reviewed integrated support supervision reports for the previous financial year to establish whether all health facilities had been supervised by the HSD. In addition, a field visit for 2 health facilities was conducted to confirm if the health facilities had been visited at least once every quarter.

Soroti HC III

Q1: 20/7/18

Q2: 4/12/18

Q3: 11/1/19

Q4: 22/4/19

Opuyo HC III

Q1: 30/10/18

Q2: /12/18

Q3: 11/1/19

Q4: 26/4/19

The evidence was derived from the MOH provided supervision book at each health unit.

The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up

Maximum 10 points for this performance measure

- Evidence that all the 4 quarterly reports have been discussed and used to make recommendations (in each quarter) for corrective actions during the previous FY: score 4

The assessment team reviewed DHT meeting minutes. The DHT meetings were held quarterly as follows

- 23/09/2018
- 26/01/2019
- 6/3/2019
- 13/5/2019

There was no evidence that support supervision reports had been discussed to generate recommendations

7	<p>The LG Health department (including HSDs) have discussed the results/reports of the support supervision and monitoring visits, used them to make recommendations for corrective actions and followed up</p> <p>Maximum 10 points for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the recommendations are followed up and specific activities undertaken for correction: score 6 	<p>There was no documented evidence that any recommendations had been made or any action points were followed up. The supervision reports had not been discussed to generate recommendations. In the absence of recommendations, no follow up activities had been made. There was no link between the support supervision reports being discussed to generate action points and then following up on the action points</p>	0
8	<p>The LG Health department has submitted accurate/consistent reports/data for health facility lists receiving PHC funding as per formats provided by MoH</p> <p>Maximum 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG has submitted accurate/consistent data regarding: <ul style="list-style-type: none"> o List of health facilities receiving PHC funding, which are consistent with both HMIS reports and PBS: score 10 	<p>Soroti DLG had 21 health facilities that benefit from PHC in FY 2018/2019</p> <p>All facilities benefitting from PHC (21) reported in DHIS2 as evidenced by the DHIS2 output received from the Ministry of health</p>	10

Governance, oversight, transparency and accountability

9	<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the LG committee responsible for health met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports etc. during the previous FY: score 2 	<p>On 28th January 2019, the social services committee sat and under minute DSSC/63/01/19 discussed the need to recruit askaris in order to avoid theft of drugs</p> <p>On 21st May 2019, the Social services committee sat and under minute DSSC/70/05/19 discussed among others the draft health budget which was to be presented to council for approval on 30th May 2019</p> <p>The above two examples constitute evidence that the LG committee responsible for health met and discussed service delivery issues</p>	2
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9	<p>The LG committee responsible for health met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the health sector committee has presented issues that require approval to Council: score 2 	<p>On 21st May 2019, the committee approved the health budget worth 4,132,418,000/ and on 30th May 2019 under minute COU/47/05/19, the district budget worth 27,043.769,000/ was passed (Health budget inclusive)</p>	2
10	<p>The Health Unit Management Committees and Hospital Board are operational/functioning</p> <p>Maximum 6 points</p>	<p>Evidence that health facilities and Hospitals have functional HUMCs/Boards (established, meetings held and discussions of budget and resource issues):</p> <ul style="list-style-type: none"> If 100% of randomly sampled facilities: score 6 If 80-99 %: score 4 If 70-79: %: score 2 If less than 70%: score 0 	<p>The PA Team visited 3 sampled health facilities and established that HUMC's sat as indicated below;</p> <ul style="list-style-type: none"> Atiriri HC IV: HUMC Sat in all in quarters Q1: 20/9/18 Q2: 16/1/19 Q3: 3/4/19 Q4: 28/6/19 Soroti HC III: HUMC Sat in all quarters Q1: 23/10/18 Q2: 21/12/18 Q3: 23/4/19 Q4: 28/06/19 Opuyo HC III: HUMC sat in all quarters Q1: Dis not sit due to lack of finances Q2: 04/2/19 Q3: 22/4/19 Q4: 31/7/19 <p>Therefore, out of the expected 12 HUMC meetings, 10 were held translating into 83%</p>	4

11	<p>The LG has publicised all health facilities receiving PHC non-wage recurrent grants</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the LG has publicised all health facilities receiving PHC non-wage recurrent grants e.g. through posting on public notice boards: score 4 	<p>A list of health facilities that benefit from PHC recurrent non-wage was displayed at the district health notice-board, signed by DHO dated 5th June 2019. The displayed list matched with health facilities that are in the PBS system</p> <p>During the April-June quarter, the visited facilities had received PHC grants as follows</p> <ul style="list-style-type: none"> AAtiriri HC IV: 9,489,439 Soroti HC III: 3,843,762/ Opuyo HC II: 879,661/ 	4
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Procurement and contract management

12	<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has submitted input to procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time by April 30 for the current FY: score 2 	<p>The procurement plan for health department for the financial year 2019/2020 was submitted on 11th April 2019 (and received y PDU same day) earlier than the set deadline of 30th April</p>	2
12	<p>The LG Health department has submitted input to procurement plan and requests, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 2. 	<p>The procurement request form for health department for the FY 19/20 was submitted on 11th April 2019 and endorsed same day ahead of the deadline of September 30th.</p> <p>Key projects earmarked for FY 19/20 under health department include:</p> <p>Rehabilitation of Opuyo HC II staff house (14,000,000/)</p> <p>Rehabilitation of OPD block at Opuyo HC II (4,000,000)</p>	2

13	<p>The LG Health department has certified and initiated payment for supplies on time</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DHO/ MHO (as per contract) certified and recommended suppliers timely for payment: score 4. 	<p>Two capital projects were identified as an example to establish that the DHO certified and recommended suppliers timely for payment:</p> <ol style="list-style-type: none"> 1. Completion of construction of Maternity ward at Awaliwal HC II 2. Payment for fuel supplies by Nile Energy Ltd. Request was made on 14th May 2019, DHO certified on 28th May 2019 and payment was effected on 28th May 2019. <p>Therefore, DHO certified payments on time</p>	4
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Financial management and reporting

14	<p>The LG Health department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 4 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 4 	<p>Submission of quarterly reports to Planner during FY 2018/2019:</p> <p>Quarter Date of submission Reference</p> <p>Quarter 1 No evidence of submission</p> <p>Quarter 2 15/2/19 PBS</p> <p>Quarter 3 20/5/19 PBS</p> <p>Quarter 4 20/8/19 PBS</p> <p>The annual report was submitted late on 20th August 2019, past mid July</p>	0
15	<p>LG Health department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year</p> <ul style="list-style-type: none"> If sector has no audit query: Score 4 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: Score 2 points If all queries are not responded to Score 0 	<p>Four internal audit reports were presented to the assessment team</p> <p>The health department had 19 audit queries that accrued during the financial year but there was no evidence that any of the internal audit queries under health department were not responded to and cleared.</p>	0

Social and environmental safeguards

16	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that Health Unit Management Committee (HUMC) meet the gender composition as per guidelines (i.e. minimum 30 <p>% women: score 2</p>	<p>All the following sampled health facilities had the required 30% and above of female composition on HUMC:</p> <ul style="list-style-type: none"> Atiriri HC IV 4/10=40% Opuyo HC III 2/6 =33% Soroti HC III 2/5=40% 	2
16	<p>Compliance with gender composition of HUMC and promotion of gender sensitive sanitation in health facilities.</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on how to manage sanitation in health facilities including separating facilities for men and women: score 2. 	<p>The DHO Soroti wrote to health facility in charges on 22nd August 2018. The letter entitled "labeling of all sanitary facilities at health units" which highlighted the need for incharges to ensure that proper sanitation is maintained at health facilities and sanitary facilities have to be labeled well.</p> <p>The assessment team visited the following health facilities:</p> <ul style="list-style-type: none"> Atiriri HC IV Opuyo HC III Soroti HC III <p>It was established that sanitation guidelines were adhered to among the visited facilities including presence of separate sanitary facilities for both men and women</p>	2
17	<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that all health facility infrastructure projects are screened before approval for construction using the checklist for screening of projects in the budget guidelines and where risks are identified, the forms include mitigation actions: Score 2 	<ul style="list-style-type: none"> Two projects were sampled to determine if there was environmental screening before they were undertaken. 1. Construction of a 5 stance pit latrine at Alwaiwal HC II 2. Construction of a 2 stance oit latrine at Ocicia HC II <p>Both projects were screened on 29th January 2019 by the environmental officer, Mr Aduu George.</p> <ul style="list-style-type: none"> The environment concern was that the vegetation will get cleared and recommended tree planting 	2

17	<p>LG Health department has ensured that guidelines on environmental management are disseminated and complied with</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> The environmental officer and community development officer have visited the sites to checked whether the mitigation plans are complied with: <p>Score 2</p>	<p>There was evidence that the environmental officer and community development officer had visited the sites to check whether the mitigation plans are complied. The supervision report was dated 13th August 2019.</p> <p>It highlighted how the contractor had not complied with tree planting. The DCDO and Environmental officer recommended that the contractor should not be provided with the environmental compliance certificate</p>	2
18	<p>The LG Health department has issued guidelines on medical waste management</p> <p>Maximum 4 points</p>	<ul style="list-style-type: none"> Evidence that the LG has issued guidelines on medical waste management, including guidelines (e.g. sanitation charts, posters, etc.) for construction of facilities for medical waste disposal2: <p>score 4.</p>	<ul style="list-style-type: none"> There were no new guidelines on medical waste issued by the health department last financial year. However, the visited health facilities (Atiriri HC IV, Opuyo HC II , Soroti HC III) had sanitation charts relating to medical waste management hence a score of 4. 	4

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
Planning, budgeting and execution				
1	<p>The DWO has targeted allocations to sub-counties with safe water coverage below the district average.</p> <p>Maximum score 10 for this performance measure</p>	<ul style="list-style-type: none"> • Evidence that the district Water department has targeted sub-counties with safe water coverage below the district average in the budget for the current FY: <ul style="list-style-type: none"> o If 100 % of the budget allocation for the current FY is allocated to S/Cs below average coverage: score 10 o If 80-99%: Score 7 o If 60-79: Score 4 o If below 60 %: Score 0 	<p>There was evidence that in the FY 2019/20, Soroti District Local Government allocated development budget for the water department equivalent to Ugx. 256,292,090 work plan and budget page 3 of 3</p> <p>There was one (1) sub county with safe water coverage below the District average of 79% and this was Arapai sub county with safe water coverage access of 77% which was targeted giving $(1/1) * 100 = 100\%$ leading to a score of 10.</p> <p>And there were other four (4) sub counties which were also targeted with safe water coverage access above the District safe water coverage of 79% they were as follows;</p> <ul style="list-style-type: none"> • Asuret sub county with safe water coverage 95% • Katine sub county with safe water coverage 95% • Kamuda sub county with safe water coverage 95% • Gweri sub county with three (3) sources with safe water coverage 85% <p>And the planned projects were as follows;</p> <ul style="list-style-type: none"> • Drilling 8 number Boreholes (hand pump) each at a cost of Ugx. 21,000,000 in the six targeted sub counties equivalent to Ugx. 168,000,000 • Deep borehole drilling 1 number borehole(motorized) Ugx. 40,000,000 • Small spring protection at Ugx. 5,000,000 from (approved work plan and budget page 3 of 3 which was approved on 29th August,2019) 	10

The district Water department has implemented budgeted water projects in the targeted sub-counties (i.e. sub-counties with safe water coverage below the district average)

Maximum 15 points for this performance measure

- Evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the previous FY.

- o If 100 % of the water projects are implemented in the targeted S/Cs:

Score 15

- o If 80-99%: Score 10

- o If 60-79: Score 5

- o If below 60 %: Score 0

There was evidence that the district Water department has implemented budgeted water projects in the targeted sub-counties with safe water coverage below the district average in the FY2018/19

The sources were located in the following sub counties;

a. Asuret in Okweny village

b. Gweri, in Okolanga village

c. Kamunda in Oworo village,

d. Arapai with 3 villages of in Aten, Olegei-Asuret and Tukum-Arusi

From the approved budget and work plan of 6th August,2018 the planned projects FY2018/19 were,

- Drilling of 5 boreholes (hand) each at Ugx.21,000,000 giving Ugx.106,000,000

- Drilling 1 borehole 9motorized) at Ugx.56,000,000

- Water supply extension and spring protection at Ugx.15,000,000

The total development budget was Ugx.178,000,000

From the fourth quarter report submitted by the DWO on 27th August,2019 page 3 of 3, indicated that all the above projects were implemented leading the total development expenditure to

Ugx. 175,235,212 leaving out some soak away pit at Dakabela in Asuret sub county not completed equivalent to $(175,235,212/178,000,000) * 100$

= 98.5% implementation rate hence a score of 10

Monitoring and Supervision

The district Water department carries out monthly monitoring of project investments in the sector

Maximum 15 points for this performance measure

Evidence that the district Water department has monitored each of WSS facilities at least annually.

- If more than 95% of the WSS facilities monitored: score 15
- 80% - 95% of the WSS facilities - monitored: score 10
- 70 - 79%: score 7
- 60% - 69% monitored: score 5
- 50% - 59%: score 3
- Less than 50% of WSS facilities monitored: score 0

There was evidence that the district Water department has monitored WSS facilities according to the following monitoring/supervision reports;

- Supervision report during borehole drilling dated 28th May,2019
- Water sector progress reports dated 3rd September,2019
- Test pumping, Apron casting and installation supervision report of 6 boreholes dated 13th June,2019
- Report during on 7th February,2019 during the construction of 5-stance line pit latrine in Acuna primary school by IONIC builders Ltd
- Report for community sensitisation on the six critical requirements in all water sources dated 1st February,2019
- Inspection report of the construction of 6 boreholes carried out from 15th to 17th May,2019 by ADWO
- Reactivation of nonfictional water user committees (needs assessment and planed training
- The LG has a total of 766 water sources, but 40 were earmarked for closest supervision and inspection including the new construction but from the above reports only 36 was achieved leading to $(36/40)*100 = 90\%$ hence the score of 10.

4

The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE

Maximum 10 for this performance measure

- Evidence that the district has submitted accurate/consistent data for the current FY: Score 5

There was evidence that the District water department submitted accurate/consistent data report for the previous FY 2018/2019 on 27th August,2019 which were collected using Ministry of water and environment MIS data form on the following dates,

4th July,2019,

- Information of Okolonga source in Awaliwal parish in Gweri sub county
- Information of Oworo source Kamuda parish in Kamuda sub county
- Information Okweny source Adacar parish in Asuret sub county
- Information Olegoe Asuret Agirigiroi parish in Arapai sub county

On 5th July,2019

- Information of Tukum Arusi Dakabela parish in Arapai sub county
- Information of Aten Odudui parish in Arapai sub county

To the Permanent Secretary Ministry of Water and Environment

5

4

The district Water department has submitted accurate/consistent reports/ data lists of water facilities as per formats provided by MoWE

Maximum 10 for this performance measure

- List of water facility which are consistent in both sector MIS reports and PBS: score 5

The list of water facilities which were found to be consistent in both sector MIS reports and PBS were;

Lia in Palam sub county

- Aten ,Tukum-Arusi and Olegei-Asuret in Arapai sub county
- Okweny in Asuret sub county
- Okolonga in Gweri sub county and Oworo in Kamuda sub county

5

Procurement and contract management

5	<p>The district Water department has submitted input for district's procurement plan, complete with all technical requirements, to PDU that cover all items in the approved Sector annual work plan and budget</p> <p>Maximum 4 for this performance measure</p>	<p>Evidence that the sector has submitted input for the district procurement plan to PDU that cover all investment items in the approved Sector annual work plan and budget on time (by April 30): score 4</p>	<p>There was evidence that the sector had submitted in put for the district procurement plan on 25th April,2018 and receive by the PDU on 25th April,2018 that covered all investment items in the approved Sector annual work plan and budget on time (before 30th April,2018).</p> <p>Example of the investment procurement plans included;</p> <p>Borehole rehabilitation by hand pump mechanics</p>	4
6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If the contract manager prepared a contract management plan and conducted monthly site visits for the different WSS infrastructure projects as per the contract management plan: score 2 	<p>There was evidence that the district Water department had a contract manager appointed by the CAO on 15th February,2018 but never prepared contract implementation plans however the sector conducted monthly site visits as evidenced by the following reports availed to the PAT,</p> <ul style="list-style-type: none"> • Supervision report during borehole drilling dated 28th May,2019by the DWO • Water sector progress reports dated 3rd September,2019 • Report for community sensitisation on the six critical requirements in all water sources dated 1st February,2019 • Inspection report of the construction of 6 boreholes carried out from 15th to 17th May,2019 by ADWO 	2
6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> • If water and sanitation facilities constructed as per design(s): score 2 	<p>There was evidence that construction of the sampled Water and sanitation facilities were constructed as per the design the visited sources included;</p> <ul style="list-style-type: none"> • Okweny source Adacar parish in Asuret sub county • Tukum Arusi Dakabela parish in Arapai sub county • Aten Odudui parish in Arapai sub county <p>These sources each had drainage channel measuring 6.8m long (1:2:4/20) as per bill item 3.2 in the B.O.Q</p>	2

6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If contractor handed over all completed WSS facilities: score 2 	<p>There was evidence that all constructed WSS projects were handed over by the contractor on 3rd May,2019 a completion report for siting, drilling test pumping of 5 boreholes by KLR was seen by PAT</p>	2
6	<p>The district has appointed Contract Manager and has effectively managed the WSS contracts</p> <p>Maximum 8 points for this performance measure</p>	<ul style="list-style-type: none"> If DWO appropriately certified all WSS projects and prepared and filed completion reports: score 2 	<p>There was evidence that the DWO appropriately certified all WSS projects and prepared and filed completion reports. Some of the completion report availed during the period of assessment were;</p> <p>There was a completion report dated 26th June,2019 for the construction of a pit latrine at Apparisa village Acuma parish Tubur sub county</p> <p>A completion report for the extension of piped water to Akaikai Primary School</p> <p>Commissioning report of 9 boreholes dated 3rd August,2018</p>	2
7	<p>The district Water depart- ment has certified and initi- ated payment for works and supplies on time</p> <p>Maximum 3 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment: score 3 points 	<p>There was Evidence that the DWOs timely (as per contract) certified and recommended suppliers for payment some of the sampled vouchers included;</p> <ul style="list-style-type: none"> KLR Uganda Limited submitted a request on 25th October,2018 and was endorsed by DWO on 12th December,2018, IPC, measurement sheet and inspection report prepared on 22nd, June,2019 by the DWO KLR Ltd submitted a request on 13th June,2018 and was endorsed on 22nd June,2018 and IPC prepared 22nd June,2018 by the DWO (took 9 days) <p>All the above certification took less than thirty (30) days</p>	3

Financial management and reporting

8	<p>The district Water department has submitted annual reports (including all quarterly reports) in time to the Planning Unit</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the department submitted the annual performance report for the previous FY (including all four quarterly reports) to the Planner by mid-July for consolidation: score 5 	<p>There was no evidence availed to PAT that the department submitted the annual performance report for the previous FY 2018/2019</p>	0
9	<p>The District Water Department has acted on Internal Audit recommendation (if any)</p> <p>Maximum 5 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year <ul style="list-style-type: none"> If sector has no audit query score 5 If the sector has provided information to the internal audit on the status of implementation of all audit findings for the previous financial year: score 3 If queries are not responded to score 0 	<p>There was no evidence that the sector took actions on queries raised by the Internal Auditor during FY 2017/2018:</p> <ol style="list-style-type: none"> According to the internal audit report for second (2nd) quarter dated 28th February, 2019 referenced CR/252/1 six (6) queries were unanswered. In the internal audit report for quarter three (3) dated 30th May, 2019 referenced CR/252/2 page 26 and 27, 3 queries were unanswered And internal audit report for fourth (4th) of 19th August, 2019 referenced CR/252/2 page 22 and 23 had four unanswered queries 	0

Governance, oversight, transparency and accountability

10	<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) etc. during the previous FY: score 3 	<p>There was evidence that the council committee responsible for water met and discussed service delivery issues including supervision reports, performance assessment results, LG PAC reports and submissions from the District Water and Sanitation Coordination Committee (DWSCC) some of the sampled meetings included;</p> <ul style="list-style-type: none"> On 21st -22nd May, 2019 under minute WC57/05/2019 issues discussed was about the proposed sector budget and workplan for FY 2019/20 on page 11 <p>On 7th December, 2018 under minute W.C45/09/2018 issues discussed was enabling the Northern division of Ogasia cell to access the water</p>	3
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10	<p>The district committee responsible for water met, discussed service delivery issues and presented issues that require approval to Council</p> <p>Maximum 6 for this performance measure</p>	<ul style="list-style-type: none"> Evidence that the water sector committee has presented issues that require approval to Council: score 3 	<p>There was evidence that issues concerning the water sector were presented to council for discussion and approval among these were;</p> <ul style="list-style-type: none"> On 30th May,2019 under minute COU/147/05/2019 Approval of sector budget FY2019/20 <p>On 20th December,2018 under minute COU/114/12/2018 Approval of NWSC to connect water to Ogasia division</p>	3
11	<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> The AWP, budget and the Water Development grant releases and expenditures have been displayed on the district notice boards as per the PPDA Act and discussed at advocacy meetings: score 2. 	<p>The Water Development grant releases and expenditures were displayed on the sector/district notice boards as per the PPDA Act as follows;</p> <ul style="list-style-type: none"> The annual work plan was displayed on 12th July,2019 <p>The development grant releases for all the four quarters like; conditional grant non-wage, conditional grant development, transitional development grant was displayed on 14th July,2019.</p>	2
11	<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> All WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding: score 2 	<p>During the assessment it was observed that all WSS projects are clearly labelled indicating the name of the project, date of construction, the contractor and source of funding. Some of the sampled project sites were;</p> <ol style="list-style-type: none"> At Okweny Source constructed by KLR (U) Ltd On 10th June,2019 source of funding Ministry of Water DWD 57703 Odudui source constructed by KLR (U) Ltd on 12th June,2019 source of funding Ministry of Water DWD 57619 Dakabela source constructed by KLR (U) Ltd on 11th June,2019 source of funding Ministry of Water DWD 57621 	2
11	<p>The district Water department has shared information widely to the public to enhance transparency</p> <p>Maximum 6 points for this performance measure</p>	<ul style="list-style-type: none"> Information on tenders and contract awards (indicating contractor name /contract and contract sum) displayed on the District notice boards: score 2 	<p>There was no evidence tenders and contract awards (indicating contractor name /contract and contract sum) were displayed on the District notice boards at the time of assessment</p>	0

12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If communities apply for water/ public sanitation facilities as per the sector critical requirements (including community contributions) for the current FY: score 1 	<p>It was evidenced that by the time of the assessment,</p> <ul style="list-style-type: none"> The community of Asuret sub county had applied for borehole repair at Asuret HCIII on 1st July, On 27th July,2019 the community of Arapai sub county applied to have a Borehole at Alilio On 25th March,2019 the community of Arapai sub county applied to have a Borehole at Abilangati village <p>On 27th March,2019 the community of Katine sub county applied to have a Borehole at Abilipiin village</p>	1
12	<p>Participation of communities in WSS programmes</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> Water and Sanitation Committees that are functioning evidenced by either: i) collection of O&M funds, ii(carrying out preventive maintenance and minor repairs, iii) facility fenced/protected, or iv) they an M&E plan for the previous FY: score 2 <p>Note: One of parameters above is sufficient for the score.</p>	<p>During the assessment period the assessment team visited borehole sites and it was observed that all the sampled borehole sources were well fenced among these were;</p> <ul style="list-style-type: none"> Okweny source in Assuret sub county Odudui source in Arapai sub county Dakabela source in Arapai sub county. Soroti LG has exceptionally well maintained the water sources in a healthy and hygienic way by draining all the waste water into the soak away pit which are also well maintained 	2

Social and environmental safeguards

13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that environmental screening (as per templates) for all projects and EIAs (where required) conducted for all WSS projects and reports are in place: score 2 	<p>During the assessment period it was observed that environmental screening for all water projects was conducted for all WSS projects on the following dates;</p> <ul style="list-style-type: none"> At Oworo source Kamuda parish in Kamuda sub county screening was conducted on 25th February,2019 Okweny source in Asuret sub county, environmental screening was conducted on 25th February,2019 For Aten borehole in Arapai sub county environmental screening was conducted on 2nd February,2019 For Olegai borehole in Arapai sub county environmental screening was conducted on 2nd February,2019 	2
13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that there has been follow up support provided in case of unacceptable environmental concerns in the past FY: score 1 	<p>It was observed that Soroti LG provided a follow up support in case of unacceptable environmental concerns like, Open borrow pits, cutting trees and grass removal in the water projects by issuing environmental certification for all the projects by carrying out the following environmental mitigation measures;</p> <ul style="list-style-type: none"> Environmental compliance monitoring of projects and reporting on the same, report dated 12th March,2019 Having environment risk mitigation plan <p>And environmental social mitigation certification dated 26th August,2019 for all projects</p>	1
13	<p>The LG Water department has devised strategies for environmental conservation and management</p> <p>Maximum 4 points for this performance measure</p>	<ul style="list-style-type: none"> Evidence that construction and supervision contracts have clause on environmental protection: score 1 	<p>During the assessment period is was observed from the borehole drilling bills of quantities bill 2 item 2.25 required the contractor to address environmental concerns by planting ten (10) trees</p>	1

14	<p>The district Water department has promoted gender equity in WSC composition.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If at least 50% WSCs are women and at least one occupying a key position (chairperson, secretary or Treasurer) as per the sector critical requirements: score 3 	<p>During the assessment four (4) water user committee files were sampled and it was observed that all the water user committees were composed of nine (9) members and also a lady in each of them held one (1) position but the percentage of women in all of the was less than 50% the reason for zero (0) point; the following were the sampled details;</p> <p>At Alilioi water source in Arapai sub county elected on 29th Januaryry,2019, consisted nine (9) members and four (4) were women.</p>	0
15	<p>Gender and special needs-sensitive sanitation facilities in public places/</p> <p>RGCs provided by the Water Department.</p> <p>Maximum 3 points for this performance measure</p>	<ul style="list-style-type: none"> If public sanitation facilities have adequate access and separate stances for men, women and PWDs: score 3 	<p>During the visit of the assessment team to the public sanitation facilities in Gweri sub county, it was observed that he facility did not have adequate access and separate stances for men, women and PWDs</p>	0